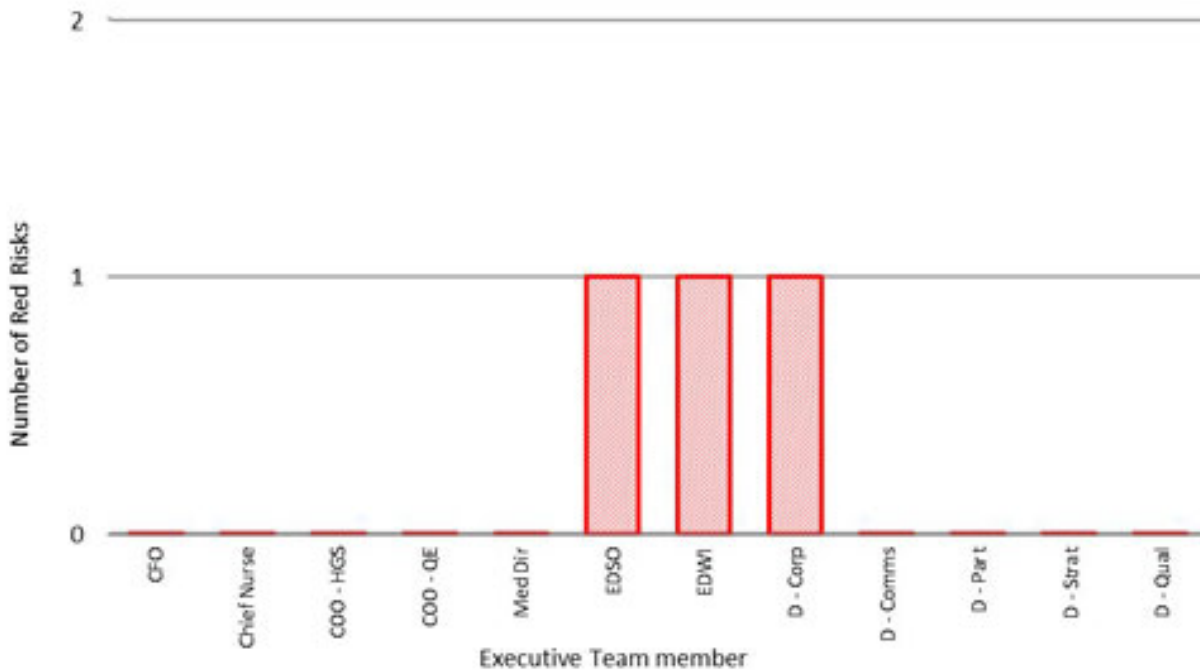


Appendix B - Corporate Risk Register – January 2019

Assurance regarding the management of risks on the Corporate Risk Register is provided to the Board of Directors by a member of the Executive Team.

1. Current red risks held by each member of the Executive Team

The chart below shows the number of risks with a current score of 15 and above (red) held by each member of the Executive Team



2. Current red risks by grade

The table below shows the number and current score of the red risks held by the Executive Team.

Current Score 25

- There are 0 risks with a current score of 25

Current Score 20

- There are 0 risks with a current score of 20

Current Score 16

- There are 2 risks with a current score of 16
- All of these risks are 4x4

Current Score 15

- There is 1 risk with a current score of 15
- 1 of these risks are 5x3
- 0 of these risks are 3x5

3. Current red risk listing

Key to Risk Status

Risk is on track to meet target score in the agreed time frame. ■

Risk is on track but requires additional action to meet the target score in the agreed time frame. ■

Risk is off track and will not meet the target score in the agreed time frame. ■

The table below shows the detail of all red risks recorded for each Specialty under the management of the Executive Director of Strategic Operations

EDSO - Specialty	Risk Title	Initial score (LxC)	Current score (LxC)	Target score (LxC)	First Approved as Red Risk	Risk Status
Estates	Suitability of the Estate to enable the delivery of Clinical Services (BHH)	20 (5x4)	16 (4x4)	8 (2x4)	Oct 17	

The table below shows the detail of all red risks recorded for each Specialty under the management of the Executive Director of Workforce and Innovation

EDWI - Specialty	Risk Title	Initial score (LxC)	Current score (LxC)	Target score (LxC)	First Approved as Red Risk	Risk Status
Education	Failure to meet public sector apprenticeship target and utilise total levy funding	15 (5x3)	15 (5x3)	3 (1x3)	Dec 18	

Update	The monies top sliced from the Trust to contribute to the national apprenticeship levy equate to approximately £6m. At this time the Trust has been able to make use of £299,000 and we will lose access to the remaining funding on 01/04/19 with no real prospect of improving this position.					
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The table below shows the detail of all red risks recorded for each Specialty under the management of the Director of Corporate Affairs

DCA - Specialty	Risk Title	Initial score (LxC)	Current score (LxC)	Target score (LxC)	First Approved as Red Risk	Risk Status
Information Governance	Non-compliance with GDPR and DPA 2018	20 (5x4)	16 (4x4)	4 (2x2)	Nov 18	