

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**BOARD OF DIRECTORS**  
**THURSDAY 27 OCTOBER 2016**

<b>Title:</b>	<b>QUARTER 2 BOARD ASSURANCE FRAMEWORK</b>
<b>Responsible Director:</b>	David Burbridge, Director of Corporate Affairs
<b>Contact:</b>	Berit Reglar, Associate Foundation Secretary Louisa Sorrell, Senior Manager Clinical Compliance

<b>Purpose:</b>	To provide the Board with the high level risks within the context of the Board Assurance Framework.
<b>Confidentiality Level &amp; Reason:</b>	None
<b>Medium Term Plan Ref:</b>	Annual Plan

<b>Key Issues Summary:</b>	<p>The BAF has been reviewed by the Executive team in conjunction with their Executive Risk Registers and any relevant divisional/departmental risk registers. The assurance (internal/external) column has been updated to make specific reference to the latest reports (BOD, CCQ, CEAG, Audit, etc.)</p> <p>Key updates include:</p> <ul style="list-style-type: none"> <li>• Reference to the new Single Oversight Framework (previously Monitor Risk Assessment Framework)</li> <li>• An action update has been provided in relation to the Recovery@home care model (bottom of first page): Work is underway to identify further patient cohorts that can utilise the existing model. As part of the Better Care Fund (BCF) there is currently a review of intermediate care, step up and step down capacity in Birmingham which will may lead to a new community based recovery team model. This would potentially incorporate the current step down recovery at home service piloted by UHB. A worked up BCF model is expected in Q3 16/17 for consideration.</li> <li>• Update to the 3rd risk ('External factors impacting on Trust's capacity to deliver timely and effective transfer of care'): Reference has been made to the new A&amp;E delivery board which will oversee the implementation of the strategy for out of hospital re-ablement. The Trust continues to work closely with BCC Adult Social Care concerning acute pathways that require social care input. This collaboration occurs at an STP, A&amp;E Delivery Board and operational level. Due to the loss of c25% of hospital reablement capacity urgent measures are needed for the short and long term. A pilot will commence during Q3 which is looking at developing a more therapy led hospital based screening and discharge process for patients requiring social care assessment and out of hospital support (see).</li> <li>• The risk of 'reputational damage' has been updated to</li> </ul>
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	<p>take account of the closer collaboration with HEFT: Inconsistent media coverage and inappropriate management of the Trust's relationship with the main stakeholders NHSI/NHSE may result in reputational damage. These risks will be mitigated by a new Communications strategy and a stakeholder engagement workstream led by the DComms. The board will retain complete oversight of the interaction with HEFT.</p>
<p><b>Recommendations:</b></p>	<p>The Board is asked to review the revised BAF and identify any gaps in controls or assurance, the latter to be considered for referral to the Audit Committee for consideration.</p>

<p><b>Signed:</b> D Burbridge</p>	<p><b>Date:</b> October 2016</p>
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