


AGENDA ITEM NO:

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
BOARD OF GOVERNORS
FRIDAY 17 JUNE 2011**

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 MARCH 2011
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board of Governors by providing a copy of the recent Board of Directors report covering Financial and Activity performance to the end of Quarter 4.
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	A £1.013m surplus has been recorded for the 2010/11 financial year. This represents a favourable variance of £213,000 against the planned surplus of £0.800m for the year. This position excludes transition costs of £7.110m related to the New Hospital move and total impairment losses of £243.557m. Therefore the overall deficit is (£249.654m) including these items.
Recommendations:	The Board of Governors is asked to receive the contents of this report.

Signed: 	Date: 6 June 2011
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

BOARD OF DIRECTORS THURSDAY 28 APRIL 2011

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 MARCH 2011

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the 2010/11 financial year between 1 April 2010 and the 31 March 2011. It has been prepared under International Financial Reporting Standards (IFRS) and contains three key financial statements; the Statement of Comprehensive Income (previously the Income and Expenditure Account), the Statement of Position (Balance Sheet) and the Cash Flow Statement. The report details the operating variances in the month along with capital expenditure for the period. A summary of activity data and related income is also provided for the first eleven months of the financial year.

The Financial Plan approved by the Board of Directors budgeted for an annual surplus of £800,000 in 2010/11. This excluded planned 'exceptional costs' of £268.5m comprising restructuring costs of £8.0m associated with the transition to the New Hospital and an expected impairment loss of £260.5m on the new building. Therefore, in overall terms the Trust planned for a deficit of (£267.7m) in 2010/11.

An actual surplus of £1.013m has been recorded for the year which represents a favourable variance of £213,000 against plan before exceptional costs. Actual transition costs of £7.110m have been incurred and the total value of impairment losses is £243.557m (including £612,000 related to the Wolfson Building) based on the latest report from the District Valuation office. Therefore the Trust has posted an overall deficit of (£249.654m) for the 2010/11 financial year, subject to audit of the annual accounts.

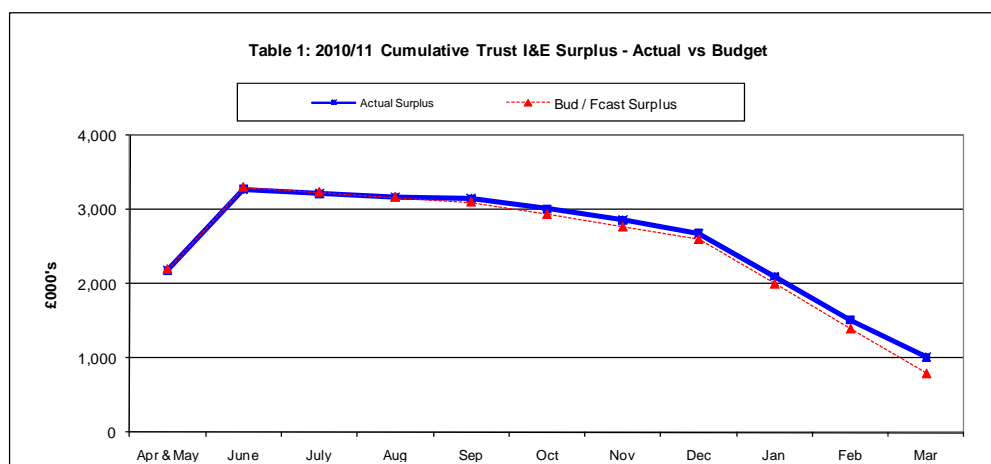
The Financial Risk Rating (FRR) remains at 3 based on published quarter 3 results and self assessment of quarter 4. This is in line with the Annual Plan submitted to Monitor in May 2010.

2. Financial Position Summary

2.1 Year to Date Position

Table 1 shows the actual cumulative income and expenditure surplus (excluding 'exceptional costs') compared to budget. It shows the £1.013m surplus for the year, slightly above the planned £0.8m surplus (favourable variance of £213,000).

Table 1 – I&E Surplus vs. Plan 2010/11



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Mar 2011 £m	Actual Apr-Mar 2011 £m	Variance £m
Revenue	511.0	535.7	24.7
Operating Expenses	(482.2)	(507.8)	(25.6)
EBITDA	28.8	27.9	(0.9)
Depreciation	(17.3)	(16.5)	0.8
Interest Receivable	0.6	0.3	(0.3)
Interest Payable	(11.3)	(10.5)	0.8
PDC Dividend	0.0	(0.2)	(0.2)
Operational (Deficit)/Surplus	0.8	1.0	0.2
Transition Costs	(8.0)	(7.1)	0.9
Impairments on Property	(260.5)	(243.6)	16.9
Retained (Deficit)/Surplus	(267.7)	(249.7)	18.0

Note - may include rounding differences

3. Income and Expenditure

3.1 Income Analysis

Income was £24.7m higher than budget during 2010/11. In line with previous months the majority of this (£13.9m) relates to additional NHS Clinical Income, which is primarily attributable cost per case funding for high cost drugs and devices excluded from tariff. Non-NHS Clinical Income is £5.0m higher than plan for the year due primarily to over-performance against the MOD treatment contract (£4.9m) which has been fully recognised following resolution of payment queries. Other Income includes education, research funding, non-patient care services

provided to other bodies (SLAs), trading and other ad-hoc income. This ended £5.8m ahead of plan for the year due primarily to research and education income although this is largely matched by corresponding expenditure commitments.

Table 3 – Income against plan

	Budget Apr-Mar 2011 £m	Actual Apr-Mar 2011 £m	Variance £m
Clinical - NHS	400.8	414.7	13.9
Clinical - Non NHS	12.5	17.5	5.0
Other	97.7	103.5	5.8
TOTAL	511.0	535.7	24.7

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2010/11 monthly admitted patient care activity against target levels for the first eleven months of the financial year including February 2011. This shows total Payment by Results spells were slightly below plan in the month and for the year to date. Non-PbR FCE's also remain marginally below plan year to date.

Outpatient activity in Table 4.2 shows that follow-up attendances and outpatient procedures were above plan in February but new outpatient attendances were slightly below plan in the month. For the year to 28 February 2011, total outpatient activity continues above plan.

