


AGENDA ITEM NO:**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
REPORT TO THE COUNCIL OF GOVERNORS
WEDNESDAY 16 MAY 2012**

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 MARCH 2012
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Council of Governors by providing a copy of the recent Board of Directors report covering Financial and Activity performance to the end of Quarter 4.
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	An actual surplus of £2.373m has been recorded for the 2011/12 financial year. This represents a favourable variance of £1.873m compared to the £0.500m planned surplus (before exceptional costs). The position includes a £1.209m benefit relating to a change in the accounting treatment for donated assets as set out in previous months. This position excludes transition costs of (£4.320m) and impairment losses of (£31.695m). Therefore the overall deficit reported as at 31 March 2012 is (£33.642m), subject to the audit of the annual accounts.
Recommendations:	The Council of Governors is asked to receive the contents of this report.

Signed: 	Date: 3 May 2012
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 26 APRIL 2012

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 MARCH 2012

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the 2011/12 financial year from 1 April 2011 to 31 March 2012. It includes the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report details healthcare activity and the associated income, key operating expenditure variances, CIP delivery and a summary of the capital programme.

The Financial Plan for 2011/12 provided for an underlying surplus of £0.500m. This excludes planned 'exceptional costs' of £52.600m comprising £3.500m of restructuring associated with the transition into the New Hospital and an expected £49.100m impairment loss of on the new building. Therefore, in overall terms the Trust planned for a deficit of (£52.100m).

An actual surplus of £2.373m (before 'exceptional costs') has been achieved, which represents a favourable variance of £1.873m against the financial plan. This position includes a £1.209m gain relating to a change in the accounting treatment for donated assets as detailed in the January 2012 Board of Director's report. This will be excluded from Monitor's assessment of financial performance and on this basis the Trust would be reporting an underlying surplus of £1.164m for the year i.e. a favourable variance of £0.664m.

Transition costs of £4.320m have been incurred during 2011/12 and total impairment losses are £31.695m. This is lower than the planned figure due to a further increase in the value of QEHB based on the latest notification from the District Valuation Office. Including these items, the Trust has reported an overall deficit of (£33.642m) for the 2011/12 financial year, subject to the audit of the annual accounts.

The Trust's Financial Risk Rating (FRR) remains at 3 based on Monitor's review of financial performance up to Quarter 3 and self assessment of Quarter 4.

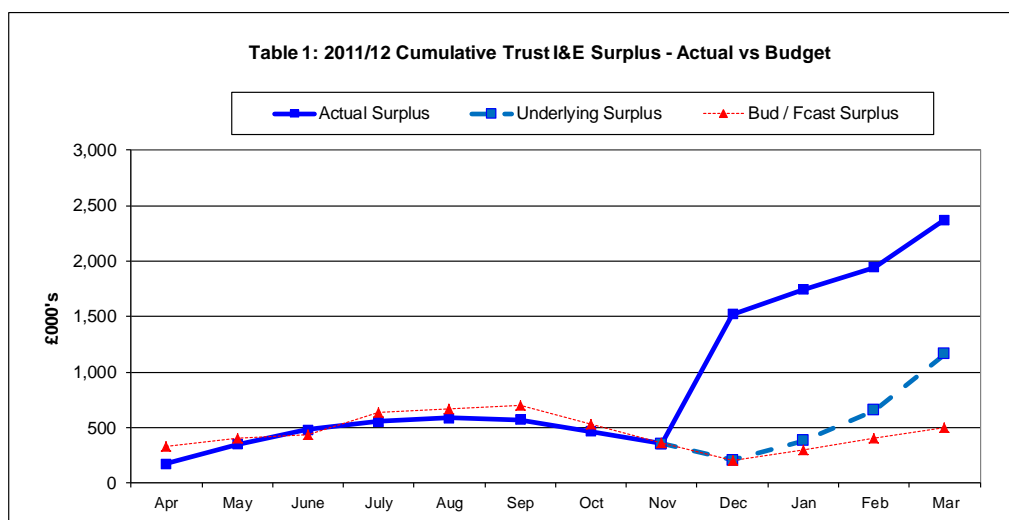
2. Financial Position Summary

2.1 Year End Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. As detailed

above the actual year to date surplus is £2.373m, which is £1.873m above the planned £0.500m surplus. An additional line has been added to show the underlying surplus excluding the gain on donated assets.

Table 1 – I&E Surplus vs. Plan 2011/12



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Mar 2012 £m	Actual Apr-Mar 2012 £m	Variance £m
Revenue	552.9	584.2	31.3
Operating Expenses	(516.1)	(545.3)	(29.2)
EBITDA	36.8	38.9	2.1
Depreciation	(18.1)	(20.1)	(2.0)
Donated Asset Revenue	0.8	2.4	1.6
Interest Receivable	0.6	0.8	0.2
Interest Payable	(17.5)	(17.5)	0.0
Contingent Rental	(2.1)	(2.1)	0.0
PDC Dividend	0.0	0.0	0.0
Operational (Deficit)/Surplus	0.5	2.4	1.9
Transition Costs	(3.5)	(4.3)	(0.8)
Impairments on Property	(49.1)	(31.7)	17.4
Retained (Deficit)/Surplus	(52.1)	(33.6)	18.5

Note - may include rounding differences

3. Income and Expenditure

3.1 Income Analysis

Total operating income is £31.3m above budget at 31 March 2012, within this NHS Clinical Income is £21.2m ahead of plan. This includes £7.9m related to contract variations, business cases and additional cost per case income and a further £13.3m attributable to general over-performance (see section 3.2) including some small balances relating to 2010/11 where final activity differed from the previous estimates and payments have now been agreed.

Non-NHS Clinical Income is £2.0m above plan with over-performance against the MoD Treatment Contract offset by under recovery of private patient income in the year. Other Income is above plan by £8.1m reflecting increases in education, research and development and non NHS SLA income. These sources typically have matching expenditure commitments and therefore have little impact on the bottom line.

Table 3 – Income against plan

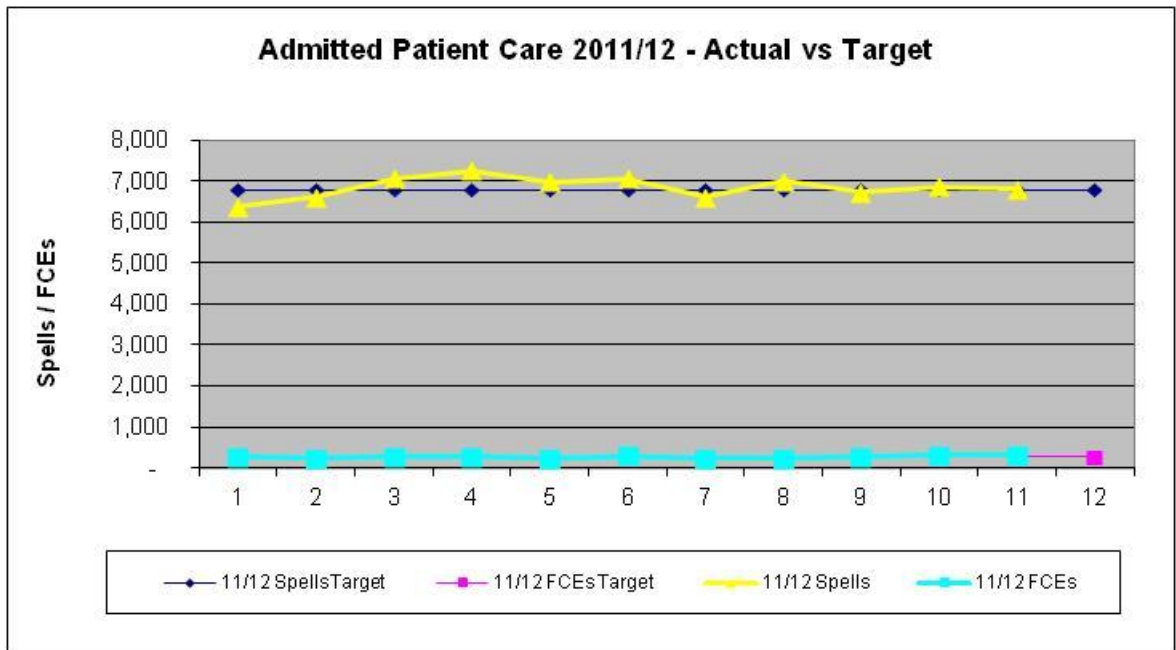
	Budget Apr-Mar 2012 £m	Actual Apr-Mar 2012 £m	Variance £m
Clinical - NHS	433.5	454.7	21.2
Clinical - Non NHS	13.0	15.0	2.0
Other	106.4	114.5	8.1
TOTAL	552.9	584.2	31.3

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first eleven months of the financial year. Inpatient spells (covered by Payment by Results) were slightly ahead of monthly target in February and remain marginally (1%) above plan year to date. Non PbR FCEs were above plan in the month but are slightly below target for the year to date.

