


## AGENDA ITEM NO: 10

### UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST BOARD OF GOVERNORS TUESDAY 16 MARCH 2010

<b>Title:</b>	<b>FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 DECEMBER 2009</b>
<b>Responsible Director:</b>	Mike Sexton, Director of Finance
<b>Contact:</b>	Julian Miller, Deputy Director of Finance, ext. 53074

<b>Purpose:</b>	To present an update to the Board of Governors by providing a copy of the recent Board of Directors report covering Financial and Activity performance to the end of Quarter 3
<b>Confidentiality Level &amp; Reason:</b>	N/A
<b>Medium Term Plan Ref:</b>	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management
<b>Key Issues Summary:</b>	The Trust has recorded a surplus of £10.983m for the nine months to 31 December 2009, which represents an adverse variance of (£342,000) against the budgeted surplus of £11.325m for this period. This reflects an improvement of £4,000 against the reported position at month 8.
<b>Recommendations:</b>	The Board of Governors is asked to receive the contents of this report

<b>Signed:</b> 	<b>Date:</b> 05 March 2010
--	----------------------------

# UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

## BOARD OF DIRECTORS THURSDAY 28 JANUARY 2010

### FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 DECEMBER 2009

#### PRESENTED BY THE DIRECTOR OF FINANCE

#### 1. Introduction

This financial report covers the first nine months of the 2009/10 accounting year from 1 April 2009 to 31 December 2009. The report which has been prepared under International Financial Reporting Standards contains information on the three key financial statements; the Statement of Comprehensive Income (previously the Income and Expenditure Account), the Statement of Position (Balance Sheet) and the Cash Flow Statement.

The report provides detail of operating variances during the month and expenditure against the Capital Programme. Activity data is also provided for the first eight months of the financial year.

The Trust has budgeted for a surplus of £15.100m in 2009/10 as set out in the Financial Plan approved by the Board of Directors. After nine months an actual surplus of £10.983m has been reported against the budgeted position of £11.325m representing an adverse variance of (£342,000) for the year to date. This represents an improvement of £4,000 against the previously reported variance of (£346,000) at 30 November 2009. The reported position includes £1,403,000 from the General Contingency reserve which has been released in equal twelfths.

The Financial Risk Rating (FRR) for 2009/10, issued by Monitor, remains at 4 (the second best available rating) based on the published results of the Annual Plan and Quarter's 1 and 2 monitoring and self assessment of Quarter 3. This is below the rating of 5 achieved throughout 2008/09 due largely to changes in the way that the liquidity metric is calculated.

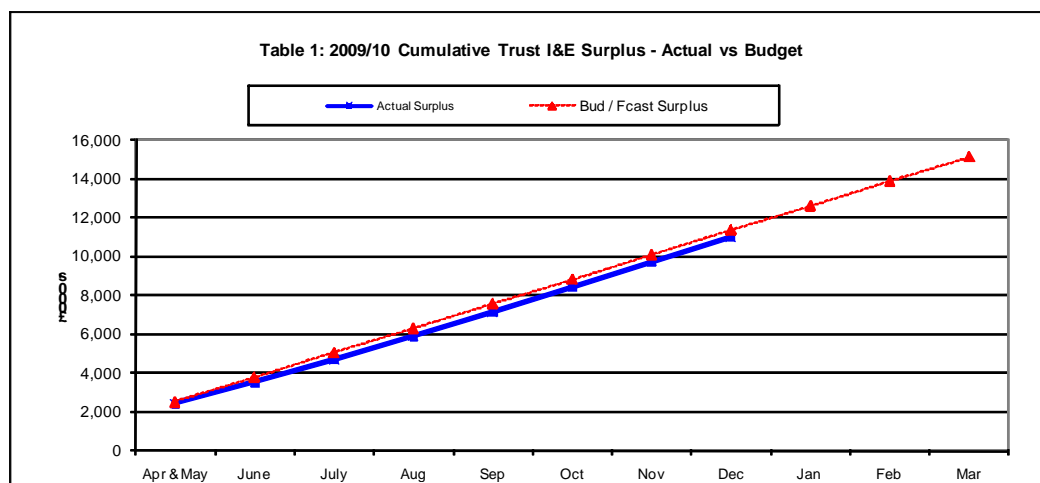
#### 2. Summary of Financial Position

##### 2.1 Year to Date Position

The trend line in Table 1 shows the cumulative income and expenditure (I&E) surplus achieved compared against budgeted levels at the end of each month. As stated above, the Trust has recorded a surplus of £10.983m for the nine months to 31 December 2009, compared to a budgeted value of £11.325m for the period, equating to an adverse variance of (£342,000).

It is necessary for the Trust to deliver a surplus broadly in line with its planned value in order to meet the future cost increases linked to the New Hospital and generate the cash required to fund the investment in new major medical equipment without recourse to borrowing. Full achievement of the planned surplus would result in an EBITDA (Earnings before Interest, Tax, Depreciation and Amortisation) margin (a measure of profitability) of 6.3% in 2009/10. This is slightly below the average margin planned by the FT sector in 2009/10 of 7.6%.

**Table 1 – I&E Surplus vs Plan 2009/10**



The statement of comprehensive income appears in Appendix C. Table 2 below shows the summarised revenue transactions of the Trust for the accounting period. An income summary appears in section 3.1 below. Operating expenses are shown in 3.3 below.

**Table 2 – YTD Income and Expenditure Budget vs Actual**

	Budget Apr-Dec 2009 £m	Actual Apr-Dec 2009 £m	Variance £m
Total Income	367.1	370.2	3.1
Total Expenditure (excl depreciation)	(345.3)	(349.2)	(3.9)
<b>EBITDA</b>	<b>21.7</b>	<b>21.0</b>	<b>(0.8)</b>
Profit / loss on asset disposals	0.0	0.0	0.0
Exceptional Costs	0.0	0.0	0.0
Depreciation	(8.3)	(8.0)	0.3
Interest Receivable	0.4	0.5	0.1
Interest Payable	0.0	(0.0)	(0.0)
PDC Dividend	(2.5)	(2.4)	0.0
<b>Net (Deficit)/Surplus</b>	<b>11.3</b>	<b>11.0</b>	<b>(0.3)</b>

Note - may include rounding differences

### 3. Income and Expenditure

#### 3.1 Income Analysis

The overall income position shows a net £3.1m over-recovery against budget for the year to date. The variance against NHS Clinical income is due to additional cost per case funding for high cost drugs and devices which are excluded from tariff. The under-performance against core NHS healthcare income targets (see section 3.2) has not been recognised in the ledger to date. Non-NHS Clinical Income includes private patients, the RCDM treatment contract and RTA Income. Other income includes education, research and development, non-patient care services provided to other bodies (SLAs), trading income and other ad-hoc sources. The majority of the over-performance in this area relates to RCDM income in respect of non patient care activities for which the trust has a corresponding expenditure commitment.

**Table 3 – Income against plan**

	<b>Budget Apr-Dec 2009 £m</b>	<b>Actual Apr-Dec 2009 £m</b>	<b>Variance £m</b>
Clinical - NHS	296.3	298.4	2.2
Clinical - Non NHS	9.3	9.2	(0.1)
Other	61.6	62.5	1.0
<b>TOTAL</b>	<b>367.1</b>	<b>370.2</b>	<b>3.1</b>

Note - may include rounding differences

#### 3.2 NHS Clinical Income / Activity

Table 4.1 compares the 2009/10 monthly admitted patient care activity against target levels. This shows that total PbR (Payment by Results) spells were slightly below plan during November and remain under target for the year to date. Non-PbR FCE's remain broadly in line with plan during the month and year to date.

Outpatient activity is shown in Table 4.2. This shows continued over-performance against target for new attendances, follow up attendances and outpatient procedures during November.