Table 4.1 – Trust Inpatient Activity

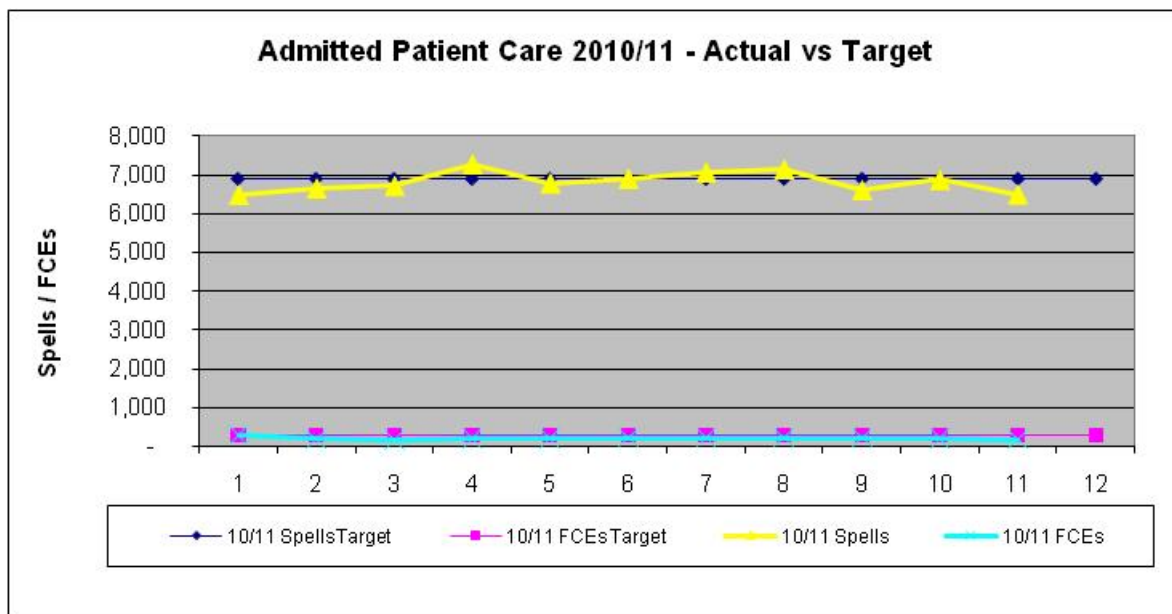


Table 4.2 – Trust Outpatient Activity

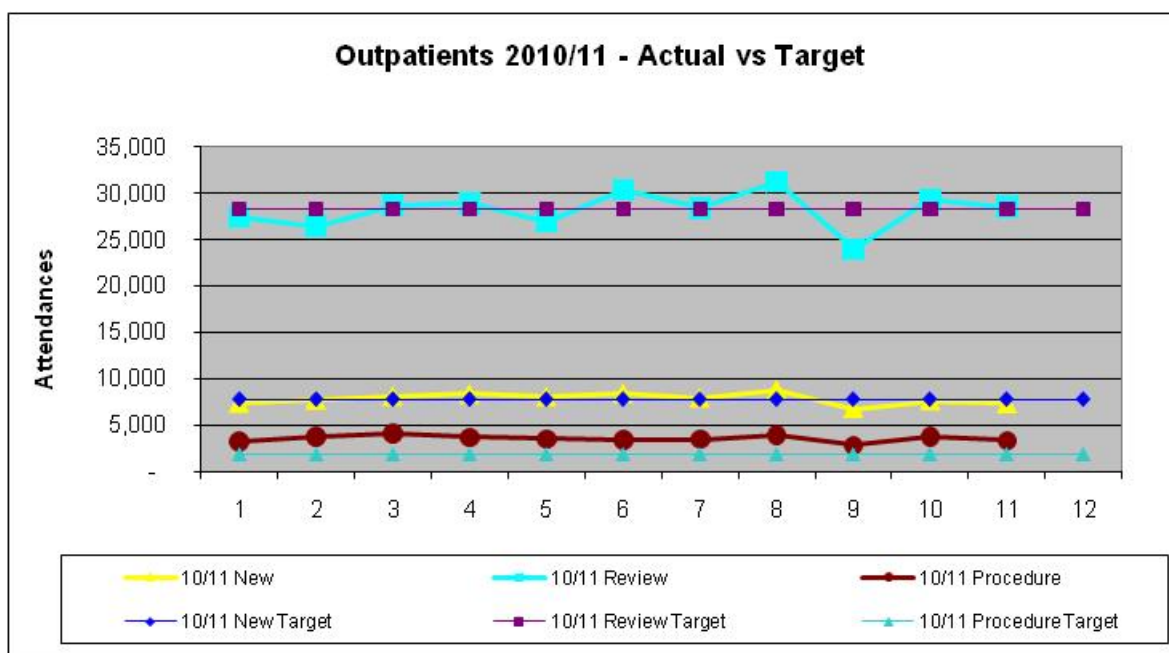


Table 5 below shows the variance by Division and by Point of Delivery (POD) against the 2010/11 healthcare income targets. It shows core healthcare income is £4.117m above plan (excluding cost per case drugs and devices) for the eleven months to 28 February 2011. Some payment risk remains due to queries raised by commissioners. Further detail of activity against plan by specialty and by commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines			
	Inpatient (£000)	Outpatient (£000)	Other (£000)	Total (£000)
Div 1	46	0	(225)	(179)
Div 2	(2,269)	(48)	101	(2,217)
Div 3	1,997	1,557	(193)	3,261
Div 4	849	1,500	(192)	2,157
Div 5	(1,041)	1,566	569	1,094
Total	(418)	4,574	(40)	4,117

Note - may include rounding differences

3.3

Expenditure Analysis

The subjective expenditure analysis in Table 6 shows an over spend of (£25.6m) against budgeted expenditure of £482.2m for the year. The main areas of over spend are High Cost Low Volume drugs (£8.2m) which are balanced by the increased NHS Clinical Income set out in section 3.1 above. Pay over spends include medical staff (£4.6m), nursing (£4.7m) and scientific & technical staff costs (£2.5m).

Table 6 – Expenditure against plan

	Budget Apr-Mar 2011 £m	Actual Apr-Mar 2011 £m	Variance £m
PAY			
Medical Staff	81.6	86.2	(4.6)
Nursing	93.4	98.0	(4.7)
Scientific & Technical	41.4	43.9	(2.5)
SMP / A&C	50.0	50.8	(0.8)
Other	11.5	11.4	0.1
Total Pay	277.9	290.2	(12.3)
NON PAY			
Drugs	48.8	57.0	(8.2)
Clinical Supplies & Services	66.4	67.0	(0.6)
Other	89.1	93.5	(4.4)
Total Non Pay	204.3	217.5	(13.2)
GRAND TOTAL	482.2	507.8	(25.6)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2010/11 Financial Plan includes a total efficiency savings target of £15.9m of which 95% was delivered as at the March 2011 year end. The slippage of (£702k) related primarily to Division 2 and was largely attributable to late commencement of schemes as shown below in Table 7.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan			
	Q1	Q2	Q3	Q4
Division 1	0	0	1	15
Division 2	(270)	(508)	(456)	(454)
Division 3	(109)	(107)	(171)	(243)
Division 4	(53)	(57)	(40)	0
Division 5	(30)	(81)	(108)	(28)
Corporate Budgets	(19)	(15)	(1)	0
Inflation Avoidance	0	0	0	0
Single Site	0	0	0	0
Total	(481)	(768)	(775)	(711)
% Achieved	84.4	88.5	93.1	94.9

4. **Divisional Analysis**

Divisional budgets (excluding healthcare income) incurred an adverse variance of (£893,000) in March resulting in a full year overspend of (£8,624,000). This is partially offset by the release of £1,858,000 from the

general contingency reserve and over-performance against healthcare income targets of £430,000.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000
Division 1	39	(1,573)	(1,534)
Division 2	1,219	(2,515)	(1,296)
Division 3	370	(5,668)	(5,298)
Division 4	365	(1,114)	(749)
Division 5	60	(1,566)	(1,506)
COO	21,586	(19,827)	1,759
Sub - Total	23,639	(32,263)	(8,624)
Healthcare Income	430	0	430
TOTAL	24,069	(32,263)	(8,194)
<i>Memo General Contingency Reserve</i>			<i>1,858</i>

Note - may include rounding differences

The main pressures during March related to nursing with a total divisional overspend of (£500k) for the month. Within this (£121k) related to staffing the additional 44 beds on E2B and Ward 407 with a further (£126k) relating to the other Division 3 wards due to cover for vacancies and sickness and the use of additional nursing for specific patients (e.g. patients with dementia). Nursing budgets across Theatres overspent by (£73k) due to cover for annual leave and sickness (£22k), additional sessions (£37k) and skill-mix issues (£14k). Other pressures during the month included Critical Care (£39k), the Emergency Department (£17k) and the Clinical Decision Unit (£39k).

In aggregate medical staffing budgets were in balance for the month. There were pressures in specific areas including locum cover for vacant training posts in Cardiac Surgery (£41k), Urology (£23k) and A&E (£20k) and locum consultant costs in Dermatology (£32k). This was offset by underspends elsewhere including gains on consultant recharges to and from other Trusts.

Other pressures during the month included activity related non-pay overspends in Trauma theatres (£70k) and non-pay pressures in Imaging (£283k) including increased consumable expenditure, charges for the Alliance mobile scanner and adverse stock movements. The overall position was been improved by income gains such as £40k over recovery for Injury Costs Recovery Scheme in the month, additional delayed discharge income of £136k and £77k for additional cardiac VAD / ECMO activity.

5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deduction of both short and long term liabilities. The lower part identifies the sources of finance or equity used to fund the net asset position. The Trust's Statement of Financial Position at 31 March 2011 is shown in Table 9.