Table 4.1 – Trust Inpatient Activity



New outpatient attendances were slightly below plan in Month 11 whilst outpatient procedures and follow up attendances were above plan. The same pattern also occurs in the year to date performance as shown in Table 4.2 below.

Table 4.2 – Trust Outpatient Activity

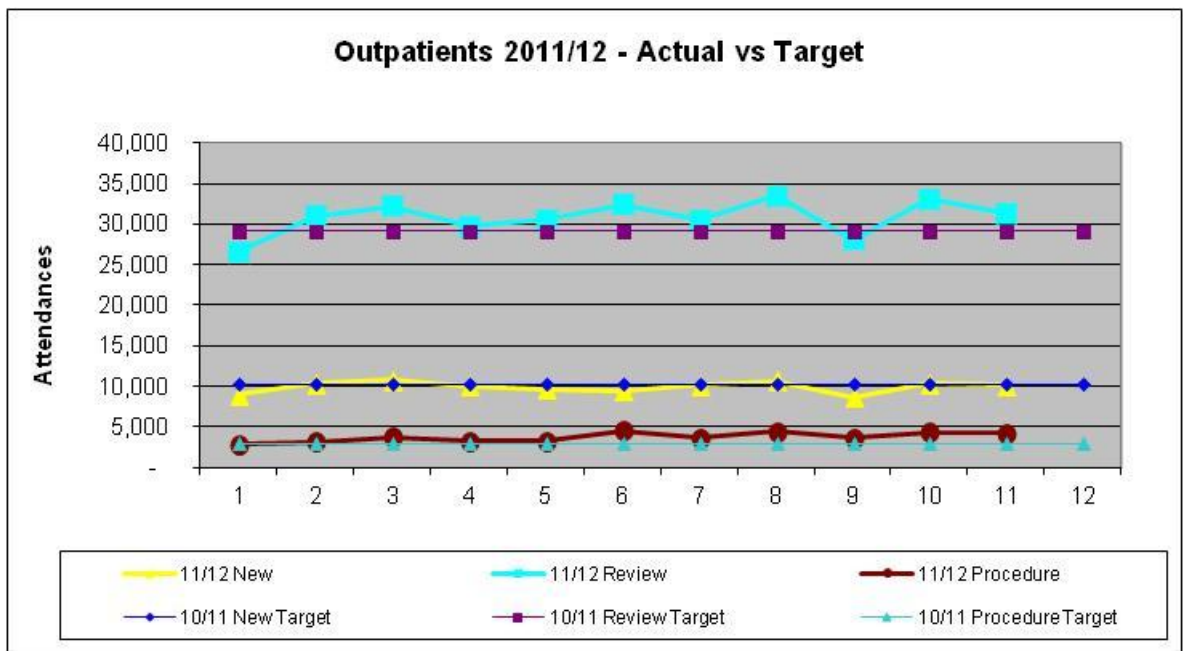


Table 5 below shows the variance by Division and Point of Delivery against the 2011/12 healthcare income targets. Healthcare income (excluding cost per case) is £8.7m ahead of plan for the first eleven months of the financial year. This includes credit note provisions based on an assessment of unresolved commissioner queries raised to date. The value of over-performance against divisional targets is lower than the total amount recorded in the ledger (see section 3.1) as it excludes estimated over-performance for month 12, prior year gains and some items which are not currently recorded in the divisional monitoring e.g.

CRF, BMT, etc. An activity performance summary against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	422	(1,967)	(189)	2,642	908
Outpatient	34	1,447	2,034	245	3,759
Other	3,090	(404)	796	513	3,995
Total	3,545	(924)	2,641	3,401	8,662

Note - may include rounding differences

3.3 Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£29.1m) against budgeted expenditure of £516.1m for the year.

Table 6 – Expenditure against plan

	Budget Apr-Mar 2012 £m	Actual Apr-Mar 2012 £m	Variance £m
PAY			
Medical Staff	92.0	96.9	(4.9)
Nursing	99.1	106.2	(7.1)
Scientific & Technical	38.8	38.6	0.2
SMP / A&C	52.0	52.3	(0.3)
Other / Pay Reserves	13.3	12.3	1.0
Total Pay	295.2	306.3	(11.1)
NON PAY			
Drugs	58.0	63.7	(5.7)
Clinical Supplies & Services	69.2	71.9	(2.7)
Other / Non-Pay Reserves	93.7	103.4	(9.7)
Total Non Pay	220.9	239.0	(18.1)
GRAND TOTAL	516.1	545.3	(29.2)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. In total 91% of the planned savings were delivered by 31 March 2012. The slippage of (£1.791m) was primarily related to the later than expected commencement of some schemes with the largest shortfalls in Division's B and D as shown in the table below:

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan			
	Q1	Q2	Q3	Q4
Division A	(94)	(203)	(285)	(335)
Division B	(199)	(211)	(272)	(445)
Division C	(160)	(159)	(117)	81
Division D	(187)	(486)	(658)	(721)
Corporate & NHP	(43)	(198)	(284)	(304)
Single Site Savings	(287)	(154)	(230)	(67)
Total	(970)	(1410)	(1847)	(1791)
% Achieved	70%	85%	86%	91%

4. Divisional Analysis

Divisional budgets recorded a favourable variance of £1.453m for the financial year, including £13.265m of healthcare income over-performance.

Table 8 – Analysis of year to date variances by Division

	Income	Expenditure	Total	Year End Forecast
	£'000	£'000	£'000	£'000
Division A	914	(6,165)	(5,251)	(5,251)
Division B	2,023	(4,320)	(2,297)	(2,297)
Division C	(89)	(3,272)	(3,361)	(3,361)
Division D	(1,222)	(904)	(2,126)	(2,126)
Division E	12,739	(11,516)	1,223	1,223
Sub - Total	14,365	(26,177)	(11,812)	(11,812)
Healthcare Income	13,265	0	13,265	13,265
TOTAL	27,630	(26,177)	1,453	1,453
<i>Memo General Contingency Reserve</i>			<i>1,871</i>	<i>1871</i>

Note - may include rounding differences

The aggregate divisional overspend in March was (£846,000) before taking account of healthcare over-performance income. The main over spend in the month was again nursing pay (including Theatre ODP's) with expenditure (£778,000) above budget in the month. Specific departmental nursing pressures in March included Critical Care (£192,000) due to opening of additional beds for major trauma and other activity along with Theatres (£63,000), CDU (£20,000) and Outpatients (£22,000). Further expenditure of (£100,000) relates to unfunded bed capacity across inpatient wards and CDU trolleys and (£97,000) has been incurred to provide additional nursing for specific patients ('specials') on the inpatients wards, primarily on the fifth floor. The remaining nursing overspend (£284,000) relates to a combination of sickness and vacancy cover, and skill mix pressures primarily across the inpatient wards.

The aggregate medical staffing over spend in March was (£519,000) which is higher than the trend in recent months due to a number of one off issues. Within this (£242,000) relates to junior and middle grade doctors with agency costs in excess of funded vacancies in key areas including A&E (£60,000), Anaesthetics (£20,000), Cardiac Surgery & Cardiology (£25,000) and Imaging (£60,000). The balance relates to senior medical staff (£277,000) and includes consultant cover (£110,000) in Anaesthetics and Cardiac Surgery,

locum costs (£51,000) in Elderly Care, ENT and Dermatology and waiting list payments across a number of specialties.

The pay cost pressures were partially offset by strong variable income which was in aggregate £560,000 above plan during the month. The main benefits included £230,000 across Cardiac Surgery and Cardiology, due to additional transplant and private patient income and £292,000 in Imaging due to additional income related to NHS SLAs and RRPPS (Medical Physics).

In overall terms non-pay was broadly in balance during the month with activity related costs in Theatres, Critical Care and Imaging offset by stock benefits and other non-recurrent gains.

5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance. Table 9 summarises the Trust's Statement of Financial Position at 31 March 2012.