Table 4.1 – Trust Inpatient Activity

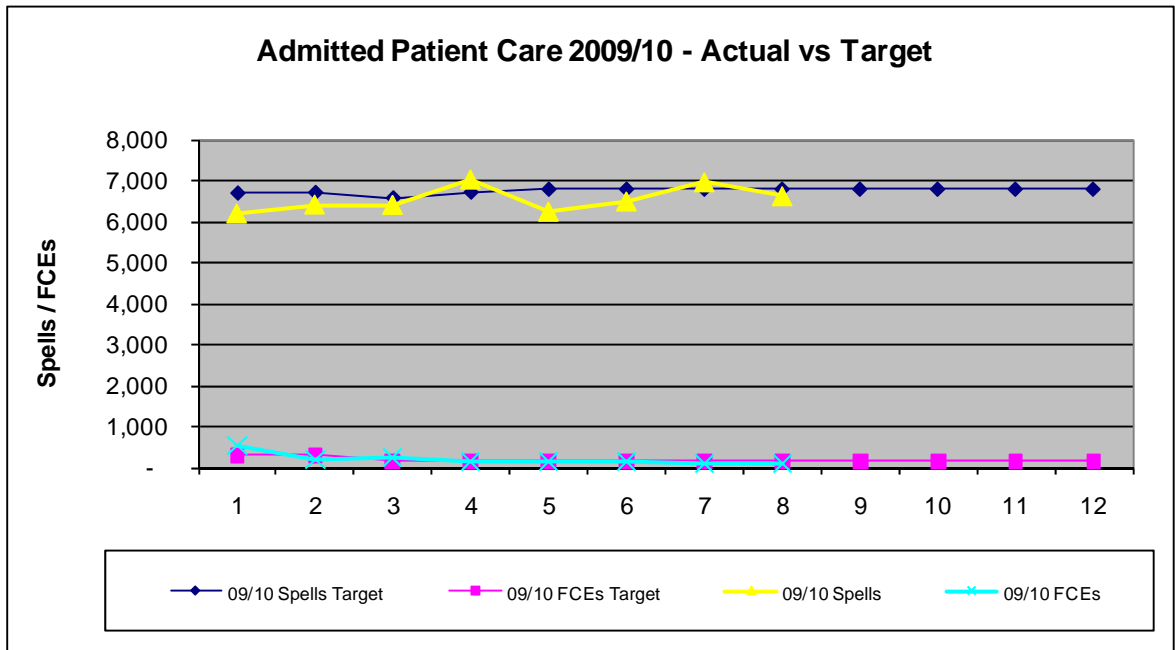


Table 4.2 – Trust Outpatient Activity

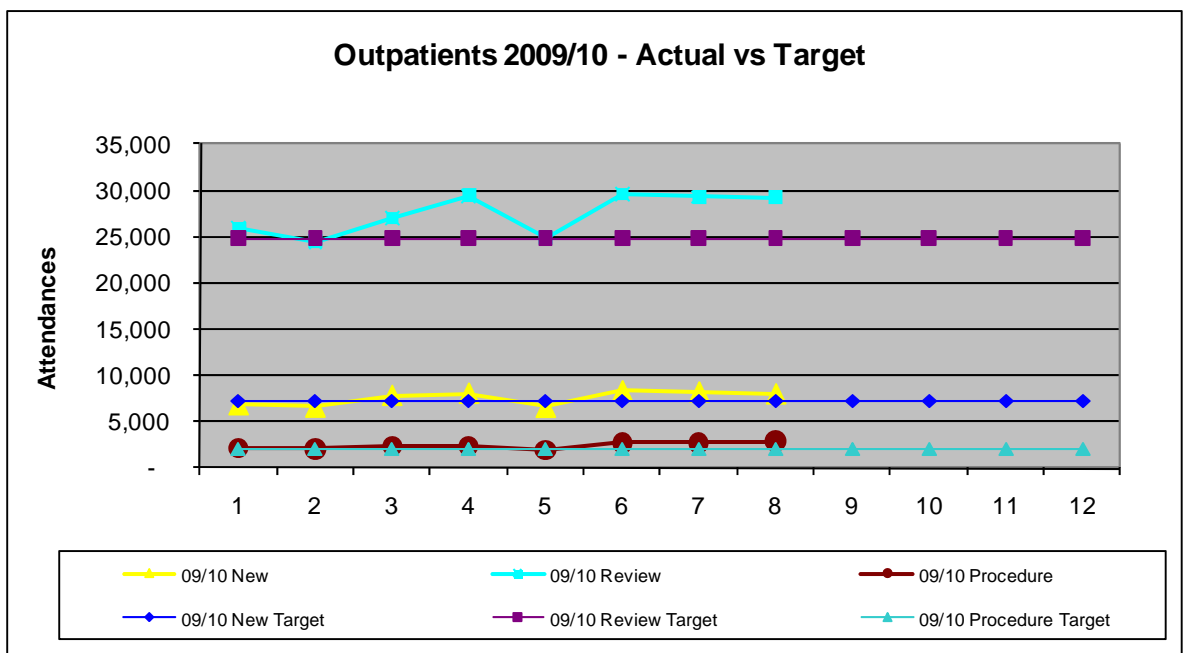


Table 5 below summarises the cumulative variance by Division and Point of Delivery (POD) against the healthcare income targets included within the 2009/10 Financial Plan, excluding cost per case activity. In overall terms core healthcare income is (£1.81m) below plan for the 8 months to 30 November 2009, due to underperformance against the target for inpatient PbR activity. This is partially due to income targets having been phased in twelfths whilst the Trust will typically carry out more work in the second half of the year. A further contributory factor is the phased implementation of business cases.

Further detail of activity against plan by specialty and by Commissioner is included as Appendix G.

**Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery**

	YTD Variance against Divisional Baselines			
	Inpatient (£000)	Outpatient (£000)	Other (£000)	Total (£000)
Div 1	10	33	717	760
Div 2	(1262)	153	446	(663)
Div 3	(3349)	278	543	(2528)
Div 4	(252)	(104)	109	(247)
Div 5	897	234	(262)	869
<b>Total</b>	<b>(3956)</b>	<b>594</b>	<b>1553</b>	<b>(1809)</b>

Note - may include rounding differences

### 3.3 Expenditure Analysis

A subjective analysis of expenditure variances is set out in Table 6 below. This shows a total variance of (£3.9m) against a budget of £345.3m for the year to date excluding depreciation and PDC dividend payments.

**Table 6 – Expenditure against plan**

	Budget Apr-Dec 2009 £m	Actual Apr-Dec 2009 £m	Variance £m
<b>PAY</b>			
Medical Staff	61.2	63.2	(2.0)
Nursing	66.7	65.9	0.8
Scientific & Technical	29.1	30.1	(0.9)
SMP / A&C	37.1	36.4	0.7
Other	9.5	9.5	0.0
<b>Total Pay</b>	<b>203.6</b>	<b>205.1</b>	<b>(1.5)</b>
<b>NON PAY</b>			
Drugs	39.5	37.4	2.1
Clinical Supplies & Services	44.1	46.8	(2.7)
Other	58.1	59.9	(1.8)
<b>Total Non Pay</b>	<b>141.8</b>	<b>144.1</b>	<b>(2.4)</b>
<b>GRAND TOTAL</b>	<b>345.3</b>	<b>349.2</b>	<b>(3.9)</b>

Note - may include rounding differences

### 3.4 Cost Improvement Programme

The Trust's Financial Plan for 2009/10 includes total efficiency savings of £12.1m. The (cumulative) progress against delivery of the target at quarter 3 is set out in the table below. This shows that overall performance has improved further from 85% of plan at Q2 to 89% at Q3, although there is still total slippage of £799,000. The majority of this is attributable to Division's 1 and 4 with non-delivery across a number of activity and procurement based schemes. All areas of

slippage are continuously reviewed and further contingency schemes will be planned and implemented where necessary, including acceleration of 2010/11 schemes. The current forecast outturn shows 93% delivery of plan.

**Table 7 – Delivery of Cost Improvements**

	Cumulative variance against plan (£000)				
	Q1	Q2	Q3	Q4	Forecast
Division 1	(116)	(360)	(542)		(553)
Division 2	(93)	(75)	(80)		(65)
Division 3	(48)	(54)	(11)		0
Division 4	(155)	(262)	(218)		(181)
Division 5	4	(2)	(2)		0
Corporate	(85)	(60)	(87)		0
<b>Total</b>	<b>(493)</b>	<b>(813)</b>	<b>(940)</b>		<b>(799)</b>
<b>% Achieved</b>	<b>80%</b>	<b>85%</b>	<b>89%</b>		<b>93%</b>

#### 4. Divisional Analysis

In total, operational budgets have recorded an adverse variance of (£509,000) during December leading to a net overspend of (£3,307,000) for the first nine months of the 2009/10 financial year. This is partially balanced by the release of £1,403,000 from the General Contingency Reserve.

The largest cost pressure remains on medical pay budgets which have overspent by (£237k) in total during the month. Within this (£164k) relates to Consultants with particular pressures in A&E (£24k), Trauma (£25k), Neurosurgery (£50k) and Dermatology (£60k) due to a combination of additional waiting list payments and the use of agency locums at a premium cost. The balance of the medical pay overspend (£72k) relates to junior medical staff with additional costs in A&E (£68k), Cardiac Surgery (£37k), Burns and Plastics (£17k) and the Orthopaedic Triage Service (£32k) offset by underspends in General Medicine and Clinical Haematology.

