

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**BOARD OF DIRECTORS**  
**THURSDAY 26 JANUARY 2017**

<b>Title:</b>	<b>QUARTER 3 BOARD ASSURANCE FRAMEWORK</b>
<b>Responsible Director:</b>	David Burbridge, Director of Corporate Affairs
<b>Contact:</b>	Berit Reglar, Associate Foundation Secretary Louisa Sorrell, Senior Manager Clinical Compliance

<b>Purpose:</b>	To provide the Board with the high level risks within the context of the Board Assurance Framework (BAF).
<b>Confidentiality Level &amp; Reason:</b>	None
<b>Medium Term Plan Ref:</b>	Annual Plan

<b>Key Issues Summary:</b>	<p>The BAF has been reviewed by the Executive team in conjunction with their Executive Risk Registers and any relevant divisional/departmental risk registers. Track changes have been used in the Appendix to assist the Board in the identification of any changes to the BAF.</p> <p>Key updates include:</p> <ul style="list-style-type: none"> <li>• A new risk around Brexit has been added (see bottom line of Appendix). This encompasses the risk around recruitment of appropriately trained/skilled staff (especially academic consultants and doctors), loss of research funding (grants), potential financial and clinical impact due to changes in the procurement of equipment/consumables and services from outside the UK and a wider finance risk triggered by Brexit.</li> <li>• Controls have been updated/refined in relation to the following risks: <ul style="list-style-type: none"> <li>○ risk of failure to deliver operational performance targets (including STF) due to capacity issues</li> <li>○ breach of regulatory requirements- delays in discharge and effective capacity management</li> <li>○ failure to reduce the transmission of infection</li> </ul> </li> </ul>
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<b>Recommendations:</b>	<p>The Board is asked:</p> <ol style="list-style-type: none"> <li>1. to review the revised BAF and identify any gaps in controls or assurance, the latter to be considered for referral to the Audit Committee for consideration;</li> <li>2. to agree the risk rating around the risks associated with Brexit.</li> </ol>
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<b>Signed:</b> D Burbridge	<b>Date:</b> January 2017
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