Table 9 – Statement of Financial Position

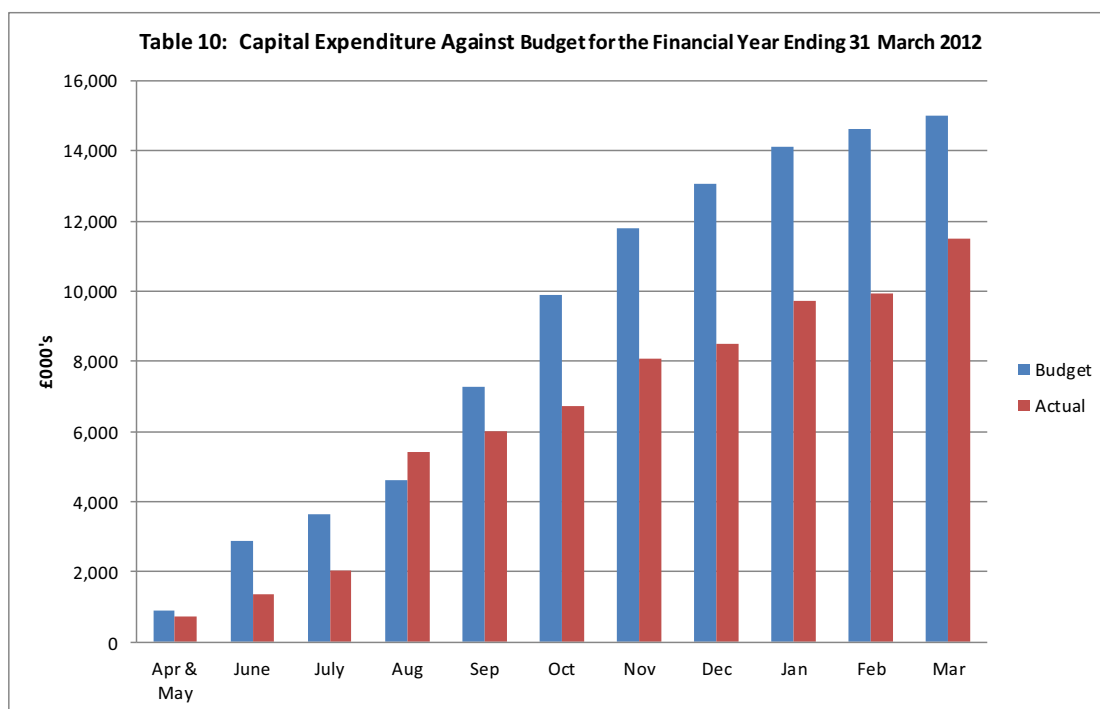
	Audited Mar 2011 £m	Actual Mar 2012 £m	YTD Plan Mar 2012 £m	Annual Plan Mar 2012 £m
Non Current Assets:				
Property, Plant and Equipment	437.0	513.3	497.7	497.7
Intangible Assets	1.0	0.8	1.0	1.0
Trade and Other Receivables	2.8	2.9	2.9	2.9
Other Assets	0.3	0.2	0.0	0.0
Total Non Current Assets	441.1	517.2	501.6	501.6
Current Assets:				
Inventories	12.8	13.1	12.0	12.0
Trade and Other Receivables	50.7	31.0	29.7	29.7
Other Financial Assets	1.1	0.6	1.5	1.5
Other Current Assets	2.2	4.4	4.0	4.0
Cash	62.0	67.7	55.0	55.0
Total Current Assets	128.8	116.8	102.2	102.2
Current Liabilities:				
Trade and Other Payables	64.5	70.0	61.0	61.0
Borrowings	10.9	12.2	12.3	12.3
Provisions	3.3	3.7	3.3	3.3
Tax Payable	6.3	6.9	6.3	6.3
Other Liabilities	26.9	23.9	13.7	13.7
Total Current Liabilities	111.9	116.7	96.6	96.6
Non Current Liabilities:				
Borrowings	447.9	545.9	546.0	546.0
Provisions	1.7	1.6	1.7	1.7
Other Liabilities	41.3	29.8	42.9	42.9
Total Non Current Liabilities	490.9	577.4	590.6	590.6
TOTAL ASSETS EMPLOYED	(32.9)	(60.1)	(83.4)	(83.4)
Financed by:				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(316.5)	(339.5)	(368.6)	(368.6)
Donated Asset Reserve	8.7	0.0	10.3	10.3
Revaluation Reserve	103.9	108.4	103.9	103.9
TOTAL TAXPAYERS EQUITY	(32.9)	(60.1)	(83.4)	(83.4)

Note - may include rounding differences

6. Capital Programme

The approved budget for capital programmes in 2011/12 totalled £15.0m. Actual expenditure for the year was £11.5m, with the under spend relating to slippage on the plaza works and retained estate redevelopment schemes. Expenditure in March was £1.5m, this included works expenditure on the Plaza area, the Wellcome Building and the New Hospital, along with the purchase of replacement patient monitors and the initial delivery of equipment for the Major Trauma Centre. A summary of expenditure against major schemes is provided in Appendix D and further details are provided in the separate Capital Programme update paper.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

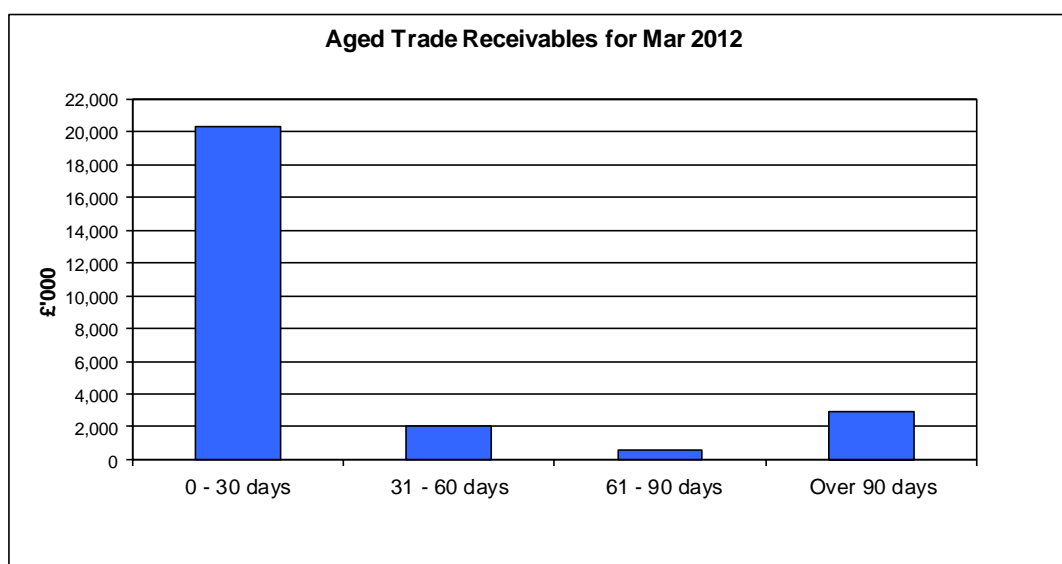
The value of current assets (excluding inventories and cash) due within one year was £36.0m at 31 March 2012. Of this, £26.7m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt as at 31 March 2012 was £3.0m. Of this around £1.1m is attributable to healthcare income (debt with NHS commissioners) with the largest balance being NHS South Birmingham (£0.6m). A further £1.3m relates to debt with NHS providers, including Birmingham Children's Hospital (£0.4m), Sandwell and West Birmingham (£0.2m) and Birmingham Women's Hospital (£0.1m). These amounts are typically matched by reciprocal creditor balances so there is little adverse impact on cash flow.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Mar 2012 £m	Forecast Mar 2012 £m
Trade Receivables	26.7	28.4
Bad Debt Provision	-1.2	-2.3
Other Receivables	5.5	3.6
Trade and Other Receivables	31.0	29.7
Accrued Income	0.6	1.5
Other Financial Assets	0.6	1.5
Prepayments	4.4	4.0
Deferred Asset	0.0	0.0
Other Current Assets	4.4	4.0
TOTAL	36.0	35.2