Other significant issues during the period include a continued overspend on Theatres non-pay (£208k). This is higher than trend although (£52k) relates to a correction of November's drug expenditure which was understated due to problems arising from the implementation of the new pharmacy system (Ascribe). A 'deep dive' exercise to review Theatres non-pay expenditure is currently underway and should be completed during February. Pharmacy has reported a further deficit of (£111k) during the month. Of this (£25k) relates to pay in main pharmacy which is largely due to overtime and agency usage associated with the Ascribe implementation. A further (£53k) relates to UHB Medicines due to residual costs of the building (£49k) and a small stock adjustment (£4k). The balance (£34k) relates to the Regional Quality Control Service with the variance above trend due to lower commercial income across the festive period. Options for the future funding of this service are being discussed with specialist commissioners.

These pressures have been partially mitigated by underspends elsewhere including £116k in Critical Care due to additional income, £85k in Liver Services due to overperformance against the adult transplant programme

which was 4 cases above plan during the month and an underspend of £48k across nursing budgets.

**Table 8 – Analysis of year to date variances by Division**

	Income	Expenditure	Total
	£'000	£'000	£'000
Division 1	18	(1,443)	<b>(1,425)</b>
Division 2	331	(831)	<b>(500)</b>
Division 3	111	(1,203)	<b>(1,092)</b>
Division 4	(326)	(829)	<b>(1,155)</b>
Division 5	53	(371)	<b>(318)</b>
COO	2,435	(1,252)	<b>1,183</b>
<b>Sub - Total</b>	<b>2,622</b>	<b>(5,929)</b>	<b>(3,307)</b>
Healthcare Income	0	0	<b>0</b>
<b>TOTAL</b>	<b>2,622</b>	<b>(5,929)</b>	<b>(3,307)</b>

## 5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) states the value of assets and liabilities of the Trust. The upper part of the statement shows net assets after deduction of both short and long term liabilities. The lower part identifies the sources of finance or equity used to fund the net asset position. The Trust's Statement of Financial Position at 31 December 2009 is shown in Table 9 below.



**Table 9 – Statement of Financial Position**

	Draft IFRS Actual 31 Mar 2009 £m	Actual Dec 2009 £m	Forecast Dec 2009 £m	Annual Plan Mar 2010 £m
<b>Non Current Assets:</b>				
Property, Plant and Equipment	131.0	131.2	134.7	141.3
Intangible Assets	0.8	0.9	0.7	0.7
Trade and Other Receivables	2.8	3.1	3.1	3.1
Other Assets	16.5	29.5	27.9	28.5
<b>Total Non Current Assets</b>	<b>151.2</b>	<b>164.6</b>	<b>166.4</b>	<b>173.6</b>
<b>Current Assets:</b>				
Inventories	9.6	11.7	9.3	9.0
Trade and Other Receivables	21.1	11.0	11.1	21.1
Other Financial Assets	0.3	18.1	10.3	0.3
Other Current Assets	1.5	5.7	1.5	1.5
Cash	97.8	89.7	94.6	90.2
<b>Total Current Assets</b>	<b>130.3</b>	<b>136.1</b>	<b>126.8</b>	<b>122.1</b>
<b>Current Liabilities:</b>				
Trade and Other Payables	47.1	56.7	47.9	47.1
Borrowings	0.1	0.1	0.1	0.1
Provisions	5.1	5.0	4.4	4.2
Tax Payable	5.5	5.9	5.5	5.5
Other Liabilities	19.0	20.3	22.1	27.5
<b>Total Current Liabilities</b>	<b>76.8</b>	<b>88.0</b>	<b>80.0</b>	<b>84.4</b>
<b>Non Current Liabilities:</b>				
Borrowings	0.1	0.1	0.0	0.0
Provisions	4.0	1.7	3.9	3.9
Other Liabilities	27.8	27.9	24.8	19.4
<b>Total Non Current Liabilities</b>	<b>31.9</b>	<b>29.6</b>	<b>28.7</b>	<b>23.3</b>
<b>TOTAL ASSETS EMPLOYED</b>	<b>172.8</b>	<b>183.1</b>	<b>184.5</b>	<b>188.0</b>
<b>Taxpayers' and Others' Equity</b>				
Public Dividend Capital	171.0	171.0	171.0	171.0
Related Earnings (Accumulated Losses)	(82.3)	(71.3)	(68.7)	(65.2)
Donated Asset Reserve	8.2	7.5	8.2	8.2
Revaluation Reserve	75.9	75.9	74.0	74.0
<b>TOTAL TAXPAYERS EQUITY</b>	<b>172.8</b>	<b>183.1</b>	<b>184.5</b>	<b>188.0</b>

Note - may include rounding differences

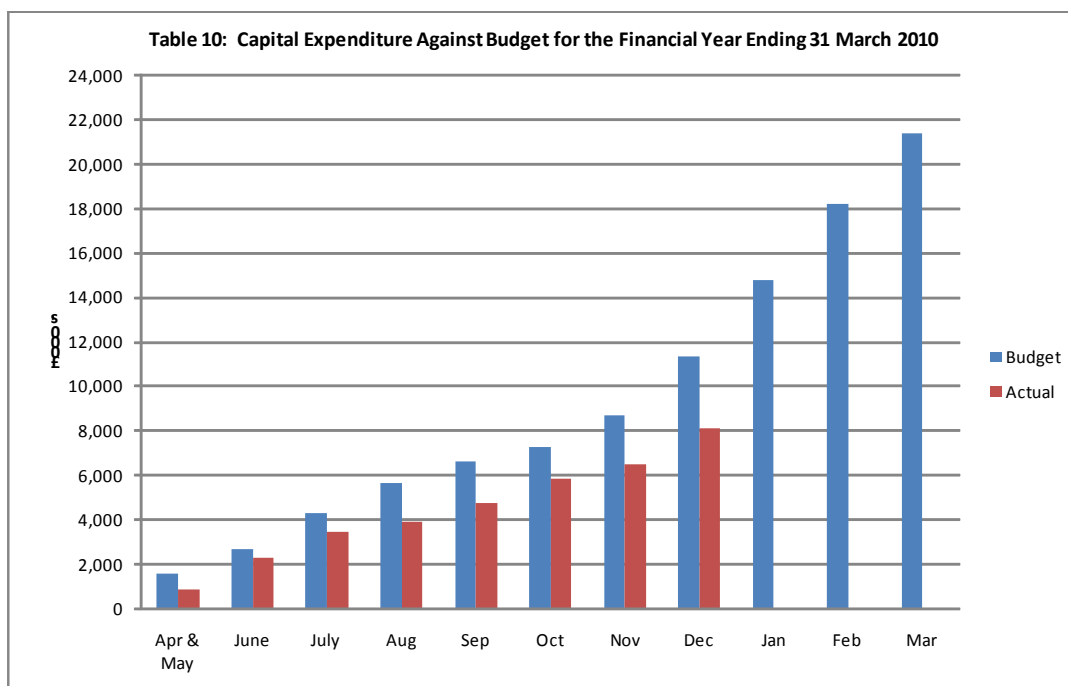
## 6. Capital Programme

The Trust's Capital Programme for 2009/10 was approved at the April 2009 Board of Directors meeting with a total value of £22.6m for the year, excluding planned expenditure of £1.0m on the non-retained estate which is funded from revenue sources. A number of changes to this plan were approved at the October 2009 Board of Directors meeting resulting in a revised Capital Programme for 2009/10 of £21.6m.

Actual capital expenditure to 31 December 2009 is £8.1m which is £3.2m below the revised plan as shown in Table 10 below. The main areas of slippage relate to expenditure associated with the Retained Estate and equipment replacement schemes. A summary of expenditure against each scheme is provided in Appendix D.

Further detail of capital expenditure is provided in a separate Capital Programme update paper for the January Board of Director's meeting.