Table 9 – Statement of Financial Position

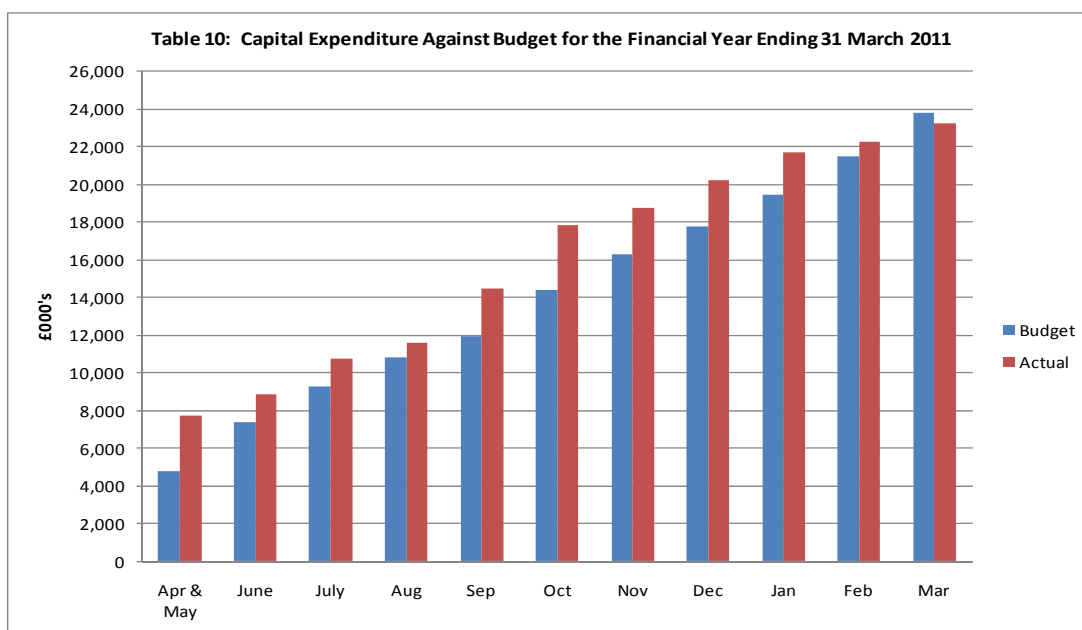
	Audited Mar 2010 £m	Actual Mar 2011 £m	YTD Plan Mar 2011 £m
Non Current Assets:			
Property, Plant and Equipment	125.7	437.0	421.6
Intangible Assets	0.8	1.0	0.8
Trade and Other Receivables	2.8	2.9	2.8
Other Assets	30.2	0.3	0.2
Total Non Current Assets	159.5	441.1	425.4
Current Assets:			
Inventories	10.9	12.8	9.8
Trade and Other Receivables	27.5	42.8	26.8
Other Financial Assets	1.1	1.1	1.1
Other Current Assets	9.1	2.2	1.8
Cash	96.3	62.0	69.9
Total Current Assets	144.8	120.9	109.4
Current Liabilities:			
Trade and Other Payables	62.6	56.5	52.6
Borrowings	0.1	10.9	11.1
Provisions	3.6	3.3	3.7
Tax Payable	6.1	6.3	6.1
Other Liabilities	27.5	13.7	20.3
Total Current Liabilities	99.8	90.7	93.8
Non Current Liabilities:			
Borrowings	0.0	447.9	447.9
Provisions	2.2	1.7	2.2
Other Liabilities	27.7	54.5	39.2
Total Non Current Liabilities	30.0	504.2	489.3
TOTAL ASSETS EMPLOYED	174.4	(32.9)	(48.3)
Financed by:			
Public Dividend Capital	171.0	171.0	171.0
Income & Expenditure Reserve	(68.4)	(316.5)	(336.1)
Donated Asset Reserve	7.7	8.7	7.7
Revaluation Reserve	64.1	103.9	109.1
TOTAL TAXPAYERS EQUITY	174.4	(32.9)	(48.3)

Note - may include rounding differences

6. Capital Programme

The approved 2010/11 capital programme budget is £23.8m. Actual expenditure for the year was £23.2m which is £0.6m below budget as shown in Table 10 below. Actual expenditure during March was £0.9m including £0.3m on new hospital catering equipment and £0.4m on medical equipment from the replacement and modernisation budgets. A summary of expenditure against major schemes is provided in Appendix D. Further detail is provided in the separate Capital Programme update paper which is due to be presented to the Board of Directors in April.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

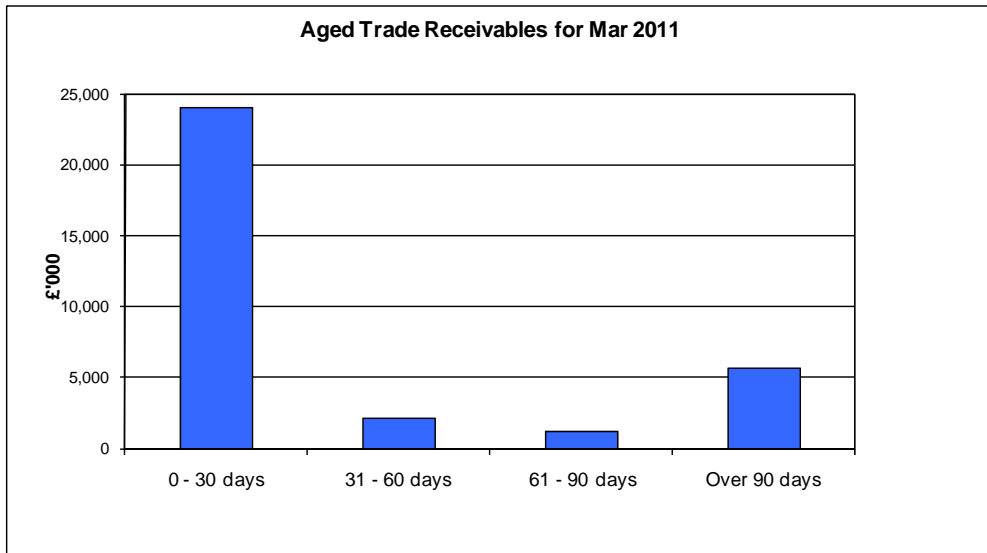
The value of current assets (excluding inventories and cash) due within one year was £46.0m at the end of March 2011. Table 11 below shows that within this £40.6m relates to trade receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over page.

Over 90 day debt at the end of March 2011 was £5.7m, a reduction of £0.4m from the previously reported figure. Within this the largest component relates to healthcare income balances (£2.0m) with commissioners including South Birmingham PCT (£0.6m), Worcester PCT (£0.5m) and Sandwell PCT (£0.3m). Other significant over 90 day debts include delayed discharge payments from Birmingham City Council (£0.9m) and outstanding SLA charges to other providers (£1.9m) including Birmingham Community Healthcare NHS Trust (£1.1m) and Heart of England NHSFT (£0.3m).

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Mar 2011 £m	Forecast Mar 2011 £m
Trade Receivables	40.6	23.4
Bad Debt Provision	-2.3	-1.3
Other Receivables	4.4	4.7
Trade and Other Receivables	42.8	26.8
Accrued Income	1.1	1.1
Other Financial Assets	1.1	1.1
Prepayments	2.2	1.8
Deferred Asset	0.0	0.0
Other Current Assets	2.2	1.8
TOTAL	46.1	29.7

Table 12 – Aged Debt Analysis of Trade Receivables due within One Year

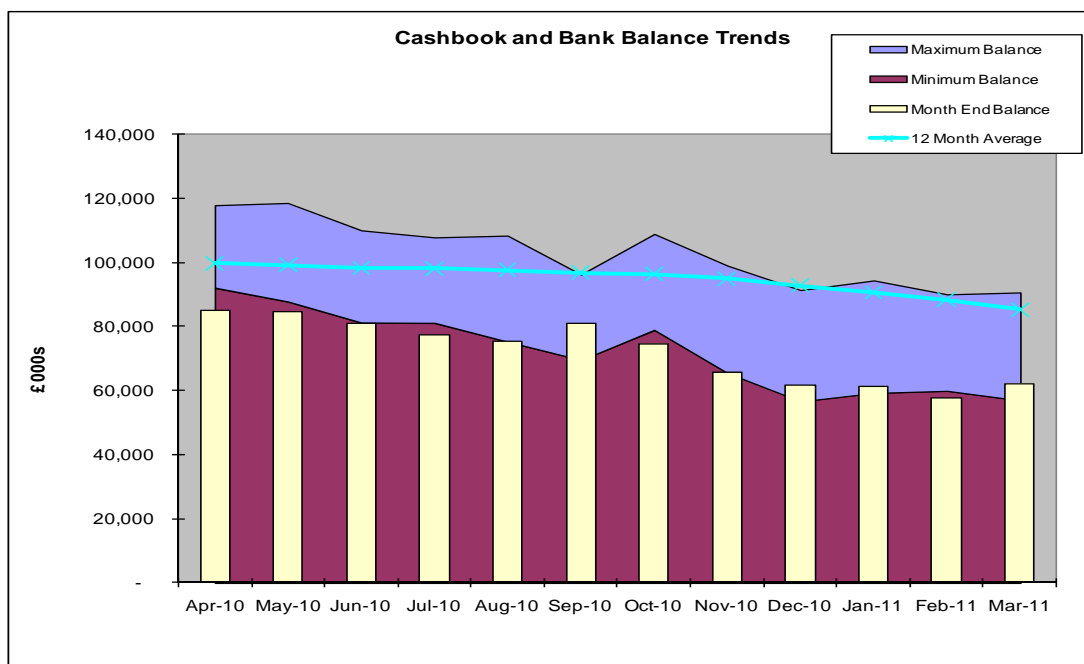


8. Cash Flow

A cash flow statement is included as Appendix F, this analyses actual and forecast cash received and paid out. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance.