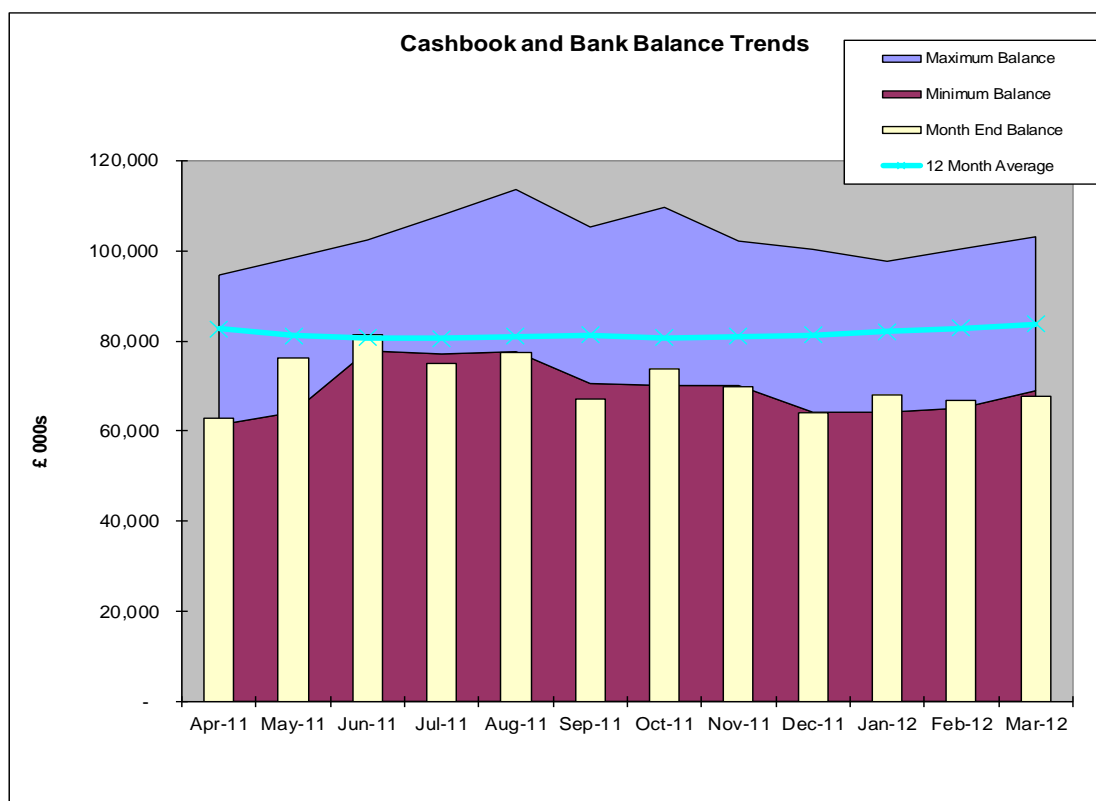
Table 12 – Aged Debt Analysis of Trade Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F showing actual cash received and paid out against forecast. Table 13 shows the month end cash balance, the minimum and maximum daily bank balance in the month and a twelve month rolling average bank balance. The actual cashbook balance at 31 March 2012 was £67.7m which is £12.7m above plan. This reflects a combination of favourable movements in working capital, with debtors being below plan and creditors above plan, and the slippage against the capital programme. Consequently the twelve month rolling average has remained stable over recent months rather than reducing as previously expected.

Table 13 – Cash Flow



9. Working Capital Facility

The Trust has a working capital facility of £30.0m in line with the 2011/12 Annual Plan. This facility is necessary to meet Monitor’s liquidity ratio requirements. The overdraft has not been used to date and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust’s Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Mar 2012
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.3
Minimum Debt Service Cover	> 1.5	1.3	1.4
Maximum Debt Service to Revenue	< 10%	5.1%	4.8%

The first three ratios compare how many times the Trust’s income and expenditure surplus can cover the PDC dividend, the interest payable

on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The actual Tier 2 Limit for Minimum Debt Service cover ratio achieved was 1.4 against a target of greater than 1.5. Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example a major renovation or redevelopment). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m.

10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on the Trust's 2011/12 Annual Plan and the latest results published by Monitor (Quarter 3). Self assessment of financial performance indicates that this rating should be maintained during the final quarter of the 2011/12 financial year. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Monitor Finance Declaration**

Each quarter Foundation Trusts are required to submit a Finance Declaration (similar to the Governance Declaration) certifying that the Board anticipates that the Trust will maintain a financial risk rating of at least 3 for the next 12 months (Declaration 1). If this declaration cannot be made the Trust is required to sign Declaration 2 and provide additional information to support this.

A copy of the Finance Declaration is attached as Appendix H. The Board is requested to agree that Declaration 1 should be signed as current performance and future planning indicates that a FRR of at least 3 will be maintained over the next 12 months.

12. **Conclusion**

The Trust reported a £2.373m income and expenditure surplus for the 2011/12 financial year. This represents a favourable variance of £1.873m compared to the planned £0.500m surplus (before exceptional costs). This includes a £1.209m benefit relating to in year changes in the accounting treatment for donated assets as detailed in previous months.

The reported position excludes transition costs of (£4.320m) relating to the new hospital moves and the impairment losses of (£31.695m). Therefore the overall deficit for the 2011/12 financial year is (£33.642m), subject to the audit of the annual accounts.

13. **Recommendations**

The Board of Directors is asked to:

- Receive the contents of this report
- Agree that Declaration 1 should be signed for Q4 confirming that the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months.

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton
Director of Finance
17 April 2012

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

31st March 2012

APPENDIX A

PERIOD		YEAR TO DATE		FORECAST OUTTURN	
BUDGET	ACTUAL	BUDGET	ACTUAL	BUDGET	ACTUAL
£'000	£'000	£'000	£'000	£'000	£'000
338	323	4,481	4,816	4,481	4,816
(1,119)	(1,045)	(10,996)	(10,892)	(10,996)	(10,892)
(345)	(402)	(4,761)	(5,195)	(4,761)	(5,195)
(1,126)	(1,124)	(11,276)	(11,271)	(11,276)	(11,271)
2,293	0	893	0	893	0
(156)	0	(1,871)	0	(1,871)	0
2,137	0	(978)	0	(978)	0
846	1,936	8,255	10,065	8,255	10,065
(1,000)	(902)	(7,866)	(8,197)	(7,866)	(8,197)
(529)	(1,687)	(4,171)	(5,630)	(4,171)	(5,630)
(683)	(653)	(3,782)	(3,762)	(3,782)	(3,762)
178	177	2,024	1,910	2,024	1,910
(1,510)	(1,550)	(16,672)	(17,105)	(16,672)	(17,105)
(404)	(465)	(4,190)	(4,038)	(4,190)	(4,038)
(1,736)	(1,838)	(18,838)	(19,233)	(18,838)	(19,233)
38,541	49,580	466,786	494,416	466,786	494,416
(19,732)	(23,078)	(233,635)	(246,694)	(233,635)	(246,694)
(10,235)	(13,841)	(149,133)	(162,251)	(149,133)	(162,251)
8,574	12,661	84,018	85,471	84,018	85,471
5,710	6,684	41,330	42,304	41,330	42,304
(897)	(959)	(10,049)	(10,130)	(10,049)	(10,130)
(2,418)	(3,305)	(14,620)	(15,491)	(14,620)	(15,491)
2,395	2,420	16,661	16,683	16,661	16,683
(840)	(707)	13,890	15,228	13,890	15,228
(88)	(242)	(2,739)	(2,934)	(2,739)	(2,934)
(7,343)	(7,321)	(61,993)	(63,169)	(61,993)	(63,169)
(8,271)	(8,270)	(50,842)	(50,875)	(50,842)	(50,875)
1,405	1,461	16,064	16,095	16,064	16,095
(896)	(839)	(10,861)	(10,323)	(10,861)	(10,323)
(299)	(422)	(2,866)	(3,460)	(2,866)	(3,460)
210	200	2,337	2,312	2,337	2,312
123	282	1,470	3,186	1,470	3,186
0	0	0	0	0	0
(1,523)	(3,251)	(18,270)	(20,138)	(18,270)	(20,138)
(1,400)	(2,969)	(16,800)	(16,952)	(16,800)	(16,952)
0	(120)	0	(643)	0	(643)
0	0	0	0	0	0
0	120	0	643	0	643
0	0	0	0	0	0
46,301	59,616	554,300	587,377	554,300	587,377
(24,502)	(28,615)	(295,200)	(306,275)	(295,200)	(306,275)
(21,699)	(30,574)	(258,600)	(278,729)	(258,600)	(278,729)
100	427	500	2,373	500	2,373
0	17,365	(49,100)	(31,695)	(49,100)	(31,695)
(292)	(127)	(3,500)	(4,320)	(3,500)	(4,320)
(192)	17,857	(52,100)	(33,642)	(52,100)	(33,642)
335	335	335	335	335	335
104	104	104	104	104	104
(434)	(434)	(434)	(434)	(434)	(434)
5	5	5	5	5	5
(893)	(893)	(893)	(893)	(893)	(893)
1,871	1,871	1,871	1,871	1,871	1,871
978	978	978	978	978	978
1,810	1,810	1,810	1,810	1,810	1,810
(331)	(331)	(331)	(331)	(331)	(331)
(1,459)	(1,459)	(1,459)	(1,459)	(1,459)	(1,459)
20	20	20	20	20	20
(114)	(114)	(114)	(114)	(114)	(114)
(433)	(433)	(433)	(433)	(433)	(433)
152	152	152	152	152	152
(395)	(395)	(395)	(395)	(395)	(395)
27,630	27,630	27,630	27,630	27,630	27,630
(13,059)	(13,059)	(13,059)	(13,059)	(13,059)	(13,059)
(13,118)	(13,118)	(13,118)	(13,118)	(13,118)	(13,118)
1,453	1,453	1,453	1,453	1,453	1,453
974	974	974	974	974	974
(81)	(81)	(81)	(81)	(81)	(81)
(871)	(871)	(871)	(871)	(871)	(871)
22	22	22	22	22	22
1,338	1,338	1,338	1,338	1,338	1,338
(195)	(195)	(195)	(195)	(195)	(195)
(33)	(33)	(33)	(33)	(33)	(33)
31	31	31	31	31	31
538	538	538	538	538	538
(594)	(594)	(594)	(594)	(594)	(594)
(25)	(25)	(25)	(25)	(25)	(25)
1,716	1,716	1,716	1,716	1,716	1,716
0	0	0	0	0	0
(1,868)	(1,868)	(1,868)	(1,868)	(1,868)	(1,868)
(152)	(152)	(152)	(152)	(152)	(152)
(643)	(643)	(643)	(643)	(643)	(643)
0	0	0	0	0	0
643	643	643	643	643	643
0	0	0	0	0	0
33,077	33,077	33,077	33,077	33,077	33,077
(11,075)	(11,075)	(11,075)	(11,075)	(11,075)	(11,075)
(20,129)	(20,129)	(20,129)	(20,129)	(20,129)	(20,129)
1,873	1,873	1,873	1,873	1,873	1,873
17,405	17,405	17,405	17,405	17,405	17,405
(820)	(820)	(820)	(820)	(820)	(820)
18,458	18,458	18,458	18,458	18,458	18,458