**Table 10 – Capital Expenditure against plan**



## 7. Analysis of Current Assets (excluding Inventories and Cash)

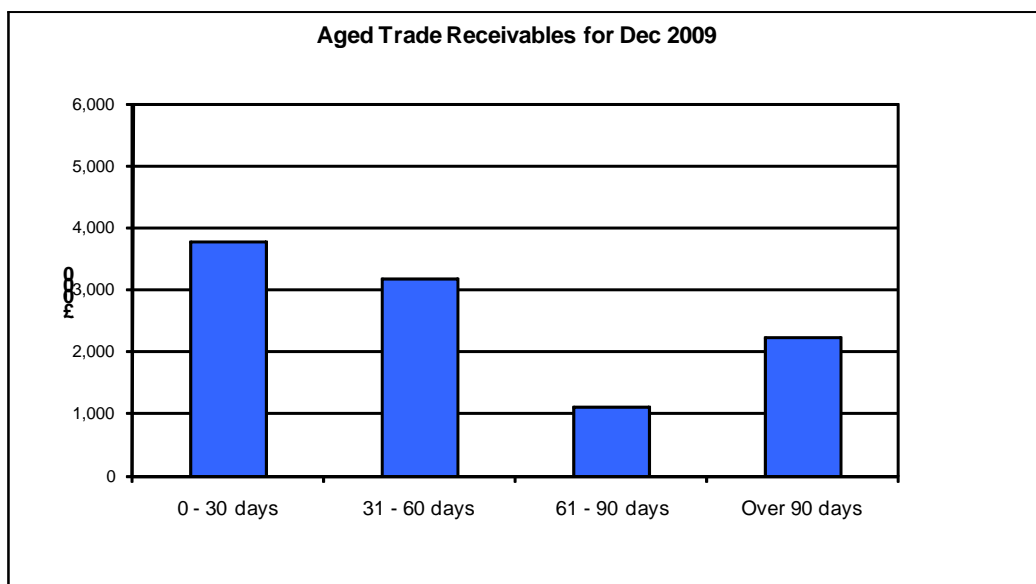
The total value of current assets excluding inventories and cash due within one year is £34.8m at 31 December 2009, as shown in the Statement of Position above. An analysis of the balance by type is shown in table 11 below. This shows that £10.3m relates to the sales ledger balances (trade receivables) i.e. outstanding (unpaid) invoiced income.

An analysis of outstanding invoiced debt by age is included with this report at Appendix E and is summarised in table 12 below. This shows that over 90 day debt has increased from £1.6m at 30 November to £2.2m at 31 December 2009. The main components of the outstanding amount comprise charges for delayed discharges to Birmingham City Council (£0.4m), outstanding SLA charges (£0.9m) to other providers, and balances with PCTs related to 2009/10 healthcare contracts (£0.6m).

**Table 11 – Analysis of Current Assets (excluding Inventories and Cash)**

	Actual Dec 2009 £m	Forecast Dec 2009 £m
Trade Receivables	10.3	7.9
Bad Debt Provision	-0.9	-0.8
Other Receivables	1.5	4.0
<b>Trade and Other Receivables</b>	<b>11.0</b>	<b>11.1</b>
Accrued Income	18.1	10.3
<b>Other Financial Assets</b>	<b>18.1</b>	<b>10.3</b>
Prepayments	5.7	1.5
<b>Other Current Assets</b>	<b>5.7</b>	<b>1.5</b>
<b>TOTAL</b>	<b>34.8</b>	<b>22.9</b>

**Table 12 – Aged Debt Analysis of Trade Receivables due within One Year**



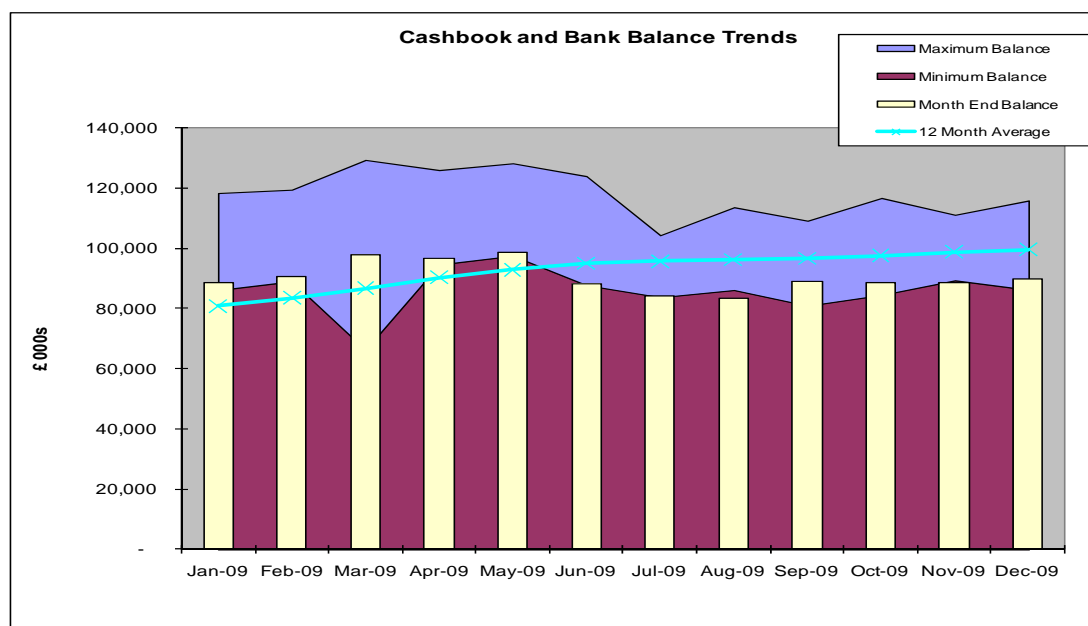
## 8. Cash Flow

A cash flow statement appears at Appendix F and this provides an analysis of actual and forecast cash received and paid out. Table 13, below shows the actual cashbook balance at month end compared against the minimum and maximum daily bank balances during the month and a twelve month rolling average daily bank balance.

The actual cashbook balance at 31 December 2009 was £89.7m which is £4.9m under the planned position. The majority of the difference is due to movements in working capital with inventories (stock), other current assets (prepayments) and other financial assets (accrued income) all above plan at 31 December. This is partially offset by trade and other payables (creditors) which are above plan and the under-spend against the capital programme reported above.

The twelve month rolling average bank balance shows that the underlying cash position of the Trust remains strong.

**Table 13 – Cash Flow**



**9. Working Capital Facility**

The Trust's overdraft facility has not been used to date and is unlikely to be required in the immediate future. Therefore the value of this facility has been retained at £1.0m for 2009/10.

**10. Monitor Ratios**

**10.1 Borrowing Ratios**

The Trust has not yet utilised the borrowing powers available to Foundation Trusts, therefore the borrowing ratios set out in the Prudential Borrowing Code are not currently applicable.

**10.2 Financial Risk Rating**

The Trust's Financial Risk Rating for 2009/10 remains at 4 based on the published results of the Annual Plan and Quarter 1 and 2 monitoring and self assessment of Quarter 3. This reflects a reduction against the rating of 5 achieved throughout 2008/09 due primarily to changes in the calculation of the liquidity metric. The rating is set between 1 (worst) and 5 (best), based on a series of financial metrics and informs the value of Trust's Prudential Borrowing Limit.

11. **Conclusion**

The Trust has reported an income and expenditure surplus of £10.983m for the nine months to 31 December 2009. This represents an adverse variance of (£342,000) against the planned surplus of £11.325m for the year to date. The main challenges remain managing the cost pressures across operational areas and continuing to improve the performance against healthcare income targets, although this has historically been stronger towards the end of the financial year.

12. **Recommendation**

The Board of Directors is asked to receive the contents of this report

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton  
Director of Finance  
14 January 2010