The actual cashbook balance at 31 March 2011 was £62m, which is £7.9m below planned levels. This relates primarily to an increase in Debtors (Trade and Other Receivables) which are £16.0m above planned levels. Most of this debt is not in dispute and the shortfall should be recovered as the outstanding invoices are paid. The twelve month rolling average bank balance shows the underlying cash position of the Trust reducing over the period as planned.

Table 13 – Cash Flow



9. Working Capital Facility

In line with the 2010/11 Annual Plan submitted to Monitor, the Trust retains an overdraft facility of £20.0m. This is needed to meet the requirements of the Monitor liquidity ratio, although the facility has not been used and is not expected to be used in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust's Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the following Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2011	Actual - Mar 2011
Minimum Dividend Cover	> 1.0	n/a	76.9
Minimum Interest Cover	> 2.0	2.5	2.8
Minimum Debt Service Cover	> 1.5	1.5	1.5
Maximum Debt Service to Revenue	< 10%	3.8%	3.5%

The first three ratios compare how many times the Trust's income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan. Debt Service is the sum of interest paid and the capital repayment of the loan. The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan. The actual ratio's achieved in 2010/11 were in line or slightly ahead of the annual plans.

The Trust's Prudential Borrowing Limit of £561m is the maximum value of the PFI loan which will be reached at the opening of the final phase of the new hospital in October 2011.

10.2 Financial Risk Rating

The 2010/11 Financial Risk Rating (FRR) remains at 3 based on published quarter 3 results and self assessment of quarter 4. This is in line with the Annual Plan submitted to Monitor in May 2010. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. Monitor Finance Declaration

The 2010/11 Compliance Framework introduced an additional set of "Potential Financial Risk Indicators" to be used by Monitor to provide early warning of FT's facing material financial risk. These indicators do not form part of the

formal regulatory framework or Monitor's consideration for using their statutory powers of intervention but may trigger informal discussions with Trusts or requests for additional financial information. As part of this change all FT's are now required to submit quarterly a Finance Declaration (similar to the Governance Declaration) certifying that the Board anticipates that the Trust will maintain a financial risk rating of at least 3 for the next 12 months (Declaration 1). If this declaration cannot be made the Trust is required to sign Declaration 2 and provide additional information to support this.

A copy of the Finance Declaration is attached at Appendix H and the Board is requested to agree that Declaration 1 should be signed as current performance and future planning indicates that a FRR of at least 3 will be achieved over the next 12 months.

12. **Conclusion**

The Trust has reported a £1.013m income and expenditure surplus for the 2010/11 financial year which is £213k ahead of the budgeted surplus of £0.800m. This reflects over-performance against clinical and non-clinical income targets which has been offset by corresponding increases in expenditure. This position excludes transition costs of £7.110m and total impairment losses of £243.557m. Therefore, subject to audit of the annual accounts the overall deficit for 2010/11 is (£249.654m).

13. **Recommendations**

The Board of Directors is asked to:

- Receive the contents of this report
- Agree that Declaration 1 should be signed at Q4 stating that the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months



Mike Sexton
Director of Finance
15 April 2011

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

31st March 2011

APPENDIX A

BUDGET £'000	PERIOD ACTUAL £'000	VARIANCE £'000	BUDGET	BUDGET HOLDER	YEAR TO DATE			
					BUDGET £'000	ACTUAL £'000	VARIANCE £'000	
490	324	(166)	CHIEF EXECUTIVE /	DIRECT INCOME	Julie Moore	4,995	4,890	(105)
(1,082)	(951)	131		DIRECT EXPENDITURE PAY		(11,385)	(10,967)	418
(188)	(136)	52		NON PAY		(4,509)	(4,807)	(298)
(780)	(763)	17		TOTAL		(10,899)	(10,884)	15
2,327	3,694	1,367	RESERVES	SPECIFIC	Julie Moore	(5,918)	0	5,918
(156)	0	156		GENERAL		(1,871)	0	1,871
0		0		TOTAL		(7,789)	0	7,789
514	(4)	(518)	DIRECTOR OF FINANCE	DIRECT INCOME	Mike Sexton	7,897	6,463	(1,434)
(958)	(1,222)	(264)		DIRECT EXPENDITURE PAY		(8,242)	(8,272)	(30)
51	824	773		NON PAY		(4,636)	(3,171)	1,465
(393)	(402)	(9)		TOTAL		(4,981)	(4,980)	1
156	8	(148)	CHIEF NURSE	DIRECT INCOME	Kay Fawcett	2,445	2,082	(363)
(1,443)	(1,267)	176		DIRECT EXPENDITURE PAY		(16,553)	(16,117)	436
(275)	(334)	(59)		NON PAY		(3,158)	(3,395)	(237)
(1,562)	(1,593)	(31)		TOTAL		(17,266)	(17,430)	(164)
36,615	42,970	6,355	CHIEF OPERATING OFFICER	DIRECT INCOME	Kevin Bolger	425,409	449,478	24,069
(17,389)	(20,777)	(3,388)		DIRECT EXPENDITURE PAY		(219,371)	(233,109)	(13,738)
(12,055)	(15,485)	(3,430)		NON PAY		(129,708)	(148,233)	(18,525)
7,171	6,708	(463)		TOTAL		76,330	68,136	(8,194)
2,612	3,730	1,118	DIRECTOR OF DELIVERY	DIRECT INCOME	Tim Jones	38,293	39,500	1,207
(758)	(849)	(91)		DIRECT EXPENDITURE PAY		(8,657)	(8,615)	42
91	(930)	(1,021)		NON PAY		(14,386)	(15,627)	(1,241)
1,945	1,951	6		TOTAL		15,250	15,258	8
843	1,476	633	NEW HOSPITAL DIRECTOR	DIRECT INCOME	Morag Jackson	12,004	13,189	1,185
(461)	(171)	290		DIRECT EXPENDITURE PAY		(3,610)	(3,141)	469
(8,028)	(8,985)	(957)		NON PAY		(44,942)	(46,699)	(1,757)
(7,646)	(7,680)	(34)		TOTAL		(36,548)	(36,651)	(103)
1,551	1,518	(33)	MEDICAL DIRECTOR	DIRECT INCOME	Dr David Rosser	18,987	19,236	249
(1,008)	(873)	135		DIRECT EXPENDITURE PAY		(10,082)	(10,023)	59
(410)	(511)	(101)		NON PAY		(5,781)	(6,117)	(336)
133	134	1		TOTAL		3,124	3,096	(28)
131	160	29	CAPITAL CHARGES	DIRECT INCOME		1,570	1,224	(346)
0	0	0		DIRECT EXPENDITURE PAY		0	0	0
(1,770)	(2,711)	(941)		NON PAY		(17,991)	(16,756)	1,235
(1,639)	(2,551)	(912)		TOTAL		(16,421)	(15,532)	889
42,912	50,182	7,270	TOTAL	INCOME		511,600	536,062	24,462
(23,099)	(26,110)	(3,011)		PAY		(277,900)	(290,244)	(12,344)
(20,413)	(24,574)	(4,161)		NON PAY		(232,900)	(244,805)	(11,905)
(600)	(502)	98		SURPLUS BEFORE EXCEPTIONAL ITEMS		800	1,013	213
0	(791)	(791)		PROPERTY IMPAIRMENT		(260,500)	(243,557)	16,943
(667)	(689)	(22)		TRANSITION COSTS		(8,000)	(7,110)	890
(1,267)	(1,982)	(715)		OVERALL SURPLUS / (DEFICIT)		(267,700)	(249,654)	18,046