BUDGET HOLDER

Julie Moore
 PAY
 NON PAY
 TOTAL
 Julie Moore
 GENERAL
 TOTAL
 Mike Sexton
 PAY
 NON PAY
 TOTAL
 Kay Fawcett
 PAY
 NON PAY
 TOTAL
 Kevin Bolger
 PAY
 NON PAY
 TOTAL
 Tim Jones
 PAY
 NON PAY
 TOTAL
 Morag Jackson
 PAY
 NON PAY
 TOTAL
 Dr David Rosser
 PAY
 NON PAY
 TOTAL
 CAPITAL CHARGES
 CONSOLIDATION ADJ.
 TOTAL
 SURPLUS BEFORE EXCEPTIONAL ITEMS
 PROPERTY IMPAIRMENT
 TRANSITION COSTS
 OVERALL SURPLUS / (DEFICIT)

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
31st March 2012
FINANCIAL PERFORMANCE - PERIOD ENDING
STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

APPENDIX B

PERIOD		BUDGET		BUDGET HOLDER		YEAR TO DATE		FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
38,248	43,194	4,946	HEALTHCARE INCOME		446,648	459,913	13,265	446,648	459,913	13,265
0	0	0	DIRECT INCOME		0	0	0	0	0	0
0	0	0	DIRECT EXP PAY		0	0	0	0	0	0
38,248	43,194	4,946	TOTAL		446,648	459,913	13,265	446,648	459,913	13,265
613	861	248	DIVISION A		9,559	10,473	914	9,559	10,473	914
(7,276)	(7,703)	(427)	DIRECT INCOME		(86,916)	(89,628)	(2,712)	(86,916)	(89,628)	(2,712)
(3,373)	(3,899)	(526)	DIRECT EXP PAY		(32,912)	(36,365)	(3,453)	(32,912)	(36,365)	(3,453)
(10,036)	(10,741)	(705)	TOTAL		(110,269)	(115,520)	(5,251)	(110,269)	(115,520)	(5,251)
(61)	327	388	DIVISION B		5,309	7,332	2,023	5,309	7,332	2,023
(3,896)	(4,214)	(318)	DIRECT INCOME		(45,836)	(48,500)	(2,664)	(45,836)	(48,500)	(2,664)
(3,755)	(3,835)	(80)	DIRECT EXP PAY		(40,258)	(41,914)	(1,656)	(40,258)	(41,914)	(1,656)
(7,12)	(7,722)	(10)	TOTAL		(80,785)	(83,082)	(2,297)	(80,785)	(83,082)	(2,297)
1,149	1,118	(31)	DIVISION C		9,498	9,409	(89)	9,498	9,409	(89)
(3,892)	(4,162)	(270)	DIRECT INCOME		(44,945)	(47,271)	(2,326)	(44,945)	(47,271)	(2,326)
(3,772)	(3,545)	227	DIRECT EXP PAY		(35,449)	(36,395)	(946)	(35,449)	(36,395)	(946)
(6,515)	(6,589)	(74)	TOTAL		(70,896)	(74,257)	(3,361)	(70,896)	(74,257)	(3,361)
382	337	(45)	DIVISION D		4,384	3,162	(1,222)	4,384	3,162	(1,222)
(4,231)	(4,623)	(392)	DIRECT INCOME		(50,700)	(53,206)	(2,506)	(50,700)	(53,206)	(2,506)
(5,356)	(5,021)	335	DIRECT EXP PAY		(47,735)	(46,133)	1,602	(47,735)	(46,133)	1,602
(9,205)	(9,307)	(102)	TOTAL		(94,051)	(96,177)	(2,126)	(94,051)	(96,177)	(2,126)
(1,790)	3,743	5,533	CHIEF OPERATING OFFICER		(8,612)	4,127	12,739	(8,612)	4,127	12,739
(437)	(2,376)	(1,939)	DIRECT INCOME		(5,238)	(8,089)	(2,851)	(5,238)	(8,089)	(2,851)
6,021	2,459	(3,562)	DIRECT EXP PAY		7,221	(1,444)	(8,665)	7,221	(1,444)	(8,665)
3,794	3,826	32	TOTAL		(6,629)	(5,406)	1,223	(6,629)	(5,406)	1,223
38,541	49,580	11,039	TOTAL OPERATIONAL		466,786	494,416	27,630	466,786	494,416	27,630
(19,732)	(23,078)	(3,346)	PAY		(233,635)	(246,694)	(13,059)	(233,635)	(246,694)	(13,059)
(10,235)	(13,841)	(3,606)	NON PAY		(149,133)	(162,251)	(13,118)	(149,133)	(162,251)	(13,118)
8,574	12,661	4,087	TOTAL SURPLUS / (DEFICIT)		84,018	85,471	1,453	84,018	85,471	1,453

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT**

31st March 2012

APPENDIX C

	Period To date		Forecast Out-turn		Variance
	Budget	Actual	Budget	Actual	
	£'000	£'000	£'000	£'000	£'000
Income					
-Clinical - NHS	433,500	454,725	433,500	454,725	21,225
-Clinical - Non NHS	13,000	15,002	13,000	15,002	2,002
-Other	107,200	116,911	107,200	116,911	9,711
TOTAL INCOME	553,700	586,638	553,700	586,638	32,938
Operating Expenses					
-Pay Costs	(295,200)	(306,275)	(295,200)	(306,275)	(11,075)
-Non Pay	(220,890)	(239,083)	(220,890)	(239,083)	(18,193)
-Depreciation	(18,110)	(20,105)	(18,110)	(20,105)	(1,995)
TOTAL EXPENDITURE	(534,200)	(565,463)	(534,200)	(565,463)	(31,263)
OPERATING SURPLUS	19,500	21,175	19,500	21,175	1,675
Interest Receivable	600	738	600	738	138
Interest Payable	(17,500)	(17,540)	(17,500)	(17,540)	(40)
Contingent Rental	(2,100)	(1,991)	(2,100)	(1,991)	109
Corporation Tax	0	(10)	0	(10)	(10)
PDC Dividends Payable	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	500	2,372	500	2,372	1,872
Transition Costs	(3,500)	(4,319)	(3,500)	(4,319)	(819)
Impairments on Property	(49,100)	(31,695)	(49,100)	(31,695)	17,405
RETAINED SURPLUS FOR THE YEAR	(52,100)	(33,642)	(52,100)	(33,642)	18,458