BUDGET £'000	PERIOD ACTUAL £'000	VARIANCE £'000	BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
					BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
374	374	0	CHIEF EXECUTIVE /	Julie Moore	3,421	3,409	(12)	4,517	4,517	0
(820)	(752)	68	DIRECT INCOME		(7,974)	(7,796)	178	(10,805)	(10,805)	0
(420)	(483)	(63)	DIRECT EXPENDITURE PAY		(3,309)	(3,476)	(167)	(3,907)	(3,907)	0
(866)	(861)	5	NON PAY		(7,862)	(7,863)	(1)	(10,195)	(10,195)	0
			<b>TOTAL</b>							
(5,356)	(5,096)	260	RESERVES	Julie Moore	(7,495)	(6,780)	715	(6,734)	(6,734)	0
(156)	0	156	SPECIFIC		(1,403)	0	1,403	(1,871)	(1,871)	0
		0	GENERAL							0
(5,512)	(5,096)	416	<b>TOTAL</b>		(8,898)	(6,780)	2,118	(8,605)	(8,605)	0
915	732	(183)	DIRECTOR OF FINANCE	Mike Sexton	5,873	5,871	(2)	7,400	7,400	0
(574)	(597)	(23)	DIRECT INCOME		(5,603)	(5,338)	265	(7,457)	(7,457)	0
(701)	(482)	219	DIRECT EXPENDITURE PAY		(3,917)	(4,166)	(249)	(4,911)	(4,911)	0
(360)	(347)	13	NON PAY		(3,647)	(3,633)	14	(4,968)	(4,968)	0
			<b>TOTAL</b>							
208	264	56	CHIEF NURSE	Kay Fawcett	2,633	2,456	(177)	3,170	3,170	0
(1,526)	(1,514)	12	DIRECT INCOME		(11,753)	(11,381)	372	(15,752)	(15,752)	0
(214)	(303)	(89)	DIRECT EXPENDITURE PAY		(2,252)	(2,548)	(296)	(3,023)	(3,023)	0
(1,532)	(1,553)	(21)	NON PAY		(11,372)	(11,473)	(101)	(15,605)	(15,605)	0
			<b>TOTAL</b>							
38,332	40,917	2,585	CHIEF OPERATING OFFICER	Kevin Bolger	316,296	318,918	2,622	416,614	416,614	0
(17,459)	(18,488)	(1,029)	DIRECT INCOME		(161,713)	(164,596)	(2,883)	(211,346)	(211,346)	0
(9,650)	(11,715)	(2,065)	DIRECT EXPENDITURE PAY		(97,151)	(100,197)	(3,046)	(130,463)	(130,463)	0
11,223	10,714	(509)	NON PAY		57,432	54,125	(3,307)	74,805	74,805	0
			<b>TOTAL</b>							
459	242	(217)	DIRECTOR OF DELIVERY	Tim Jones	1,943	1,963	20	2,194	2,194	0
(495)	(523)	(28)	DIRECT INCOME		(4,563)	(4,454)	109	(6,337)	(6,337)	0
(338)	(93)	245	DIRECT EXPENDITURE PAY		(808)	(927)	(119)	(726)	(726)	0
(374)	(374)	0	NON PAY		(3,428)	(3,418)	10	(4,869)	(4,869)	0
			<b>TOTAL</b>							
150	180	30	NEW HOSPITAL DIRECTOR	Morag Jackson	1,388	1,656	268	1,837	1,837	0
(443)	(469)	(26)	DIRECT INCOME		(4,060)	(4,117)	(57)	(5,660)	(5,660)	0
(1,859)	(1,863)	(4)	DIRECT EXPENDITURE PAY		(15,998)	(16,166)	(168)	(21,886)	(21,886)	0
(2,152)	(2,152)	0	NON PAY		(18,670)	(18,627)	43	(25,709)	(25,709)	0
			<b>TOTAL</b>							
4,392	4,393	1	MEDICAL DIRECTOR	Dr David Rosser	34,913	34,885	(28)	46,728	46,728	0
(945)	(848)	97	DIRECT INCOME		(7,929)	(7,433)	496	(10,743)	(10,743)	0
(1,528)	(1,621)	(93)	DIRECT EXPENDITURE PAY		(9,419)	(9,902)	(483)	(12,679)	(12,679)	0
1,919	1,924	5	NON PAY		17,565	17,550	(15)	23,306	23,306	0
			<b>TOTAL</b>							
112	142	30	CAPITAL CHARGES		1,005	1,534	529	1,340	1,340	0
0	0	0	DIRECT INCOME		0	0	0	0	0	0
(1,200)	(1,135)	65	DIRECT EXPENDITURE PAY		(10,800)	(10,432)	368	(14,400)	(14,400)	0
(1,088)	(993)	95	NON PAY		(9,795)	(8,898)	897	(13,060)	(13,060)	0
			<b>TOTAL</b>							
44,942	47,244	2,302	<b>TOTAL</b>		367,472	370,692	3,220	483,800	483,800	0
(24,859)	(25,788)	(929)	INCOME		(203,595)	(205,115)	(1,520)	(268,100)	(268,100)	0
(18,825)	(20,194)	(1,369)	PAY		(152,552)	(154,594)	(2,042)	(200,600)	(200,600)	0
1,258	1,262	4	NON PAY		11,325	10,983	(342)	15,100	15,100	0
			SURPLUS / (DEFICIT)							

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**
**APPENDIX B**
**FINANCIAL PERFORMANCE - PERIOD ENDING**
**31st December 2009**
**STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY DIVISION**

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
33,873	33,873	0	HEALTHCARE INCOME	DIRECT INCOME	302,401	302,401	0	400,313	400,313	0
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
<b>33,873</b>	<b>33,873</b>	<b>0</b>		<b>TOTAL</b>	<b>302,401</b>	<b>302,401</b>	<b>0</b>	<b>400,313</b>	<b>400,313</b>	<b>0</b>
				Kevin Bolger						
16	12	(4)	DIVISION 1	DIRECT INCOME	95	113	18	117	117	0
(3,632)	(3,498)	134		DIRECT EXP PAY	(31,964)	(31,630)	334	(42,423)	(42,423)	0
(1,414)	(1,731)	(317)		NON PAY	(12,702)	(14,479)	(1,777)	(16,330)	(16,330)	0
<b>(5,030)</b>	<b>(5,217)</b>	<b>(187)</b>		<b>TOTAL</b>	<b>(44,571)</b>	<b>(45,996)</b>	<b>(1,425)</b>	<b>(58,636)</b>	<b>(58,636)</b>	<b>0</b>
				Dr. Steve Burnley						
770	929	159	DIVISION 2	DIRECT INCOME	3,329	3,660	331	4,395	4,395	0
(4,413)	(4,513)	(100)		DIRECT EXP PAY	(39,611)	(40,529)	(918)	(52,801)	(52,801)	0
(3,844)	(3,910)	(66)		NON PAY	(31,598)	(31,511)	87	(41,863)	(41,863)	0
<b>(7,487)</b>	<b>(7,494)</b>	<b>(7)</b>		<b>TOTAL</b>	<b>(67,880)</b>	<b>(68,380)</b>	<b>(500)</b>	<b>(90,269)</b>	<b>(90,269)</b>	<b>0</b>
				Dr Andrew Arnold						
357	380	23	DIVISION 3	DIRECT INCOME	3,604	3,715	111	4,624	4,624	0
(3,657)	(3,801)	(144)		DIRECT EXP PAY	(32,341)	(33,028)	(687)	(43,031)	(43,031)	0
(787)	(908)	(121)		NON PAY	(6,583)	(7,099)	(516)	(8,643)	(8,643)	0
<b>(4,087)</b>	<b>(4,329)</b>	<b>(242)</b>		<b>TOTAL</b>	<b>(35,320)</b>	<b>(36,412)</b>	<b>(1,092)</b>	<b>(47,050)</b>	<b>(47,050)</b>	<b>0</b>
				Dr. Neil Gittoes						
925	1,012	87	DIVISION 4	DIRECT INCOME	8,630	8,304	(326)	11,407	11,407	0
(4,122)	(3,986)	136		DIRECT EXP PAY	(36,862)	(35,718)	1,144	(49,613)	(49,613)	0
(3,063)	(3,378)	(315)		NON PAY	(28,236)	(30,209)	(1,973)	(36,679)	(36,679)	0
<b>(6,260)</b>	<b>(6,352)</b>	<b>(92)</b>		<b>TOTAL</b>	<b>(56,468)</b>	<b>(57,623)</b>	<b>(1,155)</b>	<b>(74,885)</b>	<b>(74,885)</b>	<b>0</b>
				Dr Mike Hallissey						
138	147	9	DIVISION 5	DIRECT INCOME	262	315	53	288	288	0
(2,325)	(2,405)	(80)		DIRECT EXP PAY	(19,777)	(21,278)	(1,501)	(26,892)	(26,892)	0
(1,747)	(1,730)	17		NON PAY	(17,489)	(16,359)	1,130	(22,732)	(22,732)	0
<b>(3,934)</b>	<b>(3,988)</b>	<b>(54)</b>		<b>TOTAL</b>	<b>(37,004)</b>	<b>(37,322)</b>	<b>(318)</b>	<b>(49,336)</b>	<b>(49,336)</b>	<b>0</b>
				Dr. Prem Mahendra						
2,253	4,564	2,311	CHIEF OPERATING OFFICER	DIRECT INCOME	(2,025)	410	2,435	(4,530)	(4,530)	0
690	(285)	(975)		DIRECT EXP PAY	(1,158)	(2,413)	(1,255)	3,414	3,414	0
1,205	(58)	(1,263)		NON PAY	(543)	(540)	3	(4,216)	(4,216)	0
<b>4,148</b>	<b>4,221</b>	<b>73</b>		<b>TOTAL</b>	<b>(3,726)</b>	<b>(2,543)</b>	<b>1,183</b>	<b>(5,332)</b>	<b>(5,332)</b>	<b>0</b>
				Kevin Bolger						
<b>38,332</b>	<b>40,917</b>	<b>2,585</b>	<b>TOTAL OPERATIONAL</b>	<b>INCOME</b>	<b>316,296</b>	<b>318,918</b>	<b>2,622</b>	<b>416,614</b>	<b>416,614</b>	<b>0</b>
<b>(17,459)</b>	<b>(18,488)</b>	<b>(1,029)</b>	<b>DIVISIONS</b>	<b>PAY</b>	<b>(161,713)</b>	<b>(164,596)</b>	<b>(2,883)</b>	<b>(211,346)</b>	<b>(211,346)</b>	<b>0</b>
<b>(9,650)</b>	<b>(11,715)</b>	<b>(2,065)</b>		<b>NON PAY</b>	<b>(97,151)</b>	<b>(100,197)</b>	<b>(3,046)</b>	<b>(130,463)</b>	<b>(130,463)</b>	<b>0</b>
<b>11,223</b>	<b>10,714</b>	<b>(509)</b>		<b>TOTAL SURPLUS / (DEFICIT)</b>	<b>57,432</b>	<b>54,125</b>	<b>(3,307)</b>	<b>74,805</b>	<b>74,805</b>	<b>0</b>