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY DIVISION

31st March 2011

APPENDIX B

BUDGET £'000	PERIOD		BUDGET	BUDGET HOLDER	YEAR TO DATE		
	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000
40,870	41,300	430	HEALTHCARE INCOME	DIRECT INCOME	424,952	425,382	430
0	0	0		DIRECT EXP PAY	0	0	0
0	0	0		NON PAY	0	0	0
40,870	41,300	430		TOTAL	424,952	425,382	430
15	(12)	(27)	DIVISION 1	DIRECT INCOME	175	214	39
(3,614)	(3,678)	(64)		DIRECT EXP PAY	(43,054)	(44,250)	(1,196)
(2,004)	(2,217)	(213)		NON PAY	(20,648)	(21,025)	(377)
(5,603)	(5,907)	(304)		TOTAL	(63,527)	(65,061)	(1,534)
(351)	(297)	54	DIVISION 2	DIRECT INCOME	4,245	5,464	1,219
(4,400)	(4,597)	(197)		DIRECT EXP PAY	(53,329)	(55,287)	(1,958)
(4,213)	(4,251)	(38)		NON PAY	(44,088)	(44,645)	(557)
(8,964)	(9,145)	(181)		TOTAL	(93,172)	(94,468)	(1,296)
396	603	207	DIVISION 3	DIRECT INCOME	5,025	5,395	370
(3,925)	(4,301)	(376)		DIRECT EXP PAY	(44,779)	(48,298)	(3,519)
(1,052)	(1,249)	(197)		NON PAY	(10,581)	(12,730)	(2,149)
(4,581)	(4,947)	(366)		TOTAL	(50,335)	(55,633)	(5,298)
727	1,054	327	DIVISION 4	DIRECT INCOME	11,288	11,653	365
(4,522)	(4,559)	(37)		DIRECT EXP PAY	(50,644)	(50,403)	241
(4,200)	(4,699)	(499)		NON PAY	(41,506)	(42,861)	(1,355)
(7,995)	(8,204)	(209)		TOTAL	(80,862)	(81,611)	(749)
32	54	22	DIVISION 5	DIRECT INCOME	401	461	60
(2,374)	(2,496)	(122)		DIRECT EXP PAY	(28,143)	(30,120)	(1,977)
(2,603)	(2,588)	15		NON PAY	(26,834)	(26,423)	411
(4,945)	(5,030)	(85)		TOTAL	(54,576)	(56,082)	(1,506)
(5,074)	268	5,342	CHIEF OPERATING OFFICER	DIRECT INCOME	(20,677)	909	21,586
1,446	(1,146)	(2,592)		DIRECT EXP PAY	578	(4,751)	(5,329)
2,017	(481)	(2,498)		NON PAY	13,949	(549)	(14,498)
(1,611)	(1,359)	252		TOTAL	(6,150)	(4,391)	1,759
36,615	42,970	6,355	TOTAL OPERATIONAL	INCOME	425,409	449,478	24,069
(17,389)	(20,777)	(3,388)	DIVISIONS	PAY	(219,371)	(233,109)	(13,738)
(12,055)	(15,485)	(3,430)		NON PAY	(129,708)	(148,233)	(18,525)
7,171	6,708	(463)		TOTAL SURPLUS / (DEFICIT)	76,330	68,136	(8,194)

APPENDIX C

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
STATEMENT OF COMPREHENSIVE INCOME

31st March 2011

	Budget	Period To date Actual	Variance
	£'000	£'000	£'000
Income			
-Clinical - NHS	400,800	414,758	13,958
-Clinical - Non NHS	12,500	17,485	4,985
-Other	97,700	103,461	5,761
TOTAL INCOME	511,000	535,704	24,704
Operating Expenses			
-Pay Costs	(277,900)	(290,244)	(12,344)
-Non Pay	(204,300)	(217,542)	(13,242)
-Depreciation	(17,300)	(16,534)	766
TOTAL EXPENDITURE	(499,500)	(524,320)	(24,821)
OPERATING SURPLUS	11,500	11,383	(117)
Interest Receivable	600	358	(242)
Interest Payable	(11,300)	(10,497)	803
PDC Dividends Payable	0	(231)	(231)
SURPLUS FOR THE FINANCIAL YEAR	800	1,013	214
Transition Costs	(8,000)	(7,110)	890
Impairments on Property	(260,500)	(243,557)	16,943
RETAINED SURPLUS FOR THE YEAR	(267,700)	(249,654)	18,047

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CAPITAL PROGRAMME (NHS EXPENDITURE)

31st March 2011

APPENDIX D

BUDGET £'000	PERIOD ACTUAL £'000	VARIANCE £'000	SCHEME	BUDGET £'000	TO DATE ACTUAL £'000	VARIANCE £'000
194	(51)	244	Bfwd Schemes 09-10	1,938	670	1,268
477	401	76	New Equipment 10/11	10,132	11,213	(1,081)
166	0	166	IT Strategy and Infrastructure 10/11	1,695	1,313	382
50	476	(426)	Chief Operating Officer Discretionary Capital	300	652	(352)
395	(273)	668	Equipment Replacement	3,550	3,605	(55)
545	400	145	Retained Estate	5,275	5,590	(315)
671	0	671	Modernisation	2,900	167	2,733
(183)	0	(183)	Slippage	(2,000)	0	(2,000)
2,315	953	1,361	Capital Programme	23,790	23,210	579

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 AGED ANALYSIS OF TRADE RECEIVABLES

31st March 2011

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	17,565,863.27 100%	1686	4,023,071.26 23%	5,667,665.59 32%	4,548,985.39 26%	3,326,141.03 19%
JUNE	14,734,378.89 100%	1951	1,633,119.58 11%	3,060,771.77 21%	3,508,022.23 24%	6,532,465.31 44%
JULY	22,694,818.40 100%	2111	12,604,925.61 56%	3,338,063.82 15%	1,545,385.34 7%	5,206,443.63 23%
AUG	17,780,657.85 100%	2521	5,395,740.49 30%	5,659,738.23 32%	2,127,094.75 12%	4,598,084.38 26%
SEP	19,129,130.80 100%	1615	7,022,755.57 37%	2,580,434.73 13%	3,312,150.29 17%	6,213,790.21 32%
OCT	16,070,266.86 100%	2090	5,669,394.84 35%	1,703,915.13 11%	1,754,801.73 11%	6,942,155.16 43%
NOV	16,043,277.03 100%	2026	4,516,414.49 28%	3,862,590.84 24%	1,445,181.81 9%	6,219,089.89 39%
DEC	16,657,637.10 100%	2323	6,589,779.32 40%	2,065,726.42 12%	2,491,176.78 15%	5,510,954.58 33%
JAN	21,576,543.60 100%	2471	9,480,117.80 44%	4,553,597.17 21%	1,393,745.73 6%	6,149,082.90 28%
FEB	17,967,897.50 100%	2363	5,187,690.40 29%	3,239,118.22 18%	3,420,160.26 19%	6,120,928.62 34%
MAR	32,954,308.09 100%	3168	23,990,431.22 73%	2,098,903.55 6%	1,196,835.77 4%	5,668,137.55 17%

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division 1</u>						
Critical Care Medicine	1	15	0	30	-1	15
	1	15	0	30	-1	15
<u>Specialty Group: 2 - Division 2</u>						
Cardiology	2,196	1,217	2,315	1,088	119	-129
Cardiothoracic Surgery	667	193	560	261	-107	68
Cardiothoracic Transplantation	4	3	0	0	-4	-3
Colorectal Surgery	2,208	1,544	2,116	1,376	-92	-168
Endoscopy	0	0	0	0	0	0
ENT	1,821	466	1,627	415	-194	-51
Gastroenterology	4,165	259	4,252	256	87	-2
General Surgery	2,862	1,792	2,559	2,220	-303	428
Hepatobiliary & Pancreatic Surgery	776	308	805	268	29	-40
Hepatology	803	154	1,050	217	247	63
Liver Transplantation	0	0	0	0	0	0
Maxillo-facial surgery	876	597	996	529	120	-68
Nephrology	647	1,084	823	1,085	176	1
Transplantation Surgery	2	50	3	29	1	-21
Upper Gastrointestinal Surgery	81	131	145	2	64	-129
Urology	2,338	1,043	2,213	831	-125	-212
	19,447	8,840	19,464	8,577	17	-263
<u>Specialty Group: 3 - Division 3</u>						
Accident & Emergency	7	1,505	5	695	-2	-810
General Medicine	179	12,920	227	16,039	48	3,119
Geriatric Medicine	5	953	1	939	-4	-14
Infectious Diseases	0	0	0	2	0	2
Neurology	1,539	257	1,718	160	179	-97
Neurosurgery	2,673	1,140	2,226	1,320	-447	180
Rehabilitation	31	17	26	15	-5	-2
Respiratory Medicine	73	1,053	95	458	22	-595
	4,507	17,847	4,298	19,628	-209	1,781
<u>Specialty Group: 4 - Division 4</u>						
Breast Surgery	431	1	400	1	-31	0
Blood and Marrow Transplantation	1	5	11	6	10	1
Chemical Pathology	0	0	0	0	0	0
Clinical Oncology	959	606	972	690	13	84
Clinical Haematology	3,036	605	3,097	673	61	68
Medical Oncology	512	431	516	466	4	35
X-ray	0	0	0	0	0	0
	4,939	1,647	4,996	1,836	57	189
<u>Specialty Group: 5 - Division 5</u>						
Burns care	0	5	16	52	16	47
Dermatology	819	20	829	18	10	-2
Diabetic Medicine	969	3	868	0	-101	-3
Endocrinology	600	37	594	75	-6	38
Genitourinary Medicine	6	28	4	27	-2	-1
Ophthalmology	4,509	11	2,943	12	-1,566	1
Pain Management	1,992	4	1,391	2	-601	-2
Plastic Surgery	2,690	619	2,676	597	-14	-22
Rheumatology	487	10	509	3	22	-7
Trauma & Orthopaedics	2,033	2,308	2,343	2,022	310	-286
Vascular Surgery	1,167	201	1,117	169	-50	-32
	15,271	3,245	13,290	2,977	-1,981	-268
<u>Summary: Services Under PbR</u>	44,165	31,594	42,048	33,048	-2,117	1,454