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CAPITAL PROGRAMME (NHS EXPENDITURE)

APPENDIX D

31st March 2012

PERIOD		SCHEME		TO DATE		FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
25	12	13	495	187	308	495	187	308
0	0	0	4,200	3,751	449	4,200	3,751	449
0	13	(13)	1,295	1,077	218	1,295	1,077	218
25	0	25	300	92	208	300	92	208
50	684	(634)	2,300	2,847	(547)	2,300	2,847	(547)
0	25	(25)	1,368	1,692	(324)	1,368	1,692	(324)
270	579	(309)	4,225	924	3,301	4,225	924	3,301
59	263	(204)	1,309	930	379	1,309	930	379
(42)	0	(42)	(500)	0	(500)	(500)	0	(500)
387	1,576	(1,164)	14,992	11,500	3,492	14,992	11,500	3,492
			Capital Programme					

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
AGED ANALYSIS OF TRADE RECEIVABLES**

31st March 2012

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	21,503,128 100%	2,085	7,315,316 34%	2,098,814 10%	8,061,704 37%	4,027,294 19%
JUNE	21,225,822 100%	1,992	9,406,317 44%	3,381,319 16%	722,286 3%	7,715,901 36%
JULY	32,166,841 100%	2,681	18,605,732 58%	3,965,388 12%	2,683,227 8%	6,912,494 21%
AUG	27,336,413 100%	2,631	9,789,188 36%	8,366,073 31%	1,629,857 6%	7,551,295 28%
SEP	20,906,731 100%	2,906	9,639,869 46%	2,283,868 11%	2,872,379 14%	6,110,616 29%
OCT	21,818,058 100%	3,128	10,083,454 46%	3,675,972 17%	1,579,744 7%	6,478,889 30%
NOV	19,034,951 100%	2,292	7,607,251 40%	4,347,029 23%	1,758,771 9%	5,321,899 28%
DEC	22,282,750 100%	3,291	12,256,401 55%	2,576,224 12%	1,873,251 8%	5,576,874 25%
JAN	21,459,440 100%	4,636	11,487,824 54%	3,679,288 17%	1,030,628 5%	5,261,701 25%
FEB	18,193,186 100%	2,035	10,421,760 57%	3,025,509 17%	1,630,868 9%	3,115,048 17%
MAR	25,926,455 100%	5130	20,325,338 78%	2,086,642 8%	542,719 2%	2,971,757 11%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CASHFLOW FORECAST 2011/12

31st March 2012

APPENDIX F

	Apr	May	June	July	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Mar
	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast	F/Cast
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's
INCOME													
Contract Income Protected	36,100	36,100	41,542	42,359	44,982	48,527	42,518	36,133	39,778	44,750	43,672	36,134	45,732
Non Protected	1,066	1,067	296	111	356	174	1,066	1,067	335	181	222	1,100	294
Other Income	8,866	8,866	9,502	5,961	6,017	8,868	12,768	8,866	5,328	9,469	5,657	8,868	17,408
Interest Received	33	33	13	67	15	66	85	33	34	91	66	67	12
Total Received	46,065	46,066	51,353	48,507	51,370	56,746	55,507	46,099	45,754	54,492	46,165	46,169	63,446
EXPENDITURE													
Pay	24,700	24,700	24,231	23,921	24,633	27,911	24,074	24,600	24,345	24,353	24,467	24,467	24,868
Non Pay	16,433	16,433	15,600	25,866	19,023	31,156	18,634	16,367	21,003	20,265	16,367	17,555	32,718
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	0	0
PFI - Specific	1,600	1,441	2,486	1,700	1,962	1,700	2,002	1,800	1,984	1,766	2,052	1,767	2,391
Capital	966	427	967	1,466	747	3,231	1,933	1,933	1,934	1,487	633	120	634
Total Payments	43,699	43,700	43,074	52,246	46,183	64,322	44,639	44,700	48,305	48,158	43,234	46,823	60,611
Net Inflow/(outflow)	2,366	2,366	8,279	2,001	3,740	1,999	5,187	1,399	1,402	2,935	2,931	909	2,836
FINANCING													
Interest Expense on PFI Scheme	(1,266)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,566)	(1,567)	(1,572)	(1,579)	(1,533)	(1,534)	(1,627)
Capital PFI	(800)	(800)	(776)	(733)	(623)	(734)	(966)	(967)	(967)	(997)	(1,133)	(1,134)	(1,026)
PFI - Variations	0	0	0	0	0	0	0	0	0	0	0	0	0
Restructuring Costs	(300)	(293)	(300)	(525)	(573)	(300)	(404)	(300)	(452)	(300)	(300)	(200)	(127)
Movement on Other Grants/Capital	0	0	0	94	0	0	0	0	0	0	0	0	224
CASH BALANCES													
Opening balance	61,988	61,988	61,987	61,988	61,490	60,989	60,488	59,056	57,620	64,069	56,158	56,123	66,430
Bank balances c/f	61,988	61,987	81,539	74,879	77,400	67,207	73,934	67,620	64,089	67,887	66,430	55,000	66,709
Cash Held/Agency Bal	21	25	24	24	24	23	24	24	24	22	22	22	18
Pharmacy@QEHb Ltd							82	76	143	748	409	409	968
Total	62,009	62,779	81,563	74,903	77,424	67,230	59,056	57,620	64,236	68,657	56,123	55,000	67,695

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	24	2	14	2	-10
Interventional Radiology	0	0	653	0	653	0
Rehabilitation	27	24	13	11	-14	-13
	27	48	668	25	641	-23
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	403	2	388	2	-15	0
Cardiology	2,890	1,171	2,496	1,215	-394	44
Cardiothoracic Surgery	611	249	531	305	-80	56
Cardiothoracic Transplantation	0	0	7	1	7	1
Colorectal Surgery	2,049	1,551	2,113	203	64	-1,348
Gastroenterology	4,560	302	3,942	245	-618	-57
General Surgery	2,428	1,992	2,558	3,714	130	1,722
Hepatobiliary & Pancreatic Surgery	784	268	867	236	83	-32
Hepatology	1,034	223	1,176	211	142	-12
Nephrology	931	1,019	749	1,213	-182	194
Transplantation Surgery	6	39	5	55	-1	17
Upper Gastrointestinal Surgery	166	2	168	1	2	-1
Vascular Surgery	999	163	975	154	-24	-9
	16,862	6,980	15,975	7,555	-887	575
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	5	1,044	1	694	-4	-350
Dermatology	574	17	959	14	385	-3
Diabetic Medicine	834	0	745	0	-89	0
Endocrinology	616	59	686	10	70	-49
General Medicine	229	13,670	237	15,014	8	1,344
Genitourinary Medicine	8	17	7	19	-1	2
Geriatric Medicine	3	931	2	719	-1	-212
Ophthalmology	3,588	15	2,561	8	-1,027	-7
Infectious Diseases	0	5	0	1	0	-4
Pain Management	1,417	3	1,423	3	6	0
Respiratory Medicine	96	467	356	369	260	-98
Rheumatology	702	6	609	0	-93	-6
	8,073	16,236	7,586	16,851	-487	615
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	9	4	12	3	3	-1
Burns care	1	7	14	62	13	55
Clinical Oncology	907	721	874	595	-33	-126
Clinical Haematology	3,078	628	3,390	597	312	-31
ENT	1,573	422	1,748	432	175	10
Maxillo-facial surgery	994	519	1,062	504	68	-15
Medical Oncology	548	472	540	479	-8	7
Neurology	1,617	186	2,163	176	546	-10
Neurosurgery	2,584	1,253	2,147	1,332	-437	79
Plastic Surgery	2,546	574	2,720	601	174	27
Trauma & Orthopaedics	2,478	1,978	2,380	2,099	-98	121
Urology	2,449	952	2,353	452	-96	-500
	18,784	7,715	19,403	7,332	619	-383
<u>Summary: Services Under PbR</u>	43,745	30,978	43,632	31,763	-113	785