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**FINANCIAL PERFORMANCE - PERIOD ENDING**  
**STATEMENT OF COMPREHENSIVE INCOME**

**31st December 2009**

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	31-Mar-10 £'000	31-Mar-10 £'000	£'000
<b>Income</b>						
-Clinical - NHS	296,254	298,419	2,165	395,198	395,198	0
-Clinical - Non NHS	9,288	9,225	(63)	12,255	12,255	0
-Other	61,555	62,551	996	75,847	75,847	0
<b>TOTAL INCOME</b>	<b>367,097</b>	<b>370,195</b>	<b>3,098</b>	<b>483,300</b>	<b>483,300</b>	<b>0</b>
<b>Operating Expenses</b>						
-Pay Costs	(203,595)	(205,115)	(1,520)	(268,100)	(268,100)	0
-Non Pay	(141,752)	(144,105)	(2,353)	(186,200)	(186,200)	0
-Depreciation	(8,325)	(8,046)	279	(11,100)	(11,100)	0
<b>TOTAL EXPENDITURE</b>	<b>(353,672)</b>	<b>(357,266)</b>	<b>(3,594)</b>	<b>(465,400)</b>	<b>(465,400)</b>	<b>0</b>
<b>OPERATING SURPLUS Continuing Operations</b>	<b>13,425</b>	<b>12,929</b>	<b>(496)</b>	<b>17,900</b>	<b>17,900</b>	<b>0</b>
Profit/(Loss) on Disposal of Fixed Assets	0	0	0	0	0	0
Exceptional Costs	0	0	0	0	0	0
<b>SURPLUS BEFORE INTEREST</b>	<b>13,425</b>	<b>12,929</b>	<b>(496)</b>	<b>17,900</b>	<b>17,900</b>	<b>0</b>
Interest Receivable	375	497	122	500	500	0
Interest Payable	0	(6)	(6)	0	0	0
<b>SURPLUS FOR THE FINANCIAL YEAR</b>	<b>13,800</b>	<b>13,420</b>	<b>(380)</b>	<b>18,400</b>	<b>18,400</b>	<b>0</b>
Public Dividend Capital Dividends Payable	(2,475)	(2,437)	38	(3,300)	(3,300)	0
<b>RETAINED SURPLUS FOR THE YEAR</b>	<b>11,325</b>	<b>10,983</b>	<b>(342)</b>	<b>15,100</b>	<b>15,100</b>	<b>0</b>



**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**FINANCIAL PERFORMANCE - PERIOD ENDING** **31st December 2009**  
 CAPITAL PROGRAMME (NHS EXPENDITURE)

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
63	591	(528)	Bfwd Schemes 08-09	1,546	1,369	177	2,046	2,046	0
990	94	896	Future Board Approval Schemes	1,230	929	301	2,200	2,200	0
245	24	221	IT Strategy and Infrastructure	1,099	514	585	1,833	1,833	0
33	7	27	Chief Operating Officer Discretionary Capital	200	50	150	300	300	0
1,204	767	437	Equipment Replacement	3,053	1,934	1,120	10,068	10,068	0
0	0	0	Other Commitments - continuing expenditure programme	0	0	0	0	0	0
0	0	0	Other Commitments - Subject to business case/Board approval	0	0	0	0	0	0
455	86	369	Retained Estate	4,685	3,139	1,546	5,800	5,800	0
39	22	17	Modernisation	233	157	77	350	350	0
(83)	0	(83)	Slippage	(750)	0	(750)	(1,000)	(1,000)	0
<b>2,945</b>	<b>1,589</b>	<b>1,356</b>	<b>Capital Programme</b>	<b>11,297</b>	<b>8,091</b>	<b>3,206</b>	<b>21,597</b>	<b>21,597</b>	<b>0</b>

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**AGED ANALYSIS OF TRADE RECEIVABLES** **31st December 2009**

Appendix E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
<b>MAY</b>	<b>8,065,200.00</b> 100%	<b>1847</b>	<b>3,130,755.00</b> 39%	<b>755,485.00</b> 9%	<b>1,173,587.00</b> 15%	<b>3,005,373.00</b> 37%
<b>JUNE</b>	<b>7,854,410.72</b> 100%	<b>1624</b>	<b>3,936,502.74</b> 50%	<b>1,280,433.00</b> 16%	<b>231,564.39</b> 3%	<b>2,405,910.59</b> 31%
<b>JULY</b>	<b>8,937,058.09</b> 100%	<b>1694</b>	<b>3,891,729.00</b> 44%	<b>2,226,451.53</b> 25%	<b>759,033.79</b> 8%	<b>2,059,843.77</b> 23%
<b>AUG</b>	<b>11,812,324.51</b> 100%	<b>2036</b>	<b>5,394,467.15</b> 46%	<b>2,481,962.87</b> 21%	<b>1,655,982.93</b> 14%	<b>2,279,911.56</b> 19%
<b>SEP</b>	<b>8,938,643.56</b> 100%	<b>1615</b>	<b>5,221,726.00</b> 58%	<b>1,011,443.60</b> 11%	<b>282,324.61</b> 3%	<b>2,423,149.35</b> 27%
<b>OCT</b>	<b>10,172,517.98</b> 100%	<b>2000</b>	<b>5,225,433.13</b> 51%	<b>2,761,709.51</b> 27%	<b>372,861.72</b> 4%	<b>1,812,513.62</b> 18%
<b>NOV</b>	<b>8,953,716.00</b> 99%	<b>2116</b>	<b>4,854,312.00</b> 54%	<b>746,669.00</b> 8%	<b>1,740,060.00</b> 19%	<b>1,612,675.00</b> 18%
<b>DEC</b>	<b>10,322,626.28</b> 100%	<b>1950</b>	<b>3,810,843.18</b> 37%	<b>3,184,106.49</b> 31%	<b>1,108,933.50</b> 11%	<b>2,218,743.11</b> 21%

## UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

## CASH FLOW 2009/10

	Apr	Apr	May	May	June	June	July	July	Aug	Aug	Sep	Sep	Oct	Oct	Nov	Nov	Dec	Dec	Jan	Feb	Mar
	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	Actual	F/Cast	F/Cast	F/Cast
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's
<b>INCOME</b>																					
Contract Income Protected	32,267	35,228	32,267	36,107	32,266	34,030	32,333	38,991	32,333	32,971	32,334	39,143	32,366	41,320	32,367	36,323	32,367	33,144	32,400	32,400	32,400
Non Protected	333	0	333	448	334	0	300	240	300	243	300	313	300	217	300	276	300	217	300	300	300
Other Income	7,633	7,207	7,633	6,112	7,634	3,352	7,699	27,943	7,700	7,586	7,701	5,838	7,666	3,333	7,666	5,602	7,668	5,909	7,699	7,700	7,701
Interest Received	33	5	33	9	34	21	33	181	33	2	34	23	33	190	33	13	34	131	66	67	67
<b>Total Received</b>	<b>40,266</b>	<b>42,439</b>	<b>40,266</b>	<b>42,676</b>	<b>40,268</b>	<b>37,403</b>	<b>40,365</b>	<b>67,355</b>	<b>40,366</b>	<b>40,802</b>	<b>40,369</b>	<b>45,317</b>	<b>40,365</b>	<b>45,059</b>	<b>40,366</b>	<b>42,214</b>	<b>40,369</b>	<b>39,401</b>	<b>40,465</b>	<b>40,467</b>	<b>40,468</b>
<b>EXPENDITURE</b>																					
Pay	22,367	21,340	22,367	21,810	22,367	21,993	22,367	22,002	22,367	21,874	22,367	22,299	22,367	22,037	22,367	22,003	22,367	22,293	22,266	22,268	22,267
Non Pay	15,366	17,959	15,366	14,995	15,368	7,155	15,399	47,292	15,400	14,017	15,401	17,203	15,432	22,585	15,434	18,884	15,434	15,292	15,699	15,700	15,701
Interest Paid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PDC Dividend	0	0	0	0	0	0	0	0	0	0	1,700	0	0	0	0	0	0	0	0	0	1,600
PF1	2,533	2,673	2,533	3,504	2,534	9,079	866	841	867	2,487	867	0	500	0	500	0	500	0	200	200	200
Capital	933	1,453	933	450	934	9,833	1,700	970	1,700	3,177	1,700	411	1,666	630	1,667	1,333	1,667	883	3,233	3,233	3,234
<b>Total Payments</b>	<b>41,199</b>	<b>43,425</b>	<b>41,199</b>	<b>40,759</b>	<b>41,203</b>	<b>48,059</b>	<b>40,332</b>	<b>71,105</b>	<b>40,334</b>	<b>41,555</b>	<b>42,035</b>	<b>39,913</b>	<b>39,965</b>	<b>45,252</b>	<b>39,968</b>	<b>42,219</b>	<b>39,968</b>	<b>38,468</b>	<b>41,398</b>	<b>41,401</b>	<b>43,002</b>
Net Inflow(outflow)	(933)	(985)	(933)	1,917	(935)	(10,657)	33	(3,749)	32	(753)	(1,666)	5,404	400	(193)	398	(5)	401	933	(933)	(934)	(2,534)
<b>FINANCING</b>																					
New PDC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Principle repayment on loans	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
New PDC draw down	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Charitable & donated income	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Provision</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>CASH BALANCES</b>																					
Opening balance	97,800	97,790	96,867	96,805	95,935	98,721	95,000	88,065	95,033	84,315	95,066	83,562	93,400	88,966	93,801	88,773	94,199	88,768	94,600	93,668	92,734
Bank balances c/f	96,867	96,805	95,935	98,721	95,000	88,065	95,033	84,315	95,066	83,562	93,400	88,966	93,801	88,773	94,199	88,768	94,600	89,701	93,668	92,734	90,200
Cash Held/Agency Bal		22		22		22		22		22		19		21		24		24			
<b>Total</b>		<b>96,827</b>		<b>98,743</b>		<b>88,087</b>		<b>84,337</b>		<b>83,584</b>		<b>88,985</b>		<b>88,794</b>		<b>88,792</b>		<b>89,725</b>			

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
SOUTH BIRMINGHAM PCT	MAND	13,315	13451	136	101%	13,833	13975	142	101%	27,148	27,426	278	101%
	NON-TARIFF	16	156	140	975%	450	273	- 177	61%	466	429	- 37	92%
TOTAL SOUTH BIRMINGHAM PCT	TOTAL	13,331	13,607	276	102%	14,283	14,248	- 35	100%	27,614	27,855	241	101%
HEART OF BIRMINGHAM TPCT	MAND	2,249	2474	225	110%	2,374	2218	- 156	93%	4,624	4,692	68	101%
	NON-TARIFF	14	107	93	764%	62	57	- 5	91%	76	164	88	215%
TOTAL HEART OF BIRMINGHAM TPCT	TOTAL	2,263	2,581	318	114%	2,437	2,275	- 162	93%	4,700	4,856	156	103%
BIRMINGHAM EAST & NORTH PCT	MAND	1,560	1590	30	102%	726	719	- 7	99%	2,286	2,309	23	101%
	NON-TARIFF	12	19	7	158%	28	14	- 14	50%	40	33	- 7	83%
TOTAL NORTH BIRMINGHAM PCT	TOTAL	1,572	1,609	37	102%	754	733	- 21	97%	2,326	2,342	16	101%
OTHER WEST MIDLANDS ACUTE COMMISSIONERS	MAND	7,069	7790	721	110%	2,781	2918	137	105%	9,849	10,708	859	109%
	NON-TARIFF	145	140	- 5	96%	273	228	- 45	83%	418	368	- 50	88%
TOTAL WEST MIDLANDS ACUTE COMMISSIONERS	TOTAL	7,214	7,930	716	110%	3,054	3,146	92	103%	10,268	11,076	808	108%
PAN BIRMINGHAM LSCG	MAND	2,336	2459	123	105%	2,028	1541	- 487	76%	4,363	4,000	- 363	92%
	NON-TARIFF	335	19	- 316	6%	54	20	- 34	37%	389	39	- 350	10%
TOTAL PAN BIRMINGHAM LSCG	TOTAL	2,670	2,478	- 192	93%	2,082	1,561	- 521	75%	4,752	4,039	- 713	85%
BLACK COUNTRY LSCG	MAND	564	640	76	113%	371	350	- 21	94%	935	990	55	106%
	NON-TARIFF	9	19	10	219%	8	8	- 0	98%	17	27	10	161%
TOTAL BLACK COUNTRY LSCG	TOTAL	573	659	86	115%	379	358	- 21	94%	952	1,017	65	107%
WEST MIDLANDS SOUTH LSCG	MAND	540	546	6	101%	656	449	- 207	68%	1,196	995	- 201	83%
	NON-TARIFF	5	16	11	343%	7	12	5	168%	12	28	16	237%
TOTAL WEST MIDLANDS SOUTH LSCG	TOTAL	544	562	18	103%	663	461	- 202	70%	1,207	1,023	- 184	85%
SHROPSHIRE/STAFFORDSHIRE LSCG	MAND	230	284	54	124%	166	111	- 55	67%	395	395	0	100%
	NON-TARIFF	21	29	8	136%	16	7	- 9	43%	38	36	- 2	95%
TOTAL SHROPSHIRE/STAFFORDSHIRE LSCG	TOTAL	251	313	62	125%	182	118	- 64	65%	433	431	- 2	99%
EAST MIDLANDS SCG	MAND	153	182	29	119%	88	63	- 25	72%	241	245	4	102%
	NON-TARIFF	5	2	- 3	43%	13	18	5	141%	17	20	3	115%
TOTAL EAST MIDLANDS SCG	TOTAL	157	184	27	117%	101	81	- 20	80%	258	265	7	103%
YORKSHIRE & HUMBER SCG	MAND	47	40	- 7	85%	37	26	- 11	71%	84	66	- 18	79%
	NON-TARIFF	2	1	- 1	50%	3	-	- 3	0%	5	1	- 4	21%
TOTAL YORKSHIRE & HUMBER SCG	TOTAL	49	41	- 8	84%	39	26	- 13	66%	88	67	- 21	76%
ALL OTHER COMMISSIONERS	MAND	2,932	333	- 2,599	11%	284	169	- 115	60%	3,216	502	- 2,714	16%
	NON-TARIFF	5	8	3	150%	12	23	11	200%	17	31	14	184%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	195	33	- 2,597	17%	64	14	- 104	22%	259	47	- 212	18%
WALES	MAND	201	254	53	127%	75	88	13	117%	276	342	66	124%
	NON-TARIFF	19	43	24	230%	14	23	9	164%	33	66	33	202%
TOTAL WALES	TOTAL	219	297	78	135%	89	111	22	124%	309	408	99	132%
SCOTLAND	MAND	-	0	-	0%	3	0	- 3	0%	3	-	- 3	0%
	NON-TARIFF	-	0	-	0%	-	4	4	0%	-	4	4	0%
TOTAL SCOTLAND	TOTAL	-	-	-	0%	3	4	1	118%	3	4	1	118%
NON-CONTRACTED ACTIVITY	MAND	120	126	6	105%	169	129	- 40	76%	289	255	- 34	88%
	NON-TARIFF	1	3	2	450%	10	4	- 6	39%	11	7	- 4	64%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	121	129	8	107%	179	133	- 46	74%	300	262	- 38	87%
PRIVATE PATIENTS	MAND	-	0	-	0%	-	0	-	0%	-	-	-	0%
	NON-TARIFF	-	0	-	0%	-	-	-	0%	-	-	-	0%
TOTAL PRIVATE PATIENTS	TOTAL	-	-	-	0%	-	-	-	0%	-	-	-	0%
<b>TOTAL MANDATORY</b>	<b>MAND</b>	<b>31,315</b>	<b>30,169</b>	<b>1,146</b>	<b>96%</b>	<b>23,591</b>	<b>22,756</b>	<b>835</b>	<b>96%</b>	<b>54,906</b>	<b>52,925</b>	<b>1,981</b>	<b>96%</b>
<b>TOTAL NON-MANDATORY</b>	<b>NON-TARIFF</b>	<b>588</b>	<b>562</b>	<b>26</b>	<b>96%</b>	<b>950</b>	<b>691</b>	<b>259</b>	<b>73%</b>	<b>1,538</b>	<b>1,253</b>	<b>285</b>	<b>81%</b>
<b>TOTAL</b>	<b>TOTAL</b>	<b>31,903</b>	<b>30,731</b>	<b>1,172</b>	<b>96%</b>	<b>24,541</b>	<b>23,447</b>	<b>1,094</b>	<b>96%</b>	<b>56,444</b>	<b>54,178</b>	<b>2,266</b>	<b>96%</b>