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division 1</u>						
Critical Care Medicine	0	1	0	1	0	0
	0	1	0	1	0	0
<u>Specialty Group: 2 - Division 2</u>						
Cardiology	42	45	60	33	18	-12
Cardiac MRI	57	0	95	0	38	0
Cardiothoracic Surgery	120	5	113	11	-7	6
Cardiothoracic Transplantation	33	18	15	12	-18	-6
Colorectal Surgery	60	2	45	0	-15	-2
ENT	82	0	102	0	20	0
Gastroenterology	78	3	44	3	-34	0
General Surgery	184	8	142	4	-42	-4
Hepatobiliary & Pancreatic Surgery	42	4	51	9	9	5
Hepatology	61	61	48	47	-13	-14
Liver Transplantation	4	1	0	0	-4	-1
Maxillo-facial surgery	77	6	113	6	36	0
Nephrology	62	5	47	7	-15	2
Renal Transplantation	89	63	96	20	7	-43
Upper Gastrointestinal Surgery	3	0	3	0	0	0
Urology	195	2	153	5	-42	3
	1,189	224	1,127	157	-62	-67
<u>Specialty Group: 3 - Division 3</u>						
Accident & Emergency	0	63	0	23	0	-40
General Medicine	3	304	5	490	2	186
Geriatric Medicine	0	9	0	20	0	11
Neurology	35	0	26	0	-9	0
Neurosurgery	226	6	181	11	-44	6
Rehabilitation	0	0	1	0	1	0
Respiratory Medicine	3	25	1	9	-2	-16
	266	407	214	553	-52	146
<u>Specialty Group: 4 - Division 4</u>						
Bone & Marrow Transplantation	2	0	10	1	8	1
Blood and Marrow Transplantation	36	1	43	0	7	-1
Breast Surgery	8	0	5	0	-3	0
Clinical Oncology	19	3	27	1	8	-2
Clinical Haematology	59	6	35	2	-24	-4
Medical Oncology	89	17	94	37	5	20
	213	27	214	41	1	14
<u>Specialty Group: 5 - Division 5</u>						
Burns care	20	243	10	296	-10	53
Genito-urinary Medicine	0	0	0	0	0	0
Dermatology	31	0	41	0	10	0
Endocrinology	16	0	2	2	-14	2
Ophthalmology	72	0	72	0	1	0
Pain Management	103	0	41	0	-62	0
Plastic Surgery	127	62	97	38	-30	-24
Rheumatology	5	0	8	0	3	0
Trauma & Orthopaedics	206	17	241	19	35	2
Vascular Surgery	73	1	62	0	-11	-1
Summary	653	324	574	355	-79	31
<u>Summary: Services at Local Prices</u>	2,320	982	2,129	1,107	-191	125

SLAM REPORT: Outpatient Activity by Division [Month 11 - April-March 2011]

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division 1</u>	0	0	0	0	0	0	0	0	0
<u>Specialty Group: 2 - Division 2</u>									
Cardiology	8,771	17,592	710	7,746	19,517	2,847	-1,025	1,925	2,138
Cardiothoracic Surgery	0	0	247	0	0	880	0	0	633
Colorectal Surgery	1,544	1,567	1,351	1,285	1,437	1,868	-259	-130	517
ENT	4,978	9,865	5,380	4,206	8,954	6,529	-772	-911	1,149
Gastroenterology	2,087	3,759	133	1,858	3,819	208	-229	60	75
General Surgery	3,104	8,222	183	2,255	6,416	610	-849	-1,806	427
Hepatobiliary & Pancreatic Surgery	970	3,334	165	982	3,322	384	12	-12	219
Hepatology	1,319	10,458	98	1,388	9,153	290	69	-1,305	192
Maxillo-facial surgery	3,125	7,586	1,019	3,248	7,262	1,443	123	-324	424
Nephrology	0	0	490	0	0	414	0	0	-76
Transplantation Surgery	0	0	0	0	0	7	0	0	7
Upper Gastrointestinal Surgery	117	419	6	322	485	1	205	66	-5
Urology	3,163	9,089	3,251	3,074	8,307	3,259	-89	-782	8
	29,178	71,890	13,032	26,364	68,672	18,740	-2,814	-3,218	5,708
<u>Specialty Group: 3 - Division 3</u>									
Accident & Emergency	0	0	0	0	0	1	0	0	1
General Medicine	720	4,455	270	1,043	6,150	208	323	1,695	-62
Geriatric Medicine	577	1,004	2	578	874	13	1	-130	11
Neurology	0	0	3	0	0	23	0	0	20
Neurosurgery	0	0	0	0	0	5	0	0	5
Physiotherapy	0	0	358	0	0	310	0	0	-48
Podiatry	0	0	6	0	0	9	0	0	4
Rehabilitation	0	0	0	0	0	1	0	0	1
Occupational Therapy	0	0	2	0	0	0	0	0	-2
Speech & Language Therapy	0	0	0	0	0	9	0	0	9
Respiratory Medicine	1,276	4,925	0	1,513	4,515	4	237	-410	4
Respiratory Physiology	0	0	0	5	0	0	5	0	0
	2,573	10,384	640	3,139	11,539	583	566	1,155	-57
<u>Specialty Group: 4 - Division 4</u>									
Breast Surgery	2,447	4,006	83	2,455	4,441	964	8	435	882
Clinical Microbiology	0	0	0	0	0	0	0	0	0
Clinical Oncology	5,169	13,464	0	5,400	13,148	837	231	-316	837
Chemical Pathology	0	0	0	0	0	66	0	0	66
Clinical Haematology	1,178	11,326	0	1,152	10,783	1,929	-26	-543	1,929
Interventional Radiology	220	40	0	9	0	0	-211	-40	0
Medical Oncology	1,075	10,009	481	957	7,869	2,950	-118	-2,140	2,469
	10,089	38,846	564	9,973	36,241	6,746	-116	-2,605	6,182
<u>Specialty Group: 5 - Division 5</u>									
Burns care	0	0	0	0	0	92	0	0	92
Dermatology	0	0	1,653	0	0	3,580	0	0	1,927
Diabetic Medicine	835	6,398	30	683	6,880	75	-152	482	45
Endocrinology	1,249	5,268	3	1,013	3,203	77	-236	-2,065	74
Ophthalmology	7,027	22,403	319	6,038	20,961	1,735	-989	-1,442	1,416
Pain Management	1,677	3,014	5	1,110	1,893	318	-567	-1,121	313
Plastic Surgery	2,054	9,695	1,338	2,171	9,894	2,107	117	199	769
Rheumatology	1,442	8,691	2	1,570	9,518	1	128	827	-1
Trauma & Orthopaedics	5,370	18,694	225	5,663	17,651	1,206	293	-1,043	981
Vascular Surgery	1,194	3,666	46	1,213	3,470	166	20	-196	120
	20,848	77,829	3,620	19,461	73,470	9,357	-1,387	-4,359	5,737
<u>Summary: Services Under PbR</u>	62,688	198,949	17,856	58,937	189,922	35,426	-3,751	-9,027	17,570

SLAM REPORT: Outpatient Activity by Division [Month 11 - April-March 2011]