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	1	0	0	0	-1
Interventional Radiology	0	0	12	0	12	0
Rehabilitation	1	0	0	0	-1	0
	1	1	12	0	11	-1
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	2	0	4	0	2	0
Cardiology	58	29	63	93	5	64
Cardiac MRI	115	0	154	0	39	0
Cardiothoracic Surgery	117	5	122	4	5	-1
Cardiothoracic Transplantation	17	11	1	7	-16	-4
Colorectal Surgery	53	1	46	0	-7	-1
Gastroenterology	50	4	34	2	-16	-2
General Surgery	193	4	233	6	40	2
Hepatobiliary & Pancreatic Surgery	46	12	189	8	143	-4
Hepatology	49	41	48	59	-1	18
Liver Transplantation	9	2	0	0	-9	-2
Nephrology	58	7	61	11	3	4
Renal Transplantation	138	19	120	14	-18	-5
Upper Gastrointestinal Surgery	3	0	3	0	0	0
Vascular Surgery	52	0	64	0	12	0
	960	135	1,142	204	182	69
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	33	0	45	0	12
Dermatology	34	0	35	0	1	0
Endocrinology	5	2	16	0	11	-2
General Medicine	6	433	4	407	-2	-26
Geriatric Medicine	0	19	0	6	0	-13
Genitourinary Medicine	0	0	1	0	1	0
Infectious Diseases	0	0	0	0	0	0
Ophthalmology	61	0	45	0	-16	0
Pain Management	45	0	57	0	12	0
Respiratory Medicine	1	8	4	6	3	-2
Rheumatology	13	0	11	0	-2	0
	164	495	173	464	9	-31
<u>Specialty Group: 4 - Division D</u>						
Bone & Marrow Transplantation	41	0	15	0	-26	0
Blood and Marrow Transplantation	2	0	5	0	3	0
Burns care	9	204	20	362	11	159
Clinical Oncology	23	1	33	1	10	0
Clinical Haematology	7	4	29	1	22	-3
ENT	103	0	91	0	-12	0
Maxillo-facial surgery	125	6	149	12	24	6
Medical Oncology	85	35	108	62	23	27
Neurology	22	2	19	1	-3	-1
Neurosurgery	235	7	176	4	-59	-3
Plastic Surgery	103	40	102	27	-1	-13
Trauma & Orthopaedics	104	17	111	12	7	-5
Urology	170	3	137	2	-33	-1
	1,028	319	995	484	-33	165
<u>Summary: Services at Local Prices</u>						
	2,153	950	2,322	1,152	169	202
Total Inpatients (PbR and Local)	45,898	31,928	45,954	32,915	56	987

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	50	0	0	61	0	0	12
Physiotherapy	0	0	489	0	0	399	0	0	-90
Podiatry	0	0	6	0	0	20	0	0	14
Rehabilitation	0	0	1	0	0	5	0	0	4
Speech & Language Therapy	0	0	3	0	0	108	0	0	105
	0	0	548	0	0	594	0	0	46
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	2,569	4,679	698	2,182	3,770	614	-387	-909	-84
Cardiology	9,142	20,373	2,444	8,768	23,672	4,577	-374	3,299	2,133
Cardiothoracic Surgery	182	1,679	759	177	1,672	776	-5	-7	17
Cardiothoracic Transplantation	0	0	0	0	0	2	0	0	2
Colorectal Surgery	1,363	1,243	1,760	1,060	1,535	1,765	-303	292	5
Gastroenterology	1,890	3,338	126	2,071	4,198	312	181	860	186
General Surgery	2,653	5,901	454	2,047	6,261	943	-606	360	489
Hepatobiliary & Pancreatic Surgery	956	3,147	356	1,066	3,755	352	110	608	-4
Hepatology	1,370	9,643	291	1,341	9,946	406	-29	303	115
Nephrology	1,116	19,556	288	1,050	20,527	410	-66	971	122
Transplantation Surgery	0	0	3	0	0	100	0	0	97
Upper Gastrointestinal Surgery	323	419	3	317	499	2			
Vascular Surgery	1,218	2,916	113	1,204	3,408	439	-14	492	326
	22,783	72,895	7,292	21,283	79,243	10,698	-1,494	6,268	3,407
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	0	0	2	0	0	0	0	0	-2
Diabetic Medicine	425	4,390	61	762	6,281	72	337	1,891	12
Dermatology	6,135	11,237	6,626	5,310	11,245	7,424	-825	8	798
Endocrinology	1,036	3,249	17	1,269	5,587	233	233	2,338	217
General Medicine	973	5,190	281	1,120	5,879	10	147	689	-271
GenitoUrinary Medicine	26,738	13,000	0	27,885	13,918	1	1,147	918	1
Geriatric Medicine	586	863	11	451	823	5	-135	-40	-6
Ophthalmology	6,467	20,530	1,294	5,259	20,773	3,653	-1,208	243	2,359
Pain Management	1,118	1,837	278	1,084	2,336	351	-34	499	73
Respiratory Medicine	1,484	4,886	2	1,635	5,955	13	151	1,069	11
Respiratory Physiology	1	0	0	1	0	0	0	0	0
Rheumatology	1,931	8,603	0	1,500	10,078	1	-432	1,476	1
Infectious Diseases	0	0	0	61	32	0	61	32	0
	46,894	73,785	8,570	46,336	82,908	11,763	-619	9,090	3,193
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	4	0	0	53	0	0	49
Clinical Oncology	5,408	13,933	10	5,593	15,028	13	185	1,095	3
ENT	3,911	9,246	6,400	3,573	8,677	7,703	-338	-569	1,303
Clinical Haematology	1,329	12,773	1	1,456	13,024	7	127	251	6
Maxillo-facial surgery	3,250	7,103	1,390	3,244	7,452	1,535	-7	349	145
Medical Oncology	1,027	9,789	936	1,011	10,162	521	-16	373	-415
Neurology	0	0	18	254	40	266	254	40	248
Neurosurgery	0	0	4	0	0	6	0	0	2
Plastic Surgery	2,686	9,570	2,150	2,042	9,725	2,555	-644	155	405
Trauma & Orthopaedics	6,090	16,184	737	6,102	17,878	1,327	11	1,693	590
Urology	3,257	7,425	3,410	3,094	8,687	3,961	-162	1,261	551
	26,959	86,024	15,059	26,369	90,672	17,947	-590	4,649	2,888
<u>Summary: Services Under PbR</u>	96,636	232,704	31,469	93,988	252,823	41,002	-2,703	20,007	9,534