		OUTPATIENT ATTENDANCES															
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
SOUTH BIRMINGHAM PCT	MAND	22,767	23,433	666	103%	59,705	65,976	6,271	111%	-	-	-	0%	82,472	89,409	6,937	108%
	NON-TARIFF	5,773	7,431	1,658	129%	19,374	36,521	17,147	189%	8,975	11,020	2,045	123%	34,122	54,972	20,850	161%
TOTAL SOUTH BIRMINGHAM PCT	TOTAL	28,540	30,864	2,324	108%	79,079	102,497	23,418	130%	8,975	11,020	2,045	123%	116,594	144,381	27,787	124%
HEART OF BIRMINGHAM TPCT	MAND	4,008	4,046	38	101%	12,144	12,285	141	101%	-	-	-	0%	16,151	16,331	180	101%
	NON-TARIFF	3,908	4,856	948	124%	10,123	9,775	-348	97%	1,871	2,038	167	109%	15,901	16,669	768	105%
TOTAL HEART OF BIRMINGHAM TPCT	TOTAL	7,915	8,902	987	112%	22,267	22,060	-207	99%	1,871	2,038	167	109%	32,053	33,000	947	103%
BIRMINGHAM EAST & NORTH PCT	MAND	1,924	2,027	103	105%	7,659	8,279	620	108%	-	-	-	0%	9,584	10,306	722	108%
	NON-TARIFF	436	564	128	129%	2,943	3,397	454	115%	905	1,219	314	135%	4,284	5,180	896	121%
TOTAL NORTH BIRMINGHAM PCT	TOTAL	2,360	2,591	231	110%	10,602	11,676	1,074	110%	905	1,219	314	135%	13,868	15,486	1,618	112%
OTHER WEST MIDLANDS ACUTE COMMISSIONERS	MAND	7,739	8,348	609	108%	31,756	35,005	3,249	110%	-	-	-	0%	39,494	43,353	3,859	110%
	NON-TARIFF	2,032	2,946	914	145%	15,445	16,867	1,422	109%	3,911	4,583	672	117%	21,388	24,396	3,008	114%
TOTAL WEST MIDLANDS ACUTE COMMISSIONERS	TOTAL	9,771	11,294	1,523	116%	47,201	51,872	4,671	110%	3,911	4,583	672	117%	60,883	67,749	6,866	111%
PAN BIRMINGHAM LSCG	MAND	3,373	3,258	-115	97%	13,253	12,218	-1,035	92%	-	-	-	0%	16,626	15,476	-1,150	93%
	NON-TARIFF	1,923	1,804	-119	94%	12,734	12,125	-609	95%	239	548	309	230%	14,895	14,477	-418	97%
TOTAL PAN BIRMINGHAM LSCG	TOTAL	5,296	5,062	-234	96%	25,987	24,343	-1,644	94%	239	548	309	230%	31,521	29,953	-1,568	95%
BLACK COUNTRY LSCG	MAND	541	536	-5	99%	1,810	1,675	-135	93%	-	-	-	0%	2,351	2,211	-140	94%
	NON-TARIFF	614	696	82	113%	2,101	2,736	635	130%	5	31	26	581%	2,720	3,463	743	127%
TOTAL BLACK COUNTRY LSCG	TOTAL	1,155	1,232	77	107%	3,911	4,411	500	113%	5	31	26	581%	5,071	5,674	603	112%
WEST MIDLANDS SOUTH LSCG	MAND	-	-	-	0%	197	-	-197	0%	-	-	-	0%	197	-	-197	0%
	NON-TARIFF	227	300	73	132%	891	1,528	637	171%	1	33	32	2475%	1,120	1,861	741	166%
TOTAL WEST MIDLANDS SOUTH LSCG	TOTAL	227	300	73	132%	1,089	1,528	439	140%	1	33	32	2475%	1,317	1,861	544	141%
SHROPSHIRE/STAFFORDSHIRE LSCG	MAND	-	1	1	0%	277	-	-277	0%	-	-	-	0%	277	1	-276	0%
	NON-TARIFF	169	170	1	100%	627	950	323	152%	3	26	23	975%	799	1,146	347	143%
TOTAL SHROPSHIRE/STAFFORDSHIRE LSCG	TOTAL	169	171	2	101%	903	950	47	105%	3	26	23	975%	1,075	1,147	72	107%
EAST MIDLANDS SCG	MAND	139	165	26	118%	630	757	127	120%	-	-	-	0%	770	922	152	120%
	NON-TARIFF	28	57	29	202%	219	256	37	117%	59	67	8	114%	306	380	74	124%
TOTAL EAST MIDLANDS SCG	TOTAL	168	222	54	132%	849	1,013	164	119%	59	67	8	114%	1,076	1,302	226	121%
YORKSHIRE & HUMBER SCG	MAND	44	41	-3	92%	170	154	-16	90%	-	-	-	0%	215	195	-20	91%
	NON-TARIFF	11	21	10	196%	73	89	16	121%	17	15	-2	87%	101	125	24	123%
TOTAL YORKSHIRE & HUMBER SCG	TOTAL	55	62	7	112%	244	243	-1	100%	17	15	-2	87%	316	320	4	101%
ALL OTHER COMMISSIONERS	MAND	2,185	295	-1,890	13%	6,001	1,420	-4,581	24%	-	-	-	0%	8,186	1,715	-6,471	21%
	NON-TARIFF	615	99	-516	16%	888	529	-359	60%	200	148	-52	74%	1,703	776	-927	46%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	399	31	-2,407	8%	1,291	141	-4,939	11%	-	-	-52	0%	9,889	2,491	-7,398	25%
WALES	MAND	207	172	-35	83%	823	836	13	102%	-	-	-	0%	1,029	1,008	-21	98%
	NON-TARIFF	43	40	-3	94%	367	418	51	114%	119	93	-26	78%	529	551	22	104%
TOTAL WALES	TOTAL	249	212	-37	85%	1,189	1,254	65	105%	119	93	-26	78%	1,558	1,559	1	100%
SCOTLAND	MAND	1	2	1	297%	-	2	2	0%	-	-	-	0%	1	4	3	594%
	NON-TARIFF	-	2	2	0%	2	1	-1	50%	-	2	2	0%	2	5	3	248%
TOTAL SCOTLAND	TOTAL	1	4	3	594%	2	3	1	149%	-	2	2	0%	3	9	6	334%
NON-CONTRACTED ACTIVITY	MAND	168	174	6	104%	804	802	-2	100%	-	-	-	0%	972	976	4	100%
	NON-TARIFF	19	47	28	250%	192	246	54	128%	71	76	5	108%	281	369	88	131%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	186	221	35	119%	996	1,048	52	105%	71	76	5	108%	1,253	1,345	92	107%
PRIVATE PATIENTS	MAND	-	-	-	0%	-	-	-	0%	-	-	-	0%	-	-	-	0%
	NON-TARIFF	-	-	-	0%	-	-	-	0%	-	-	-	0%	-	-	-	0%
TOTAL PRIVATE PATIENTS	TOTAL	-	-	-	0%	-	-	-	0%	-	-	-	0%	-	-	-	0%
<b>TOTAL MANDATORY</b>	<b>MAND</b>	<b>43,097</b>	<b>42,498</b>	<b>1,292</b>	<b>99%</b>	<b>135,228</b>	<b>139,409</b>	<b>4,181</b>	<b>103%</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0%</b>	<b>178,325</b>	<b>181,907</b>	<b>10,053</b>	<b>102%</b>
<b>TOTAL NON-MANDATORY</b>	<b>NON-TARIFF</b>	<b>15,798</b>	<b>19,033</b>	<b>3,751</b>	<b>120%</b>	<b>65,979</b>	<b>85,438</b>	<b>19,459</b>	<b>129%</b>	<b>16,375</b>	<b>19,899</b>	<b>3,524</b>	<b>122%</b>	<b>98,152</b>	<b>124,370</b>	<b>27,145</b>	<b>127%</b>
<b>TOTAL</b>	<b>TOTAL</b>	<b>58,895</b>	<b>61,531</b>	<b>5,043</b>	<b>104%</b>	<b>201,207</b>	<b>224,847</b>	<b>23,640</b>	<b>112%</b>	<b>16,375</b>	<b>19,899</b>	<b>3,524</b>	<b>122%</b>	<b>276,476</b>	<b>303,786</b>	<b>37,199</b>	<b>110%</b>