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division 1</u>	0	0	0	0	0	0	0	0	0
<u>Specialty Group: 2 - Division 2</u>									
Audiology	981	12,392	0	863	13,122	0	-118	730	0
Cardiac MRI	885	0	0	1,389	0	0	504	0	0
Cardiology	2,148	755	0	163	541	0	-1,985	-214	0
Cardiothoracic Surgery	414	2,314	5	128	2,402	9	-286	88	4
Cardiothoracic Transplantation	1	52	0	0	37	0	-1	-15	0
Colorectal Surgery	0	0	2	3	7	1	3	7	-1
ENT	1	5	8	1	6	15	0	1	7
Gastroenterology	0	6	0	0	1	5	0	-5	5
General Surgery	9	50	2	1	42	1	-8	-8	-1
Hepatology	0	601	2	2	355	0	2	-246	-2
Liver Transplantation	0	28	0	0	0	0	0	-28	0
Maxillo-facial surgery	6	21	6	0	1	2	-6	-20	-4
Nephrology	1,267	20,856	0	1,204	21,418	0	-63	562	0
Renal Transplantation	6	2,186	0	55	1,986	0	50	-200	0
Upper Gastrointestinal Surgery	3	0	0	19	13	0	16	13	0
Urology	0	303	7	62	310	0	62	8	-7
	5,720	39,568	31	3,890	40,241	33	-1,830	673	2
<u>Specialty Group: 3 - Division 3</u>									
Accident & Emergency	1,350	933	0	1,347	540	0	-3	-393	0
General Medicine	17	397	0	6	479	0	-11	82	0
Geriatric Medicine	0	3	0	0	0	0	0	-3	0
Neurology	5,493	14,896	0	5,391	13,136	36	-102	-1,760	36
Neurosurgery	3,269	7,116	0	2,932	7,015	44	-337	-101	44
Rehabilitation	0	0	0	0	0	7	0	0	7
Respiratory Medicine	0	0	0	0	0	3	0	0	3
Physiotherapy	5,499	21,390	0	5,715	26,097	0	216	4,707	0
Podiatry	253	743	0	237	854	0	-16	111	0
Speech & Language Therapy	454	952	22	486	1,261	0	32	310	-22
Nutrition & Dietetics	1,229	3,375	0	1,481	4,008	0	252	633	0
Occupational Therapy	2,031	4,862	0	815	5,460	0	-1,216	598	0
Upper Limb	0	0	0	29	1,071	0	29	1,071	0
	19,596	54,666	22	18,439	59,921	90	-1,157	5,255	68
<u>Specialty Group: 4 - Division 4</u>									
Breast Surgery	0	82	0	0	0	0	0	-82	0
Chemical Pathology	1	1	0	0	0	0	-1	-1	0
Clinical Oncology	0	3	0	2	10	2	2	7	2
Clinical Haematology	464	21,924	0	489	22,406	0	25	482	0
Interventional Radiology	70	25	0	72	55	0	2	30	0
Medical Oncology	602	5,805	2	671	5,945	0	69	140	-2
	1,137	27,839	2	1,234	28,416	2	97	577	0
<u>Specialty Group: 5 - Division 5</u>									
Burns care	44	182	2	27	80	1	-17	-102	-1
Genito-Urinary Medicine	0	8,398	0	9	10,421	0	9	2,023	0
Dermatology	7,210	12,517	2,379	5,630	10,641	3,483	-1,580	-1,876	1,104
Diabetic Medicine	0	1,064	0	0	1,683	0	0	619	0
Endocrinology	0	1	221	71	140	0	71	139	-221
Orthopaedic Triage - Imaging	0	0	0	4,218	1,078	0	4,218	1,078	0
Pain Management	3	0	0	6	3	0	3	3	0
Plastic Surgery	1	0	33	0	31	34	-1	31	1
Rheumatology	4	225	1	35	405	1	31	180	0
Trauma & Orthopaedics	3,972	7,744	30	2,991	7,828	91	-981	84	61
Vascular Surgery	0	0	4	0	0	2	0	0	-2
	11,233	30,132	2,669	12,987	32,310	3,612	1,754	2,178	943
<u>Summary: Services at Local Prices</u>	37,685	152,204	2,724	36,550	160,888	3,737	-1,135	8,684	1,013

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
SOUTH BIRMINGHAM PCT	MAND	18,859	18886	27	100%	19,521	20331	810	104%	38,380	39,217	837	102%
	NON-TARIFF	714	717	3	100%	345	459	114	133%	1,059	1,176	117	111%
TOTAL SOUTH BIRMINGHAM PCT	TOTAL	19,573	19,603	30	100%	19,865	20,790	925	105%	39,438	40,393	955	102%
HEART OF BIRMINGHAM TPCT	MAND	3,505	3386	- 119	97%	3,281	3235	- 46	99%	6,786	6,621	- 165	98%
	NON-TARIFF	179	317	138	177%	185	74	- 111	40%	364	391	27	107%
TOTAL HEART OF BIRMINGHAM TPCT	TOTAL	3,684	3,703	19	101%	3,466	3,309	- 157	95%	7,150	7,012	- 138	98%
BIRMINGHAM EAST & NORTH PCT	MAND	2,522	2535	13	101%	1,156	1181	25	102%	3,678	3,716	38	101%
	NON-TARIFF	114	173	59	152%	17	40	24	242%	130	213	83	164%
TOTAL NORTH BIRMINGHAM PCT	TOTAL	2,635	2,708	73	103%	1,173	1,221	48	104%	3,808	3,929	121	103%
OTHER WEST MIDLANDS ACUTE COMMISSIONERS	MAND	11,338	12584	1,246	111%	4,768	5215	447	109%	16,105	17,799	1,694	111%
	NON-TARIFF	584	729	145	125%	368	359	- 9	97%	952	1,088	136	114%
TOTAL WEST MIDLANDS ACUTE COMMISSIONERS	TOTAL	11,922	13,313	1,391	112%	5,136	5,574	438	109%	17,058	18,887	1,829	111%
PAN BIRMINGHAM LSCG	MAND	2,191	1890	- 301	86%	1,420	1537	117	108%	3,612	3,427	- 185	95%
	NON-TARIFF	230	39	- 191	17%	73	22	- 51	30%	303	61	- 242	20%
TOTAL PAN BIRMINGHAM LSCG	TOTAL	2,422	1,929	- 493	80%	1,494	1,559	65	104%	3,915	3,488	- 427	89%
BLACK COUNTRY LSCG	MAND	408	388	- 20	95%	222	272	50	122%	630	660	30	105%
	NON-TARIFF	61	15	- 46	24%	18	4	- 14	22%	80	19	- 61	24%
TOTAL BLACK COUNTRY LSCG	TOTAL	470	403	- 67	86%	240	276	36	115%	710	679	- 31	96%
WEST MIDLANDS SOUTH LSCG	MAND	745	678	- 67	91%	476	426	- 50	90%	1,221	1,104	- 117	90%
	NON-TARIFF	72	21	- 51	29%	22	6	- 16	27%	94	27	- 67	29%
TOTAL WEST MIDLANDS SOUTH LSCG	TOTAL	817	699	- 118	86%	498	432	- 66	87%	1,314	1,131	- 183	86%
SHROPSHIRE/STAFFORDSHIRE LSCG	MAND	340	300	- 40	88%	121	117	- 4	96%	462	417	- 45	90%
	NON-TARIFF	64	31	- 33	48%	26	5	- 21	19%	90	36	- 54	40%
TOTAL SHROPSHIRE/STAFFORDSHIRE LSCG	TOTAL	405	331	- 74	82%	147	122	- 25	83%	552	453	- 99	82%
EAST MIDLANDS SCG	MAND	258	330	72	128%	85	89	4	104%	343	419	76	122%
	NON-TARIFF	13	10	- 3	78%	30	23	- 7	76%	43	33	- 10	77%
TOTAL EAST MIDLANDS SCG	TOTAL	270	340	70	126%	116	112	- 3	97%	386	452	66	117%
YORKSHIRE & HUMBER SCG	MAND	60	89	29	149%	33	45	12	136%	93	134	41	145%
	NON-TARIFF	4	0	- 4	0%	3	5	2	182%	6	5	- 1	78%
TOTAL YORKSHIRE & HUMBER SCG	TOTAL	63	89	26	141%	36	50	14	140%	99	139	40	140%
ALL OTHER COMMISSIONERS	MAND	3,448	477	- 2,971	14%	223	244	21	110%	3,670	721	- 2,949	20%
	NON-TARIFF	36	21	- 15	59%	30	43	13	142%	66	64	- 2	97%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	195	33	- 2,985	17%	64	14	34	22%	259	47	- 212	18%
NSCAG	MAND	-	0	-	0%	-	-	-	0%	-	-	-	0%
	NON-TARIFF	-	4	4	0%	-	-	-	0%	-	4	4	0%
TOTAL NSCAG	TOTAL	-	4	4	0%	-	-	-	0%	-	4	4	0%
WALES	MAND	322	318	- 4	99%	117	149	32	127%	439	467	28	106%
	NON-TARIFF	59	39	- 20	66%	26	22	- 4	86%	84	61	- 23	72%
TOTAL WALES	TOTAL	380	357	- 23	94%	143	171	28	120%	523	528	5	101%
SCOTLAND	MAND	-	2	2	0%	-	5	5	0%	-	7	7	0%
	NON-TARIFF	-	2	2	0%	4	5	1	136%	4	7	3	191%
TOTAL SCOTLAND	TOTAL	-	4	4	0%	4	10	6	273%	4	14	10	382%
NON-CONTRACTED ACTIVITY	MAND	170	185	15	109%	171	202	32	118%	340	387	47	114%
	NON-TARIFF	15	15	0	102%	12	40	28	336%	27	55	28	207%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	184	200	16	109%	182	242	60	133%	367	442	75	121%
TOTAL MANDATORY	MAND	44,165	42,048	- 2,117	95%	31,594	33,048	1,454	105%	75,759	75,096	- 663	99%
TOTAL NON-MANDATORY	NON-TARIFF	2,143	2,129	- 14	99%	1,159	1,107	- 52	96%	3,302	3,236	- 66	98%
TOTAL	TOTAL	46,308	44,177	- 2,131	95%	32,753	34,155	1,402	104%	79,061	78,332	- 729	99%