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	68	54	0	71	52	0	3	-2	0
Physiotherapy	5,965	28,050	0	5,369	26,417	0	-596	-1,633	0
Podiatry	194	905	0	293	777	2	99	-128	2
Speech & Language Therapy	471	1,231	0	462	1,097	0	-9	-134	0
Nutrition & Dietetics	1,371	3,863	0	1,293	3,131	0	-78	-732	0
Occupational Therapy	265	539	0	299	565	0	34	26	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	2	1	6	2	1	6	0
Upper Limb	1,060	6,402	0	1,313	8,335	0	253	1,933	0
	9,394	41,043	2	9,890	40,445	4	496	-598	2
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	169	0	0	0	0	0	-169	0	0
Cardiac MRI	1,403	0	0	2,450	0	0	1,047	0	0
Cardiology	561	834	504	140	563	0	-421	-271	-504
Cardiothoracic Surgery	0	694	0	1	833	0	1	139	0
Cardiothoracic Transplantation	2	44	0	1	48	0	-1	4	0
Colorectal Surgery	45	6	2	0	64	0	-45	59	-2
Gastroenterology	110	0	5	0	0	0	-110	0	-5
General Surgery	192	39	1	0	3	0	-192	-36	-1
Hepatobiliary & Pancreatic Surgery	31	0	0	0	0	0	-31	0	0
Hepatology	0	413	1	7	421	1	7	8	0
Liver Transplantation	0	57	0	0	373	0	0	316	0
Nephrology	156	1,382	0	190	1,249	0	34	-133	0
Renal Transplantation	47	2,183	0	53	1,941	0	6	-242	0
Upper Gastrointestinal Surgery	21	12	0	22	28	0	1	16	0
Vascular Surgery	126	0	2	0	0	0	-126	0	-2
	2,863	5,664	514	2,864	5,523	1	170	-141	-513
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	1,580	772	0	1,292	328	0	-288	-444	0
Dermatology	0	244	1	0	0	0	0	-244	-1
Diabetic Medicine	0	1,686	0	0	1,805	0	0	119	0
Endocrinology	0	0	0	0	1	0	0	1	0
General Medicine	0	463	0	1	171	0	1	-292	0
Geriatric Medicine	1	0	0	0	0	0	-1	0	0
Genitourinary Medicine	16	11,581	0	270	12,756	0	254	1,175	0
Ophthalmology	760	0	0	1	1	0	-759	1	0
Pain Management	9	0	0	9	6	0	0	6	0
Respiratory Medicine	0	183	2	0	0	0	0	-183	-2
Rheumatology	39	561	1	23	568	0	-16	7	-1
	2,405	15,490	4	1,597	15,636	0	-808	146	-4
<u>Specialty Group: 4 - Division D</u>									
Audiology	926	12,984	0	1,020	13,076	0	94	92	0
Burns care	39	110	0	0	96	0	-39	-14	0
Clinical Oncology	0	8	0	6	0	0	6	-8	0
Clinical Haematology	498	22,038	0	185	23,392	0	-313	1,354	0
ENT	1	5	10	0	4	4	-1	-1	-6
Maxillo-facial surgery	78	1	1	0	0	1	-78	-1	0
Medical Oncology	720	6,035	0	645	6,265	0	-75	230	0
Neurology	5,691	15,931	4	5,243	13,848	2	-448	-2,083	-2
Neurosurgery	3,725	7,385	1	3,145	8,117	0	-580	732	-1
Plastic Surgery	145	0	27	0	0	11	-145	0	-16
Trauma & Orthopaedics	1,181	3,354	60	327	2,801	19	-854	-553	-41
Urology	211	546	3	227	1	0	16	-545	-3
	13,214	68,396	105	10,798	67,600	37	-2,416	-796	-68
<u>Summary: Services at Local Prices</u>	27,877	130,593	625	25,149	129,204	42	-2,559	-1,389	-583
Total Outpatients (PbR & Local)	124,512	363,297	32,094	119,137	382,027	41,044	-5,262	18,618	8,950

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Commissioner - Month 11 (April-February 2012)

APPENDIX G(2)

		OUTPATIENT ATTENDANCES																			
		FIRST ATTENDANCE						FOLLOW-UP ATTENDANCE						PROCEDURES						TOTAL	
		PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE		
WEST MIDLANDS PCTS	MAND	90,979	89,554	- 1,425	98%	220,876	243,917	23,041	110%	30,843	40,347	9,504	131%	342,689	373,818	31,119	109%				
	NON-TARIFF	12,150	13,708	1,558	113%	56,539	58,988	2,449	104%	0	-	0	0%	68,689	72,696	4,007	106%				
	TOTAL	103,129	103,262	133	100%	277,415	302,905	25,490	109%	30,843	40,347	9,504	131%	411,387	446,514	35,127	109%				
WMSCCT	MAND	171	163	- 8	96%	1,487	1,125	- 362	76%	222	4	- 218	2%	1,879	1,292	- 587	69%				
	NON-TARIFF	4,530	4,000	- 530	88%	25,300	25,781	481	102%	0	-	0	0%	29,830	29,781	- 49	100%				
	TOTAL	4,701	4,163	- 538	89%	26,787	26,906	119	100%	222	4	- 218	2%	31,709	31,073	- 636	98%				
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	2,766	1,467	- 1,299	53%	8,556	6,228	- 2,328	73%	291	499	208	172%	11,613	8,194	- 3,419	71%				
	NON-TARIFF	1,321	337	- 984	26%	1,988	1,127	- 871	56%	584	28	- 556	5%	3,903	1,492	- 2,411	38%				
	TOTAL	4,087	1,804	- 2,283	44%	10,555	7,355	- 3,200	70%	875	527	- 348	60%	15,516	9,686	- 5,830	62%				
NON-CONTRACTED ACTIVITY	MAND	785	708	- 77	90%	1,766	1,548	- 218	88%	114	152	38	134%	2,665	2,408	- 257	90%				
	NON-TARIFF	55	95	40	173%	390	276	- 114	71%	41	14	- 27	34%	486	385	- 101	79%				
	TOTAL	840	803	- 37	96%	2,156	1,824	- 332	85%	155	166	11	107%	3,151	2,793	- 358	89%				
TOTAL MANDATORY	MAND	94,701	91,892	- 2,809	97%	232,686	252,818	20,132	109%	31,469	41,002	9,533	130%	358,855	385,712	26,857	107%				
	NON-TARIFF	18,056	18,140	84	100%	84,227	86,172	1,945	102%	625	42	- 583	93%	102,908	104,354	1,446	101%				
	TOTAL	112,756	110,032	- 2,724	98%	316,913	338,990	22,077	107%	32,094	41,044	8,950	128%	461,763	490,066	28,303	106%				

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Commissioner - Month 11 (April-February 2012)

APPENDIX G(2)

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE
WEST MIDLANDS PCTS	MAND	22,970	24,465	1,495	107%	28,600	29,366	766	103%	51,570	53,831	2,261	104%
	NON-TARIFF	1,734	1,949	215	112%	633	655	22	103%	2,368	2,604	236	110%
	TOTAL	24,704	26,414	1,710	107%	29,233	30,021	788	103%	53,938	56,435	2,497	105%
WMSCOT	MAND	3,222	2,800	- 422	87%	1,644	1,639	- 5	100%	4,867	4,439	- 428	91%
	NON-TARIFF	291	432	141	149%	236	293	57	124%	526	725	199	138%
	TOTAL	3,513	3,232	- 281	92%	1,880	1,932	52	103%	5,393	5,164	- 229	96%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	3,100	1,177	- 1,923	38%	485	543	58	112%	3,585	1,720	- 1,865	48%
	NON-TARIFF	103	118	15	115%	58	94	- 36	163%	161	212	51	132%
	TOTAL	3,203	1,295	- 1,908	40%	543	14	- 94	3%	3,746	1,309	- 2,437	35%
NON-CONTRACTED ACTIVITY	MAND	235	191	- 44	81%	248	213	- 35	86%	483	404	- 79	84%
	NON-TARIFF	19	23	4	119%	23	110	87	480%	42	133	91	315%
	TOTAL	254	214	- 40	84%	271	323	52	119%	525	537	12	102%
TOTAL MANDATORY	MAND	29,527	28,633	- 894	97%	30,978	31,761	783	103%	60,505	60,394	- 111	100%
	NON-TARIFF	2,147	2,522	375	117%	950	1,152	202	121%	3,097	3,674	577	119%
	TOTAL	31,674	31,155	- 519	98%	31,927	32,913	986	103%	63,602	64,068	466	101%

Glossary of Terms

Agenda for Change (AfC)

The new job evaluation system implemented by the NHS from October 2004 which determines the remuneration for specific posts.

Cost Improvement Programme (CIP)

Cash releasing efficiency savings included in the Trust's Financial Plan to meet the efficiency deflator embedded within the national tariff and fund additional cost pressures.

Depreciation

The process of charging the cost of a fixed asset over its useful life as opposed to recording the cost as a single entry in the income and expenditure account.

Finished Consultant Episode (FCE)

A unit of healthcare activity for recording inpatient activity, measured as the number of patients treated by a specific consultant (i.e. admission to discharge, transfer to another consultant or death). A single admission can result in several FCE's if the patient is admitted under one consultant and subsequently transferred to another.

Income and Expenditure (I&E)

Receipts and payments that are recorded in the Income and Expenditure Account (also known as Profit and Loss Account).

Medical Assessment Unit (MAU)

Ward area to which medical emergencies are transferred from A&E or directly admitted to by GP's.

Ministry of Defence (MOD)

Government department responsible for the military.

Monitor

The independent regulator of NHS Foundation Trusts.

Payment by Results (PbR)

Payment by Results. System of funding healthcare activity based on a standard national tariff for each unit of activity as opposed to the previous system of block contracts and local prices

Royal Centre for Defence Medicine (RCDM)

The military medical centre based at Queen Elizabeth Hospitals Birmingham (QEHB)

Road Traffic Act (RTA)

Under the Road Traffic Act, the NHS can recover treatment costs for patients injured in road accidents where there is a successful insurance claim.

Service Level Agreement (SLA)

A contractual agreement for the provision of services from one NHS body to another.

Spells

Unit of measurement for inpatient activity included within Payment by Results. A spell covers the total period from admission to discharge and can therefore encompass more than one FCE.