		OUTPATIENT ATTENDANCES																
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL				
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		
SOUTH BIRMINGHAM PCT	MAND	32,913	31,702	- 1,211	96%	90,841	89,429	- 1,412	98%	10,314	17,677	7,363	171%	134,068	138,808	4,740	104%	
	NON-TARIFF	10,163	9,242	- 921	91%	48,417	48,578	161	100%	1,829	2,344	515	128%	60,409	60,164	- 245	100%	
TOTAL SOUTH BIRMINGHAM PCT	TOTAL	43,076	40,944	- 2,132	95%	139,258	138,007	- 1,251	99%	12,143	20,021	7,878	165%	194,477	198,972	4,495	102%	
HEART OF BIRMINGHAM TPCT	MAND	5,897	5,303	- 594	90%	17,035	16,646	- 389	98%	1,626	2,526	900	155%	24,558	24,475	- 83	100%	
	NON-TARIFF	5,704	8,474	2,771	149%	14,462	14,922	460	103%	292	492	200	168%	20,458	23,888	3,430	117%	
TOTAL HEART OF BIRMINGHAM TPCT	TOTAL	11,600	13,777	2,177	119%	31,497	31,568	71	100%	1,919	3,018	1,099	157%	45,016	48,363	3,347	107%	
BIRMINGHAM EAST & NORTH PCT	MAND	2,912	3,153	241	108%	11,104	11,433	329	103%	1,277	1,985	708	155%	15,293	16,571	1,278	108%	
	NON-TARIFF	825	1,115	290	135%	4,642	4,809	167	104%	37	186	149	507%	5,504	6,110	606	111%	
TOTAL NORTH BIRMINGHAM PCT	TOTAL	3,737	4,268	531	114%	15,746	16,242	496	103%	1,314	2,171	857	165%	20,797	22,681	1,884	109%	
OTHER WEST MIDLANDS ACUTE COMMISSIONERS	MAND	11,780	12,442	662	106%	47,462	50,017	2,555	105%	4,435	8,485	4,050	191%	63,677	70,944	7,267	111%	
	NON-TARIFF	4,873	4,499	- 374	92%	22,655	23,010	355	102%	215	456	241	212%	27,743	27,965	222	101%	
TOTAL WEST MIDLANDS ACUTE COMMISSIONERS	TOTAL	16,653	16,941	288	102%	70,117	73,027	2,910	104%	4,650	8,941	4,291	192%	91,421	98,909	7,488	108%	
PAN BIRMINGHAM LSCG	MAND	4,438	4,466	28	101%	16,608	14,575	- 2,033	88%	-	3,369	3,369	0%	21,046	22,410	1,364	106%	
	NON-TARIFF	2,744	2,419	- 325	88%	18,300	19,935	1,635	109%	24	28	4	117%	21,068	22,382	1,314	106%	
TOTAL PAN BIRMINGHAM LSCG	TOTAL	7,181	6,885	- 296	96%	34,909	34,510	- 399	99%	24	3,397	3,373	14253%	42,114	44,792	2,679	106%	
BLACK COUNTRY LSCG	MAND	692	729	37	105%	2,283	2,268	- 15	99%	-	469	469	0%	2,976	3,466	491	116%	
	NON-TARIFF	880	996	116	113%	3,526	3,688	163	105%	1	43	42	4691%	4,406	4,727	321	107%	
TOTAL BLACK COUNTRY LSCG	TOTAL	1,572	1,725	153	110%	5,809	5,956	147	103%	1	512	511	55855%	7,382	8,193	811	111%	
WEST MIDLANDS SOUTH LSCG	MAND	-	-	-	0%	-	-	-	0%	-	187	187	0%	-	187	187	0%	
	NON-TARIFF	468	372	- 96	79%	2,148	2,144	- 4	100%	6	8	2	125%	2,623	2,524	- 99	96%	
TOTAL WEST MIDLANDS SOUTH LSCG	TOTAL	468	372	- 96	79%	2,148	2,144	- 4	100%	6	195	189	3039%	2,623	2,711	88	103%	
SHROPSHIRE/STAFFORDSHIRE LSCG	MAND	-	-	-	0%	-	-	-	0%	-	89	89	0%	-	89	89	0%	
	NON-TARIFF	300	253	- 47	84%	1,343	1,201	- 142	89%	1	9	8	982%	1,644	1,463	- 181	89%	
TOTAL SHROPSHIRE/STAFFORDSHIRE LSCG	TOTAL	300	253	- 47	84%	1,343	1,201	- 142	89%	1	98	97	10691%	1,644	1,552	- 92	94%	
EAST MIDLANDS SCG	MAND	236	226	- 10	96%	1,061	1,079	18	102%	43	152	109	353%	1,339	1,457	118	109%	
	NON-TARIFF	93	93	0	100%	329	399	70	121%	33	30	- 3	91%	455	522	67	115%	
TOTAL EAST MIDLANDS SCG	TOTAL	328	319	- 9	97%	1,390	1,478	88	106%	76	182	106	239%	1,794	1,979	185	110%	
YORKSHIRE & HUMBER SCG	MAND	65	65	0	100%	226	174	- 52	77%	18	23	5	125%	310	262	- 48	85%	
	NON-TARIFF	30	30	0	99%	112	159	47	142%	6	17	12	309%	148	206	58	140%	
TOTAL YORKSHIRE & HUMBER SCG	TOTAL	95	95	0	100%	338	333	- 5	98%	24	40	16	168%	457	468	11	102%	
ALL OTHER COMMISSIONERS	MAND	3,269	426	- 2,843	13%	9,883	1,923	- 7,960	19%	75	201	126	267%	13,227	2,550	- 10,677	19%	
	NON-TARIFF	2,011	103	- 1,908	5%	2,886	701	- 2,185	24%	240	42	- 198	17%	5,137	846	- 4,291	16%	
TOTAL ALL OTHER COMMISSIONERS	TOTAL	399	31	- 4,751	8%	1,291	141	- 10,145	11%	-	-	- 72	0%	18,364	3,396	- 14,968	18%	
WALES	MAND	260	198	- 62	76%	1,304	1,299	- 4	100%	35	134	99	385%	1,599	1,631	32	102%	
	NON-TARIFF	59	86	27	147%	526	480	- 46	91%	6	14	9	255%	590	580	- 10	98%	
TOTAL WALES	TOTAL	319	284	- 35	89%	1,830	1,779	- 51	97%	40	148	108	367%	2,189	2,211	22	101%	
SCOTLAND	MAND	-	5	5	0%	-	5	5	0%	-	1	1	0%	-	11	11	0%	
	NON-TARIFF	4	2	- 2	55%	4	9	5	245%	-	-	-	0%	7	11	4	150%	
TOTAL SCOTLAND	TOTAL	4	7	3	191%	4	14	10	382%	-	1	1	0%	7	22	15	300%	
NON-CONTRACTED ACTIVITY	MAND	226	222	- 4	98%	1,142	1,074	- 68	94%	32	128	96	399%	1,401	1,424	23	102%	
	NON-TARIFF	82	85	3	103%	320	365	45	114%	35	69	34	198%	437	519	82	119%	
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	309	307	- 2	99%	1,462	1,439	- 23	98%	67	197	130	294%	1,838	1,943	105	106%	
TOTAL MANDATORY	MAND	62,688	58,937	- 3,751	94%	198,949	189,922	- 9,027	95%	17,856	35,426	17,570	0%	279,493	284,285	4,792	102%	
TOTAL NON-MANDATORY	NON-TARIFF	28,235	27,769	- 466	98%	119,669	120,400	731	101%	2,724	3,738	1,014	137%	150,629	151,907	1,278	101%	
TOTAL	TOTAL	90,923	86,706	- 4,217	95%	318,618	310,322	- 8,296	97%	20,580	39,164	18,584	190%	430,122	436,192	6,070	101%	